

Dynamic Kinetic Resolution via Dual-Function Catalysis of Modified Cinchona Alkaloids: Asymmetric Synthesis of α -Hydroxy Carboxylic Acids

Liang Tang and Li Deng*

Department of Chemistry
Brandeis University
Waltham, Massachusetts 02454-9110

Supporting Information

General Information. ^1H and ^{13}C NMR were recorded on Varian instruments (400 MHz and 100 MHz, respectively) and internally referenced to a tetramethylsilane signal. Data for ^1H NMR are reported as chemical shift (ppm), multiplicity (s = singlet, d = doublet, t = triplet, q = quartet, m = multiplet), coupling constant (Hz), integration. Data for ^{13}C NMR are reported as chemical shift from tetramethylsilane with the solvent as the internal standard). Infrared spectra were recorded on a Perkin Elmer FT-IR Spectrometer and reported in frequency of absorption. Low-resolution mass spectra for all the new compounds done by either 20 eV, CH_4/Cl or NH_3/Cl were recorded on a Hewlett-Packard 5989A GC/MS, and exact mass spectra on a VG 7070 high resolution mass spectrometer. Specific rotations were measured on a Jasco Digital Polarometer.

Liquid chromatography was performed on EM Science silica gel 60 (SiO_2 , 230-400 mesh). Thin layer chromatography was performed on EM Science 0.25 mm silica gel 60 F_{254} plates. Gas chromatography (GC) analysis was performed on a Hewlett – Packard 6890 series instrument using the columns indicated. High performance liquid chromatography (HPLC) analysis was performed on a Hewlett-Packard 1100 series instrument using the columns indicated.

Mandelic acid, 4-chloromandelic acid, 4-bromomandelic acid, 4-trifluoromethyl mandelic acid, 2-hydroxycaproic acid and 2-hydroxy-3-methylbutyric acid were purchased from Aldrich (Milwaukee) and used without further purification. Phenyllactic acid and 3,4-difluoromandelic acid were purchased from Lancaster. Diphosgene and 2-chloromandelic acid were purchased from Alfa Aesar. 4-Isopropylmandelic acid, 1-naphthaleneglycolic acid, 4-fluoromandelic acid and 2-methylmandelic acid were prepared from corresponding benzaldehyde according to a literature procedure.¹ 2-Hydroxy-4-phenylbutyric acid was prepared from benzaldehyde and pyruvic acid according to literature procedure.^{2,3,4} All reactions were conducted in flame-dried glassware under N_2 atmosphere. 4 Å molecular sieves were flame-dried under reduced pressure (0.2 mmHg) immediately prior to use. Diethyl ether and tetrahydrofuran (THF) were distilled from sodium ketyl benzophenone immediately prior to use. Ethanol, allyl alcohol and *n*-propanol were freshly distilled from calcium hydride.

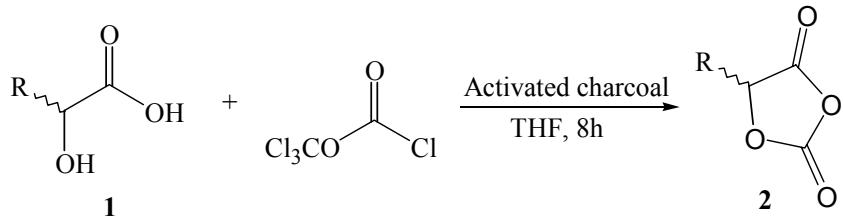
¹ Compere, E. L. *J. Org. Chem.* **1968**, 33, 2565.

² Stecher, E. D.; Ryder, H. F. *J. Am. Chem. Soc.* **1952**, 74, 4392.

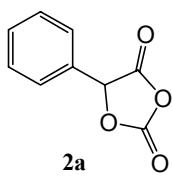
³ Clawson, P.; Lunn, P. M.; Whiting, D. A. *J. Chem. Soc. Perkin trans I* **1990**, 159.

⁴ Nikaido, T.; Takase, I. *Jpn. Kokai Tokkyo Koho* **1991**.

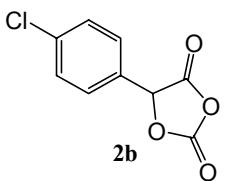
General Procedure for Preparations of 5-Substituted 1,3-Dioxolane-2,4-Diones



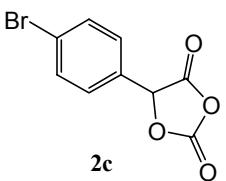
To a solution of α -hydroxy acid (10.0 mmol) in anhydrous THF (10 mL), diphosgene (12.0 mmol, 1.5 mL) was added in one portion via a syringe. The resulting mixture was treated with activated charcoal (\sim 30 mg). The reaction mixture was stirred for 8 hours at room temperature. The mixture was filtered through celite, the filtrate was concentrated and the resulting residue was subjected to vacuum (\sim 0.2 mmHg) for 1-2 h to give the desired 5-Substituted 1,3-Dioxolane-2,4-Diones in 90-100 % yield.



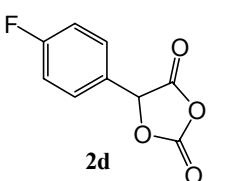
This product was obtained as a white solid in quantitative yield from the corresponding racemic hydroxy acid. ^1H NMR (400 MHz, CDCl_3) δ 6.02 (s, 1H), 7.40-7.46 (m, 5H); ^{13}C NMR (100 MHz, CDCl_3) δ 80.40, 126.11, 129.22, 129.53, 130.78, 147.96, 165.28; IR (CHCl_3) ν 3096, 1900, 1817, 1495, 1245, 1067 cm^{-1} .



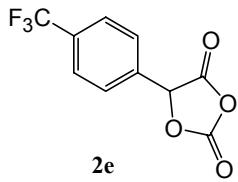
This product was obtained as a white solid in quantitative yield from the corresponding racemic hydroxy acid. ^1H NMR (400 MHz, CDCl_3) δ 6.01 (s, 1H), 7.37-7.40 (m, 2H), 7.45-7.48 (m, 2H); ^{13}C NMR (100 MHz, CDCl_3) δ 79.60, 127.38, 127.56, 129.77, 137.02, 147.80, 164.94 cm^{-1} ; IR (CHCl_3) ν 3048, 2989, 1899, 1816, 1485, 1270, 1241, 1171, 1042 cm^{-1} ; HRMS (DEI) exact mass calcd for $(\text{C}_9\text{H}_5\text{O}_4\text{Cl}^+)$ requires m/z 211.9876, found m/z 211.9881.



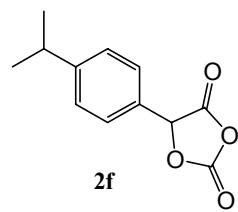
This product was obtained as a white solid in quantitative yield from the corresponding racemic hydroxy acid. ^1H NMR (400 MHz, CDCl_3) δ 6.00 (s, 1H), 7.29-7.32 (m, 2H), 7.60-7.63 (m, 2H); ^{13}C NMR (100 MHz, CDCl_3) δ 79.98, 125.42, 127.77, 127.90, 128.35, 132.96, 148.14, 165.28; IR (CHCl_3) ν 3053, 2987, 1900, 1816, 1491, 1265, 1243, 1073 cm^{-1} ; HRMS (DEI) exact mass calcd for $(\text{C}_9\text{H}_5\text{O}_4\text{Br}^+)$ requires m/z 255.9371, found m/z 255.9382.



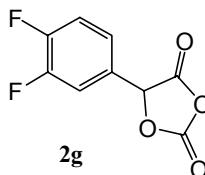
This product was obtained as a white solid in quantitative yield from the corresponding racemic hydroxy acid. ^1H NMR (400 MHz, CDCl_3) δ 6.01 (s, 1H), 7.15-7.22 (m, 2H), 7.42-7.46 (m, 2H); ^{13}C NMR (100 MHz, CDCl_3) δ 79.75, 116.81 (d, $J = 23$ Hz), 125.05, 128.32 (d, $J = 8$ Hz), 147.69, 163.92 (d, $J = 236$ Hz), 165.24; IR (CHCl_3) ν 3056, 1899, 1812, 1514, 1265, 1235 cm^{-1} ; HRMS (DEI) exact mass calcd for $(\text{C}_9\text{H}_5\text{O}_4\text{F}^+)$ requires m/z 196.0172, found m/z 196.0167.



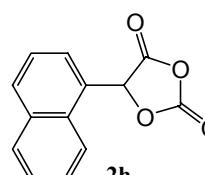
This product was obtained as a white solid in quantitative yield from the corresponding racemic hydroxy acid. ^1H NMR (400 MHz, CDCl_3) δ 6.10 (s, 1H), 6.62 (d, 2H, J = 8.0 Hz); ^{13}C NMR (100 MHz, CDCl_3) δ 79.19, 123.39 (q, J = 271 Hz), 126.16, 126.55 (q, J = 3.8 Hz), 132.82, 132.91 (q, J = 33.4 Hz), 147.40, 164.52; IR (CHCl_3) ν 3057, 1902, 1816, 1329, 1265, 1069 cm^{-1} ; HRMS (DEI) exact mass calcd for ($\text{C}_{10}\text{H}_5\text{O}_4\text{F}_3^+$) requires m/z 246.0140, found m/z 246.0147.



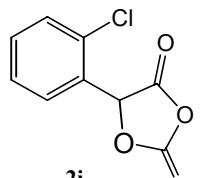
This product was obtained as a white solid in quantitative yield from the corresponding racemic hydroxy acid. ^1H NMR (400 MHz, CDCl_3) δ 1.26 (d, 6H, J = 6.4 Hz), 2.90-3.01 (m, 1H), 5.98 (s, 1H), 7.33-7.35 (m, 4H); ^{13}C NMR (100 MHz, CDCl_3) δ 23.73, 33.99, 80.60, 126.39, 126.56, 127.65, 152.04, 165.49; IR (CHCl_3) ν 3040, 2964, 1897, 1812, 1265, 1066 cm^{-1} ; HRMS (CI) exact mass calcd for ($\text{C}_{12}\text{H}_{12}\text{O}_4^+$) requires m/z 220.0736, found m/z 220.0734.



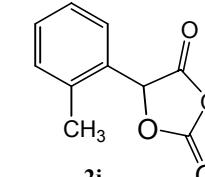
This product was obtained as a pale yellow solid in quantitative yield from the corresponding racemic hydroxy acid. ^1H NMR (400 MHz, CDCl_3) δ 5.99 (s, 1H), 7.22-7.35 (m, 3H); ^{13}C NMR (100 MHz, CDCl_3) δ 78.79, 115.59 (d, J = 19.8 Hz), 118.75 (d, J = 17.5 Hz), 122.40 (dd, J = 7.6, 4.6 Hz), 125.83 (dd, J = 5.4, 4.5 Hz), 147.32, 150.88 (dd, J = 250, 12.2 Hz), 157.79 (dd, J = 250, 9.8 Hz), 164.55; IR (CHCl_3) ν 3053, 2987, 1897, 1815, 1518, 1421, 1265 cm^{-1} .



This product was obtained as a white solid in quantitative yield from the corresponding racemic hydroxy acid. ^1H NMR (400 MHz, CDCl_3) δ 6.76 (s, 1H), 7.51-7.70 (m, 4H), 7.92-8.02 (m, 3H); ^{13}C NMR (100 MHz, CDCl_3) δ 78.88, 122.37, 124.89, 125.08, 126.92, 127.89, 129.26, 130.31, 131.82, 133.97, 148.07, 165.16; IR (CHCl_3) ν 3053, 1896, 1816, 1265, 1078 cm^{-1} ; HRMS (DEI) exact mass calcd for ($\text{C}_{13}\text{H}_8\text{O}_4^+$) requires m/z 228.0422, found m/z 228.0414.

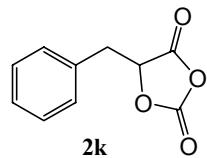


This product was obtained as a white solid in quantitative yield from the corresponding racemic hydroxy acid. ^1H NMR (400 MHz, CDCl_3) δ 6.18 (s, 1H), 7.38-7.54 (m, 5H); ^{13}C NMR (100 MHz, CDCl_3) δ 79.63, 127.20, 127.78, 130.91, 130.97, 132.77, 134.13, 147.91, 164.65; IR (CHCl_3) ν 3054, 2986, 1899, 1816, 1298, 1264, 1068, 1031 cm^{-1} ; HRMS (DEI) exact mass calcd for ($\text{C}_9\text{H}_5\text{O}_4\text{Cl}^+$) requires m/z 211.9876, found m/z 211.9879.

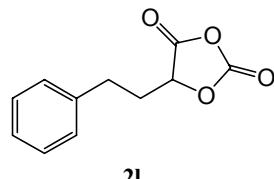


This product was obtained as a white solid in 95% yield from the corresponding racemic hydroxy acid. ^1H NMR (400 MHz, CDCl_3) δ 2.47 (s, 3H), 6.22 (s, 1H), 7.25-7.34 (m, 3H), 7.37-7.43 (m, 1H); ^{13}C NMR (100 MHz, CDCl_3) δ 19.14, 79.28, 126.60, 126.94, 127.67, 131.00, 131.66, 136.99, 148.17, 165.46; IR (CHCl_3) ν 3054, 2986,

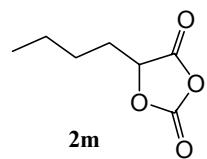
1896, 1813, 1464, 1421, 1263, 1069, 1024 cm^{-1} .



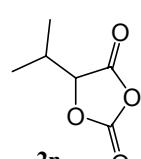
This product was obtained as a white solid in quantitative yield from corresponding racemic hydroxy acid. ^1H NMR (400 MHz, CDCl_3) δ 3.23-3.41 (m, 2H), 5.31 (t, 1H, $J = 4.8$ Hz), 7.22-7.36 (m, 5H); ^{13}C NMR (100 MHz, CDCl_3) δ 36.41, 79.86, 128.40, 129.15, 129.65, 131.48, 147.76, 166.28; IR (CHCl_3) ν 3056, 2987, 1888, 1808, 1455, 1340, 1266, 1078 cm^{-1} ; HRMS (EI) exact mass calcd for $(\text{C}_{10}\text{H}_8\text{O}_4^+)$ requires m/z 192.0422, found m/z 192.0419.



This product was obtained as a white solid in quantitative yield from corresponding racemic hydroxy acid. ^1H NMR (400 MHz, CDCl_3) δ 2.22-2.42 (m, 2H), 2.78-2.94 (m, 2H), 4.97 (dd, 1H, $J = 8.0, 5.0$ Hz), 7.18-7.36 (m, 5H); ^{13}C NMR (100 MHz, CDCl_3) δ 30.11, 32.35, 78.40, 127.02, 128.51, 128.91, 138.08, 148.11, 167.04; IR (CHCl_3) 3054, 2986, 1892, 1812, 1496, 1330, 1239, 1066 cm^{-1} ; HRMS exact mass calcd for $(\text{C}_{11}\text{H}_{11}\text{O}_4^+)$ requires m/z 207.0657, found m/z 207.0655.

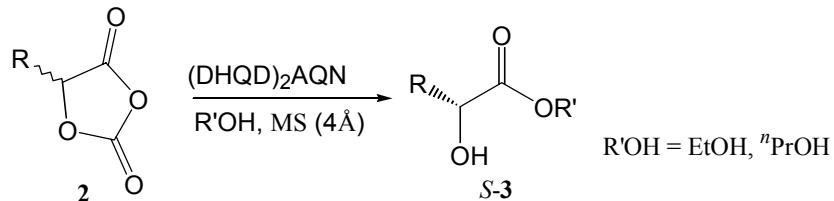


This product was obtained as a colorless liquid in 92% yield from corresponding racemic hydroxy acid. ^1H NMR (400 MHz, CDCl_3) δ 0.95 (t, 3H, $J = 7.2$ Hz), 1.36-1.58 (m, 4H), 1.90-2.12 (m, 2H), 5.06 (dd, 1H, $J = 7.6, 4.8$ Hz); ^{13}C NMR (100 MHz, CDCl_3) δ 13.58, 21.88, 25.95, 30.46, 79.72, 148.25, 167.14; IR (CHCl_3) ν 2936, 2856, 1895, 1265, 1067 cm^{-1} ; HRMS (DEI) exact mass calcd for $(\text{C}_7\text{H}_{11}\text{O}_4^+)$ requires m/z 159.0657, found m/z 159.0652.



This product was obtained as a colorless liquid in 90% yield from corresponding racemic hydroxy acid. ^1H NMR (400 MHz, CDCl_3) δ 1.09 (d, 3H, $J = 7.2$ Hz), 1.16 (d, 3H, $J = 7.2$ Hz), 2.32-2.42 (m, 1H), 4.90 (d, 1H, $J = 4.0$ Hz); ^{13}C NMR (100 MHz, CDCl_3) δ 15.97, 17.41, 30.58, 84.01, 148.40, 166.39; IR (CHCl_3) ν 2974, 1889, 1817, 1468, 1253, 1028 cm^{-1} ; HRMS (CH_4/Cl) exact mass calcd for $(\text{C}_6\text{H}_9\text{O}_4^+)$ requires m/z 145.0501, found m/z 145.0500.

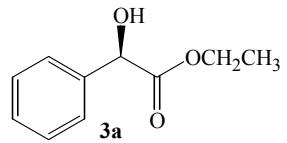
General Procedure for Modified Cinchona Alkaloid-Catalyzed Dynamic Kinetic Resolution of 5-Aryl-1,3-Dioxolane-2,4-Diones.



A mixture of 5-aryl-1,3-dioxolane-2,4-diones (1.0 mmol) and 4 Å molecular sieves (100 mg) in anhydrous diethyl ether (50 mL) was stirred at room temperature for 15 minutes, then cooled to the temperature indicated in Table 2, afterwhich the modified cinchona alkaloid (0.1 mmol), (DHQD)₂AQN, was added to the mixture. The resulting mixture was stirred for another 5 minutes and then ethanol (1.5 eq.) was added dropwise over 10 minutes via a syringe. The resulting reaction mixture was stirred at that temperature for 8-24 hours. HCl (1 N, 5.0 mL) was added to the mixture dropwise. The resulting mixture was allowed to warm to room temperature. The organic phase was collected, washed with aqueous HCl (1 N, 2 x 5.0 mL) and the aqueous phase was extract with ether (2 x 5.0 mL).⁵ The combined organic phase was washed with brine, dried (Na₂SO₄) and concentrated. The residue was subjected to column chromatography to give the final product.

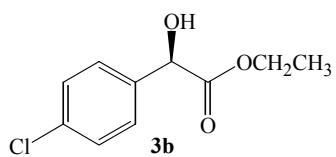
Determination of Absolute Configurations of α -Hydroxy Acids 1, α -Hydroxy Esters 3

The absolute configuration of **3a**, **3b**, **3k**, **3m** and **1k-1n** were determined by comparing their optical rotation value with the literature value. The absolute configuration of **3c**, **3d**, **3e**, **3f**, **3g**, **3h**, **3i**, **3j**, **3l** and **3m** were assigned by analogy.



(R)-Ethyl-mandelate (3a):

This product was obtained as a white solid in 71% yield after purification by silica gel chromatography (hexanes:ethyl acetate = 4:1) and 95% ee as determined by HPLC analysis [Daicel chiralpak OD, Hexanes:IPA, 20:1, 1.0 mL/min, λ 220 nm, t (major) = 17.18 min, t (minor) = 9.23 min]. $[\alpha]_D^{25}$ -123° (c = 1.0, CHCl₃); (Literature,⁶ $[\alpha]_D^{25}$ -125.4° (c = 1.0, CHCl₃)); ¹H NMR (400 MHz, CDCl₃) δ 1.22 (t, 3H, *J* = 7.3 Hz), 3.55 (d, 1H, *J* = 4.8 Hz), 4.10-4.30 (m, 2H), 5.15 (d, 1H, *J* = 4.8 Hz), 7.29-7.44 (m, 5H); ¹³C NMR (100 MHz, CDCl₃) δ 13.96, 62.16, 72.82, 126.47, 128.33, 128.50, 138.38, 173.62; IR (CHCl₃) ν 3515, 3065, 2985, 1740, 1453, 1250, 1066 cm⁻¹.



(R)-Ethyl-4-chloromandelate (3b):

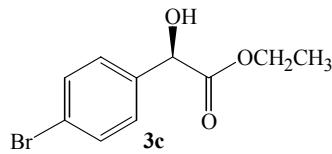
This product was obtained as a colorless oil in 70 % yield after purification by silica gel chromatography (hexanes:ethyl acetate = 4:1) and 96% ee as determined by HPLC analysis [Daicel chiralpak OD, Hexanes:IPA, 20:1, 1.0 mL/min, λ 220 nm, t (major) = 10.54 min, t (minor) = 8.94 min]. $[\alpha]_D^{25}$ -91.3° (c = 1.2, CHCl₃). (Literature,⁷ $[\alpha]_D^{25}$ - 14.7° (c = 1, CHCl₃), for 8% ee); ¹H NMR (400 MHz, CDCl₃) δ 1.23 (t, 3H, *J* = 7.2 Hz), 4.16-4.28 (m, 2H), 5.14 (s, 1H), 7.32-7.40 (m, 4H); ¹³C NMR

⁵ Following a procedure described previously (see references **6a-d** of the text), we have recovered the catalyst quantitatively from the mixture resulting from a dynamic kinetic resolution of **2a**.

⁶ Naoshima, Y.; Maeda, J.; Munakata, Y.; Nishiyama, T.; Kamezawa, M.; Tachibana, H. *J. Chem. Soc., Chem. Commun.* **1990**, 965.

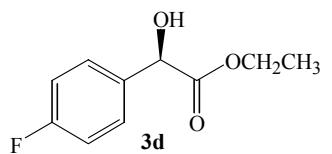
⁷ Carpentier, J.-F.; Mortreux, A. *Tetrahedron: Asymmetry* **1997**, 8, 1083.

(100 MHz, CDCl_3) δ 13.99, 62.48, 72.14, 127.86, 128.70, 134.25, 136.83, 173.29; IR (CHCl_3) ν 3518, 3055, 2986, 1730, 1493, 1421, 1266, 1184, 1092 cm^{-1} .



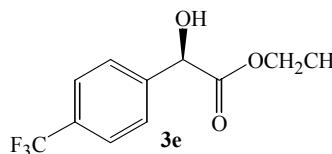
(R)-Ethyl-4-bromomandelate (3c):

This product was obtained as a colorless oil in 80% yield after purification by silica gel chromatography (hexanes:ethyl acetate = 4:1) and 96% ee as determined by HPLC analysis [Daicel chiralpak OD, Hexanes:IPA, 20:1, 1.0 mL/min, λ 220 nm, t (major) = 11.52 min, t (minor) = 9.89 min]. $[\alpha]_D^{25}$ -77.8° (c = 1.4, CHCl_3); ^1H NMR (400 MHz, CDCl_3) δ 1.22 (t, 3H, J = 8.0 Hz), 3.61 (s, 2H), 4.14-4.28 (m, 2H), 5.11 (s, 1H), 7.29-7.34 (m, 2H), 7.45-7.49 (m, 2H); ^{13}C NMR (100 MHz, CDCl_3) δ 13.96, 62.43, 72.17, 122.36, 128.16, 131.60, 137.33, 173.15; IR (CHCl_3) ν 3514, 3054, 2985, 1732, 1487, 1267, 1073 cm^{-1} .



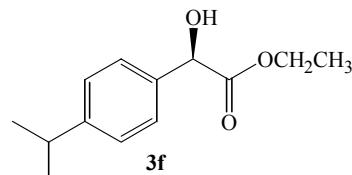
(R)-Ethyl-4-fluoromandelate (3d):

This product was obtained as a yellow oil in 65% yield after purification by silica gel chromatography (hexanes:ethyl acetate = 2:1) and 95% ee as determined by HPLC analysis [Daicel chiralpak OD, Hexanes:IPA, 20:1, 1.0 mL/min, λ 220 nm, t (major) = 11.04 min, t (minor) = 8.83 min]. $[\alpha]_D^{25}$ -102.3° (c = 1.1, CHCl_3); ^1H NMR (400 MHz, CDCl_3) δ 1.22 (t, 3H, J = 7.6 Hz), 3.58 (d, 1H, J = 5.2 Hz), 4.15-4.26 (m, 2H), 5.14 (d, 1H, J = 5.6 Hz), 7.02-7.07 (m, 2H), 7.38-7.42 (m, 2H); ^{13}C NMR (100 MHz, CDCl_3) δ 13.96, 62.33, 72.14, 115.43 (d, J = 22 Hz), 128.24 (d, J = 8.3 Hz), 134.60 (d, J = 3 Hz), 162.68 (d, J = 245 Hz), 173.48; IR (CHCl_3) ν 3509, 3055, 2985, 1731, 1604, 1509, 1265, 1082 cm^{-1} .



(R)-Ethyl-4-trifluoromethylmandelate (3e):

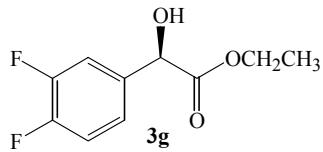
This product was obtained as a yellow solid in 85% yield after purification by silica gel chromatography (hexanes:ethyl acetate = 8:1) and 93% ee as determined by HPLC analysis [Daicel chiralpak OD, Hexanes:IPA, 50:1, 1.0 mL/min, λ 220 nm, t (major) = 15.90 min, t (minor) = 13.66 min]. $[\alpha]_D^{25}$ -53.7° (c = 1.0, CHCl_3); ^1H NMR (400 MHz, CDCl_3) δ 1.24 (t, 3H, J = 7.2 Hz), 3.61 (d, 1H, J = 4.8 Hz), 4.15-4.32 (m, 2H), 5.26 (d, 1H, J = 4.8 Hz), 7.58 (d, 2H, J = 8.8 Hz), 7.63 (d, 2H, J = 8.0 Hz); ^{13}C NMR (100 MHz, CDCl_3) δ 13.99, 62.67, 72.23, 123.97 (q, J = 271 Hz), 125.44 (q, J = 3.8 Hz), 126.82, 130.52 (q, J = 31.9 Hz), 142.14, 172.96; IR (CHCl_3) ν 3517, 3053, 2987, 1725, 1422, 1329, 1266, 1194, 1066 cm^{-1} ; HRMS exact mass calcd for ($\text{C}_{11}\text{H}_{12}\text{O}_3\text{F}_3^+$) requires m/z 249.0739, found m/z 249.0733.



(R)-Ethyl-4-isopropylmandelate (3f):

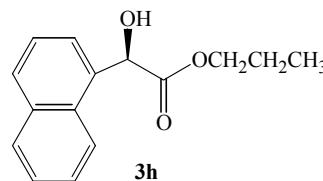
This product was obtained as a yellow oil in 66% yield after purification by silica gel chromatography (hexanes:ethyl acetate = 4:1) and 91% ee as determined by HPLC analysis [Daicel chiralpak OD, Hexanes:IPA, 20:1, 1.0 mL/min, λ 220 nm, t (major) = 10.58 min, t (minor) = 8.18 min].

$[\alpha]_D^{25} -56.0^\circ$ ($c = 1.2$, CHCl_3); ^1H NMR (400 MHz, CDCl_3) δ 1.24 (d, $J = 7.2$ Hz, 6H), 2.92-2.98 (m, 1H), 3.39 (d, $J = 4.4$ Hz, 1H), 4.12-4.26 (m, 2H), 5.13 (s, 1H), 7.24 (d, $J = 7.6$ Hz, 2H), 7.33 (d, $J = 7.8$ Hz, 2H); ^{13}C NMR (100 MHz, CDCl_3) δ 14.03, 23.90, 33.82, 62.12, 72.73, 126.47, 126.66, 135.80, 149.12, 173.79; IR (CHCl_3) ν 3516, 3053, 2969, 1724, 1604, 1512, 1421, 1266 cm^{-1} .



(R)-Ethyl-3,4-difluoromandelate (3g):

This product was obtained as a yellow oil in 65% yield after purification by silica gel chromatography (hexanes:ethyl acetate = 4:1) and 94% ee as determined by HPLC analysis [Daicel chiralpak, OD, Hexanes:IPA, 20:1, 1.0 mL/min, λ 220 nm, t (major) = 10.88 min, t (minor) = 9.29 min]. $[\alpha]_D^{25} -73.1^\circ$ ($c = 1.0$, CHCl_3); ^1H NMR (400 MHz, CDCl_3) δ 1.25 (t, 3H, $J = 7.2$ Hz), 4.18-4.32 (m, 2H), 5.12 (s, 1H), 7.12-7.32 (m, 3H); ^{13}C NMR (100 MHz, CDCl_3) δ 13.98, 62.67, 71.64, 115.58 (d, $J = 18$ Hz), 117.28 (d, $J = 18$ Hz), 122.55 (dd, $J = 3.8, 6.9$ Hz), 135.19 (dd, $J = 3.8, 5.3$ Hz), 150.19 (dd, $J = 247.5, 3.8$ Hz), 150.32 (dd, $J = 247.5, 3.8$ Hz), 172.99; IR (CHCl_3) ν 3509, 3054, 2985, 1735, 1612, 1514, 1437, 1276, 1139, 1019 cm^{-1} ; HRMS exact mass calcd for ($\text{C}_{10}\text{H}_{10}\text{O}_3\text{F}_2^+$) requires m/z 216.0598, found m/z 216.0603.

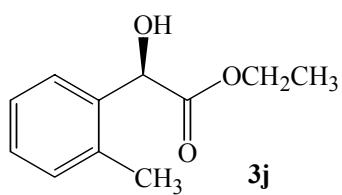


(R)-1-Naphthaleneglycolic acid, n-propyl ester (3h):

This product was obtained as a yellow oil in 74% yield after purification by silica gel chromatography (hexanes:ethyl acetate = 4:1) and 91% ee as determined by HPLC analysis [Daicel chiralpak AS, Hexanes:IPA, 20:1, 1.0 mL/min, λ 280 nm, t (major) = 19.86 min, t (minor) = 14.84 min]. $[\alpha]_D^{25} -97.8^\circ$ ($c = 1.1$, CHCl_3); ^1H NMR (400 MHz, CDCl_3) δ 0.68 (t, 3H, $J = 7.6$ Hz), 1.45-1.55 (m, 2H), 4.05-4.18 (m, 2H), 5.82 (s, 1H), 7.41-7.56 (m, 4H), 7.82-7.90 (m, 2H), 8.17 (d, 1H, $J = 8.8$ Hz); ^{13}C NMR (100 MHz, CDCl_3) δ 9.96, 21.70, 67.76, 71.23, 123.75, 125.17, 125.60, 125.80, 126.42, 128.73, 129.30, 131.02, 133.97, 134.18, 174.28; IR (CHCl_3) ν 3511, 3052, 2971, 1738, 1512, 1421, 1257, 1165, 1096 cm^{-1} ; HRMS exact mass calcd for ($\text{C}_{15}\text{H}_{16}\text{O}_3^+$) requires m/z 244.1099, found m/z 244.1103.

(R)-Ethyl-2-chloromandelate (3i):

This product was obtained as a yellow oil in 66% yield after purification by silica gel chromatography (hexanes:ethyl acetate = 5:1) and 62% ee as determined by HPLC analysis [Daicel chiralpak OD, Hexanes:IPA, 20:1, 1.0 mL/min, λ 220 nm, t (major) = 11.95, t (minor) = 9.56 min]. $[\alpha]_D^{25} -45.0^\circ$ ($c = 4.0$, CHCl_3); ^1H NMR (400 MHz, CDCl_3) δ 1.20 (t, 3H, $J = 7.6$ Hz), 3.78 (br, 1H), 4.14-4.29 (m, 2H), 7.22-7.40 (m, 4H); ^{13}C NMR (100 MHz, CDCl_3) δ 13.86, 62.28, 70.26, 127.00, 128.64, 129.57, 129.77, 133.41, 136.08, 173.08; IR (CHCl_3) ν 3508, 2984, 1731, 1476, 1252, 1086 cm^{-1} .

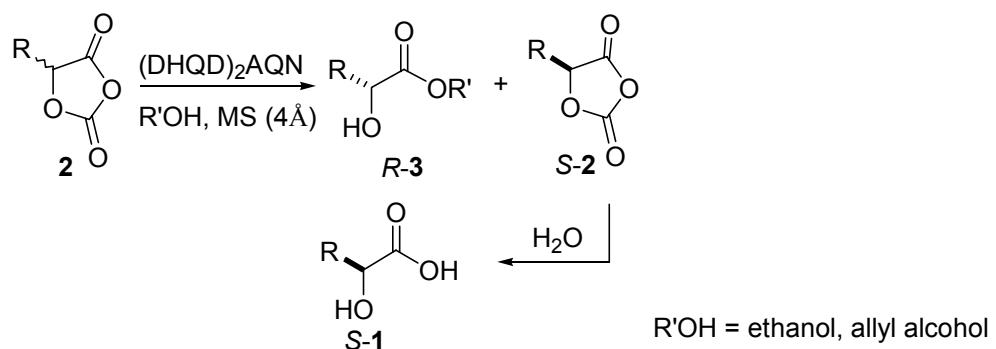


(R)-Ethyl-2-methylmandelate (3j):

This product was obtained as a yellow oil in 61% yield after purification by silica gel chromatography (hexanes:ethyl acetate = 3:1) and 60% ee as determined by HPLC analysis [Daicel chiralpak OD, Hexanes:IPA, 20:1, 1.0 mL/min, λ 220 nm, t (major) = 13.51, t (minor) = 10.71 min]. $[\alpha]_D^{25} -57.4^\circ$

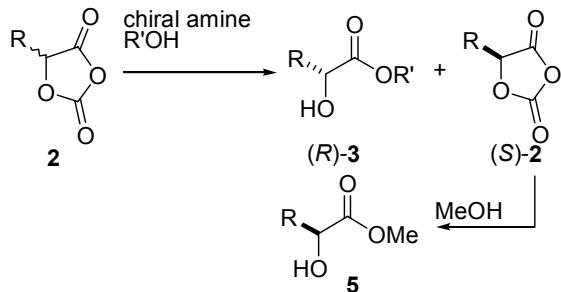
($c = 2.8$, CHCl_3); ^1H NMR (400 MHz, CDCl_3) δ 1.21 (t, 3H, $J = 7.2$ Hz), 2.43 (s, 3H), 3.52 (d, 1H, $J = 4.8$ Hz), 4.09-4.29 (m, 2H), 5.35 (d, 1H, $J = 4.8$ Hz), 7.04-7.32 (m, 4H); ^{13}C NMR (100 MHz, CDCl_3) δ 13.97, 19.23, 62.10, 70.29, 126.20, 126.65, 128.33, 130.72, 131.72, 135.99, 174.11; IR (CHCl_3) ν 3522, 3054, 2984, 1733, 1464, 1373, 1285, 1068, 1048 cm^{-1} .

General Procedure for Cinchona Alkaloid-Catalyzed Kinetic Resolution of 5-Alkyl 1,3-Dioxolane-2,4-Diones



A mixture of 5-alkyl-1,3-dioxolane-2,4-dione (1.0 mmol) and 4 Å molecular sieves (100 mg) in anhydrous diethyl ether (50 mL) was stirred at room temperature for 15 minutes, then cooled to -78°C after which the modified cinchona alkaloid $(\text{DHQD})_2\text{AQN}$ (0.1 mmol) was added to the mixture. The resulting mixture was stirred for another 5 minutes and then ethanol or allyl alcohol (1.0 eq.) was added dropwise over 10 minutes via a syringe. The resulting mixture was stirred at that temperature for 8-36 hrs. When the ee of both ester **3** and unreacted starting material **2** were found to be close to or above 90%, aqueous HCl (1N, 5.0 mL) was added dropwise to the reaction mixture. The resulting mixture was allowed to warm to room temperature. The organic phase was collected, washed with aqueous HCl (1N, 2 x 3.0 mL) and concentrated. The residue was dissolved in $\text{H}_2\text{O}/\text{THF}$ (v/v: 1/4, 5.0 mL) and the resulting solution was stirred at room temperature overnight and diluted with ether (20 mL). The resulting mixture was extracted with aqueous Na_2CO_3 (1N, 2 x 5.0 mL). The organic phase was washed with brine and dried over Na_2SO_4 , and concentrated to give α -hydroxy ester **3** in NMR-pure form and in yields indicated in Table 3. The aqueous phases were combined and then acidified to pH = 1 by conc. HCl, then extracted with ethyl acetate (3 x 5.0 mL). The organic phase was dried over Na_2SO_4 and concentrated to give α -hydroxy acid **1** in NMR-pure form and in yields indicated in Table 3.

Determination of the Enantiomeric Excesses of **2k-2n** and **3k-3n** in Reaction Mixture.



Dioxolanediones (**2**) are found to be unstable toward conditions for GC and HPLC analysis. The ee of the **2** in the reaction mixture was determined by converting the unreacted **2** into methyl ester **5** and subsequently GC or HPLC analysis of the resulting mixture of ester **3** and **5** as following: A small aliquot (50 μ L) of the reaction mixture was added to dry methanol (200 μ L). The resulting mixture was stirred at room temperature for 10min, and then was allowed to pass through a plug of silica gel with ether as the eluent. The resulting solution of esters **3** and **5** was concentrated and then subjected to GC or HPLC analysis.

GC condition for **2k** and **3k**: HP chiral 20% Permethylated B-Cyclodextrin, 100 $^{\circ}$ C, 20 min, 0.5 $^{\circ}$ C/min ramp, 130 $^{\circ}$ C (10 min).

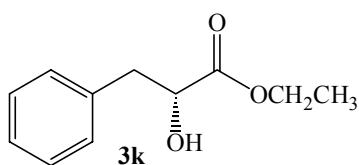
HPLC condition for **2l** and **3l**: Chiralpak OD, Hexanes:IPA, 20:1, 1 mL/min, λ 220 nm.

GC condition for **2m** and **3m**: Gamma cyclodextrin Trifluoroacetyl, 60 $^{\circ}$, 2 min, 1 $^{\circ}$ /min ramp, 90 $^{\circ}$ (10 min).

GC condition for **2n** and **3n**: Gamma cyclodextrin Trifluoroacetyl, 80 $^{\circ}$.

Determination of Enantiomeric Excesses of Isolated Optically Active α -Hydroxy Acid **1**

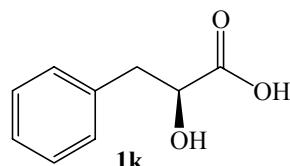
Optically pure α -hydroxy acid **1** (5.0 mg) isolated following the procedure described on page 7 was dissolved in EtOH or MeOH (1.0 mL), and then treated with sulfuric acid (1 drop). The mixture was stirred for 8 hrs and diluted with water (2.0 mL). After extracting with ether (2 x 2.0 mL), the combined organic phase was washed with saturated sodium bicarbonate and brine. The solvent was removed to give the α -hydroxy ester. This ester was subject to HPLC or GC analysis to give the enantiomeric excesses of the isolated optically active α -hydroxy acid.



(R)-Ethyl-2-hydroxy-3-phenylpropionate (**3k**):

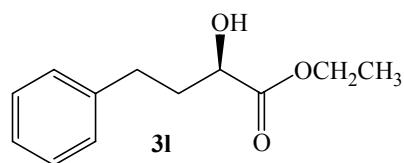
This product was obtained as yellow oil in 47% yield and 96% ee as determined by HPLC analysis [Daicel chiralpak AS, Hexanes:IPA, 50:1, 0.5 mL/min, λ 220nm, t (major) = 32.45 min, t (minor) = 29.13 min]. $[\alpha]_D^{25} +14.5^{\circ}$ (c = 1.0,

CHCl₃); (Literature,⁸ $[\alpha]_D^{25} +22.2^\circ$ (c = 3.85, CHCl₃)); ¹H NMR (400 MHz, CDCl₃) δ 1.28 (t, 3H, *J* = 7.2 Hz), 2.79 (s, 1H), 2.94-3.16 (m, 2H), 4.43 (s, 1H), 7.20-7.32 (m, 5H); ¹³C NMR (100 MHz, CDCl₃) δ 14.13, 40.50, 61.68, 71.16, 126.82, 128.33, 129.48, 136.33, 174.14; IR (CHCl₃) ν 3526, 3065, 2983, 1745, 1496, 1368, 1214, 1098 cm⁻¹.



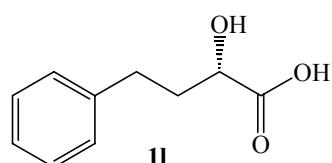
(S)-2-hydroxy-3-phenylpropionic acid (1k):

This product was obtained as white solid in 39% yield and 95% ee as determined by GC analysis of the methyl ester derived from **1k** following the procedure described above [HP Chiral 20% Permethylated B-Cyclodextrin, 100 °C, 20 min, 0.5 °C/min to 130 °C, t (major) = 60.72 min, t (minor) = 58.90 min]. $[\alpha]_D^{25} -17.9^\circ$ (c = 1, H₂O). (Literature,⁹ $[\alpha]_D = -20.0^\circ$ (c = 1, H₂O)).



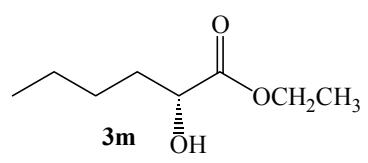
(R)-2-hydroxy-4-phenylbutyric acid ethyl ester (3l):

This product was obtained as yellow oil in 46% yield and 93% ee as determined by HPLC analysis [Daicel chiralpak OD, Hexanes:IPA, 20:1, 1.0 mL/min, λ 220 nm, t (major) = 15.03 min, t (minor) = 9.45 min]. $[\alpha]_D^{25} -14.5^\circ$ (c = 1.3, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 1.27 (t, 3H, *J* = 7.2 Hz), 1.86-2.24 (m, 2H), 2.66-2.86 (m, 2H), 4.12-4.24 (m, 3H), 7.18-7.32 (m, 5H); ¹³C NMR (100 MHz, CDCl₃) δ 14.11, 30.93, 35.86, 61.72, 69.64, 125.95, 128.41, 128.49, 141.06, 175.19; IR (CHCl₃) ν 3520, 3053, 2983, 1743, 1454, 1252, 1101 cm⁻¹.



(S)-2-hydroxy-4-phenylbutanoic acid (1l):

This product was obtained as white solid in 40% yield and 85% ee as determined by HPLC analysis of the ethyl ester derived from **1l** following the procedure described above [Daicel chiralpak OD, Hexanes:IPA, 20:1, 1 mL/min, λ 220 nm, t (major) = 8.94 min, t (minor) = 13.90 min]. $[\alpha]_D^{25} + 6.8^\circ$ (c = 1.0, EtOH); (Literature,¹⁰ $[\alpha]_D^{25} + 7.6^\circ$ (c = 1.0, EtOH), for 91% ee).



(R)-Ethyl-2-hydroxy-hexanoate (3m):

This product was obtained as yellow oil in 46% yield and 92% ee as determined by GC with chiral support [Gamma cyclodextrin Trifluoroacetyl, 60°, 2 min, 1°/min to 90°, t (major) = 24.10 min, t (minor) = 25.00]. $[\alpha]_D^{25} 5.5^\circ$ (c = 5.0, EtOH); (Literature,¹¹ $[\alpha]_D^{25} 6.6^\circ$, (c=7.1, EtOH)); ¹H NMR (400 MHz, CDCl₃) δ 0.91 (t, 3H, *J* = 7.2 Hz), 1.30 (t, 3H, *J* = 7.2 Hz), 1.33-1.50 (m, 4H), 1.59-1.69 (m, 1H), 1.74-1.84 (m, 1H), 4.17 (dd, 1H, *J* = 7.2, 4.4 Hz), 4.21-4.29 (m, 2H); ¹³C NMR (100 MHz, CDCl₃) δ 13.84, 14.12, 22.34,

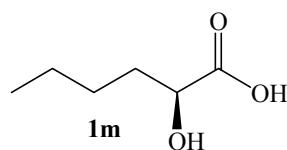
⁸ Uang, B-J; Chang, J-W; Jang, D-P. *PCT Int. Appl.* **2001**.

⁹ Urban, F. J.; Moore, B. S. *J. Heterocyclic Chem.* **1992**, 29, 431.

¹⁰ Kalaritis, P.; Regenye, W. R.; Partridge, J. J.; Coffen, D. L. *J. Org. Chem.* **1990**, 55, 812.

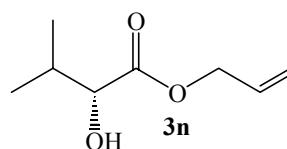
¹¹ Larcheveque, M., Petit, Y. *Bull. Soc. Chim. Fr.* **1989**, 130.

26.79, 34.02, 61.50, 70.37, 175.39; IR (CHCl₃) ν 3535, 3054, 2959, 1728, 1422, 1260 cm⁻¹.



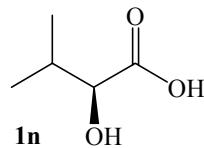
(S)-2-hydroxyhexanic acid (1m).

This product was obtained as white solid in 36% yield and 95% ee as determined by GC analysis of the methyl ester derived from **1m** following the procedure described above [Gamma cyclodextrin Trifluoroacetyl, 60°, 2 min, 1°/min to 90°, t (major) = 19.65 min, t (minor) = 19.07 min]. $[\alpha]_D^{25}$ 6.4° (c=5.0, CHCl₃); (Literature,⁶ $[\alpha]_D^{25}$ +4.8° (c = 6.3, CHCl₃) for 79% ee).



(R)-allyl-2-hydroxy-3-methylbutanoate (3n):

This product was obtained as a yellow oil in 48% yield and 90% ee as determined by GC on a chiral support [Gamma cyclodextrin Trifluoroacetyl 80 °C, t (major) = 18.68 min, t (minor) = 17.82 min]. $[\alpha]_D^{25}$ - 1.0° (c = 1.5, CHCl₃); ¹H NMR (400 MHz, CDCl₃) δ 0.88 (d, 3H, *J* = 7.0), 1.03 (d, 3H, *J* = 7.0 Hz), 2.02-2.10 (m, 1H), 3.28 (s, 1H, br), 4.08 (d, 1H, *J* = 4.0 Hz), 4.64-4.76 (m, 2H), 5.24-5.40 (m, 2H), 5.86-6.04 (m, 2H); ¹³C NMR (100 MHz, CDCl₃) δ 15.89, 18.69, 32.07, 66.02, 74.99, 131.35, 137.10, 174.59; IR (CHCl₃) ν 3536, 3054, 2969, 1733, 1467, 1266, 1030 cm⁻¹; HRMS exact mass calcd for (C₈H₁₅O₃) requires m/z 159.1021, found m/z 159.1026.

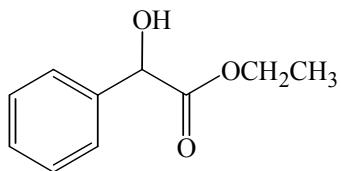


(S)-2-Hydroxy-3-methylbutanoic acid (1n):

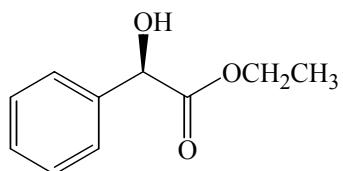
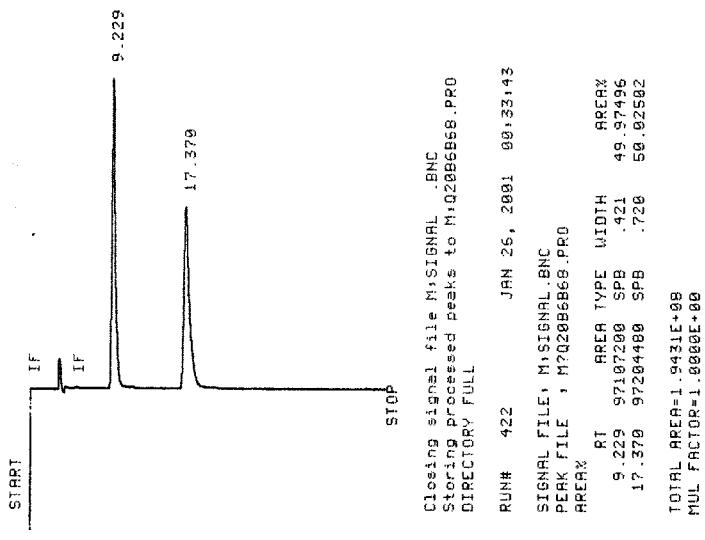
This product was obtained as a yellow solid in 32% yield and 93% ee as determined by GC analysis of the methyl ester ester derived from **1n** following the procedure described above [Gamma cyclodextrin Trifluoroacetyl, 40 °C 20 min, 0.5°/min to 60 °C, t (major) = 41.01 min, t (minor) = 43.27 min]. $[\alpha]_D^{25}$ +16.5° (c = 0.8, CHCl₃); (Literature,¹² $[\alpha]_D^{25}$ +17.5° (c = 1, CHCl₃)).

¹² Shin, I.; Lee, M.; Lee, J.; Jung, M.; Lee, W.; Yoon, J. *J. Org. Chem.* **2000**, *65*, 7667.

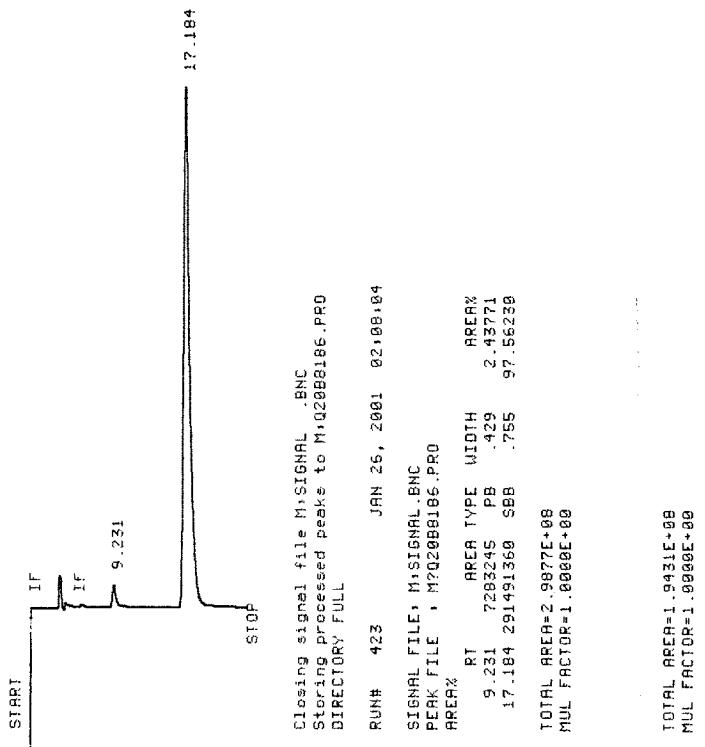
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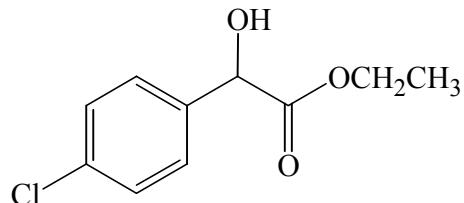
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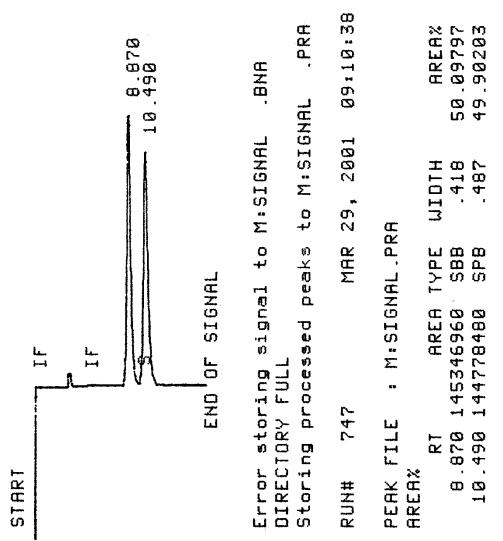
Isolated optically active 3a



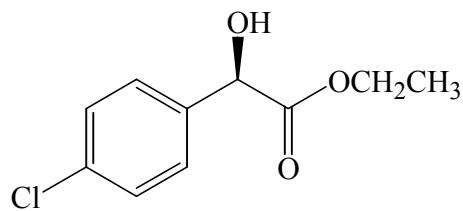
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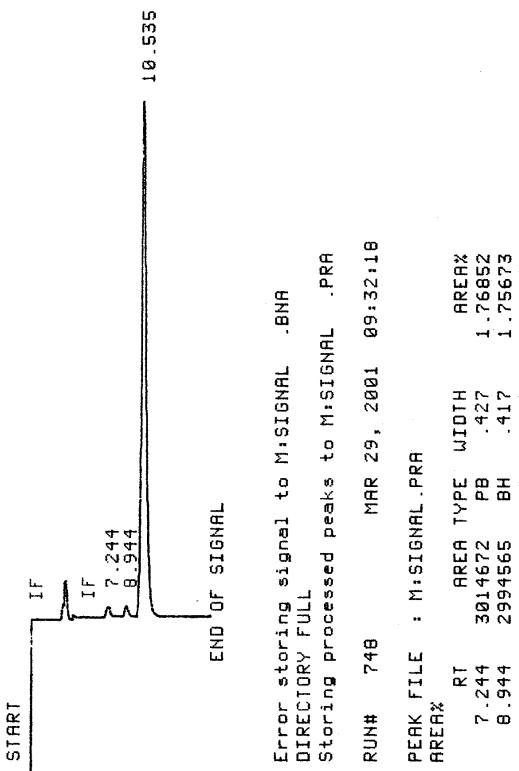
Racemic 3b



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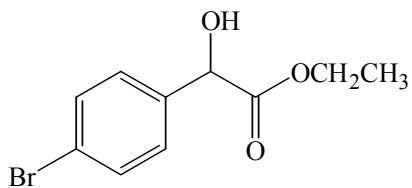


Isolated optically active 3b

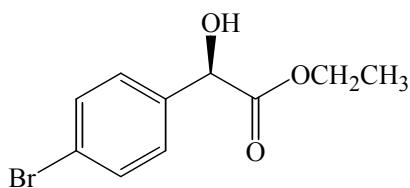
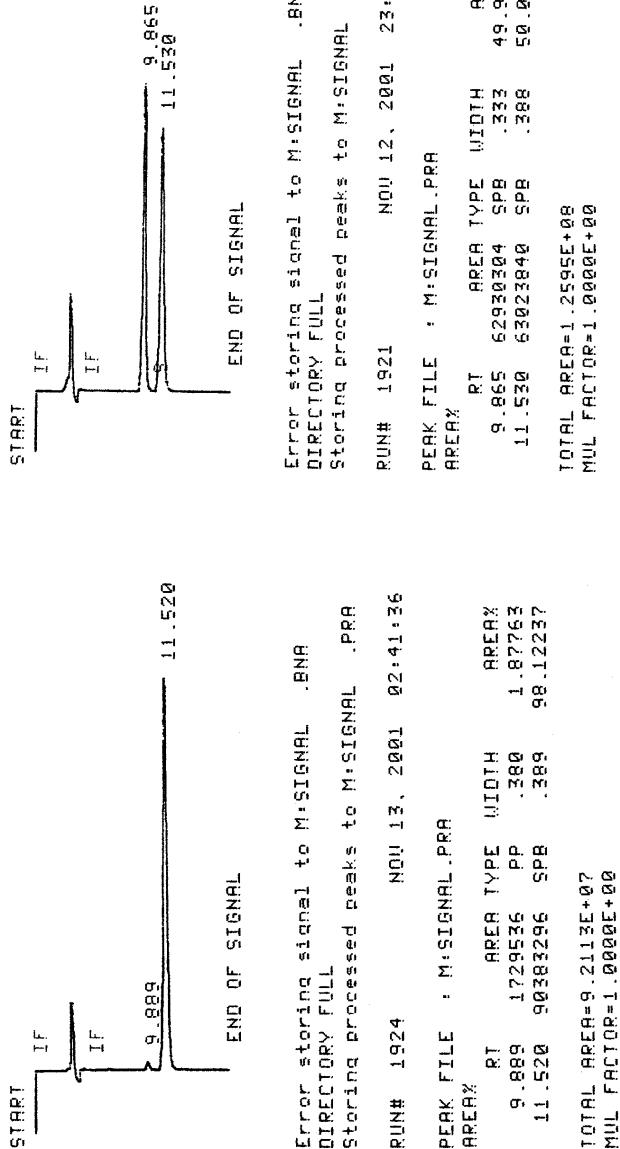


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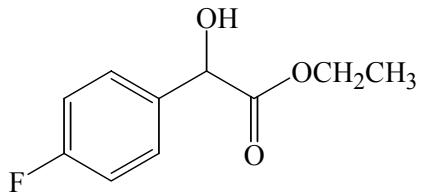


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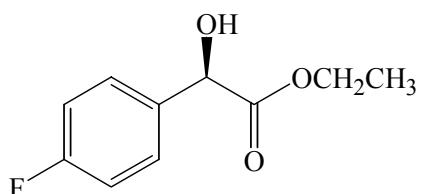
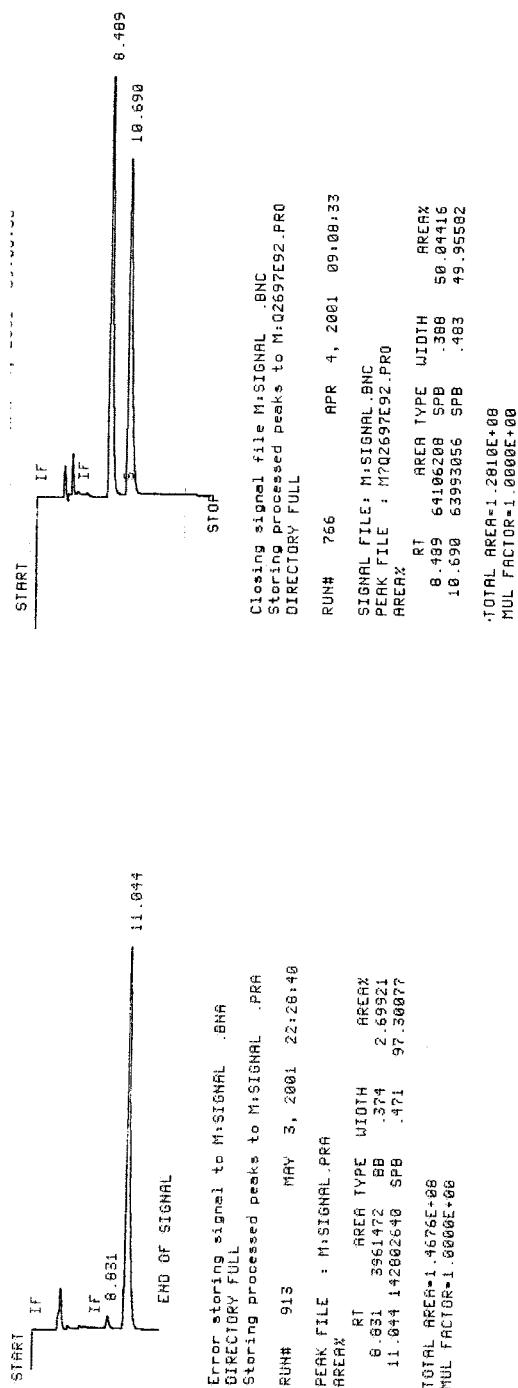


Isolated optically active **3c**

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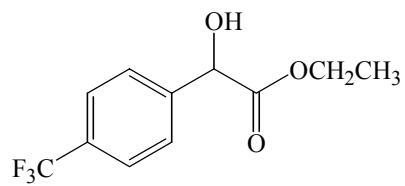


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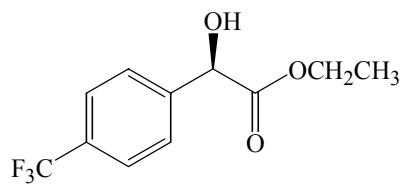
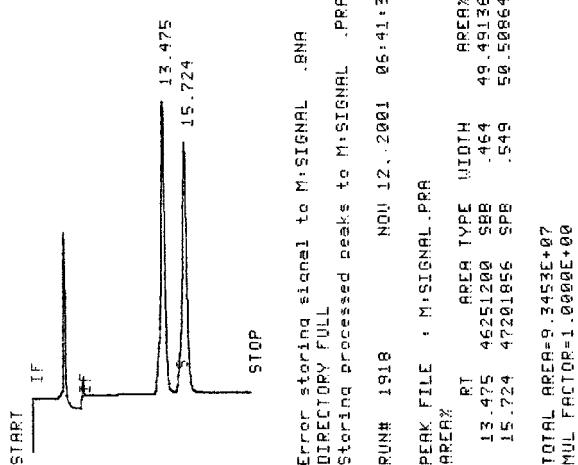


Isolated optically active 3d

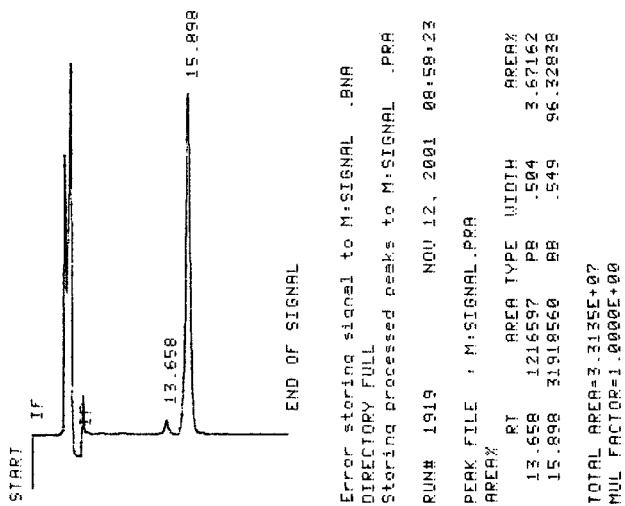
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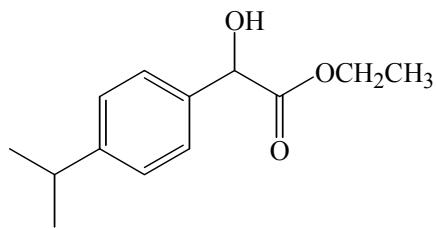
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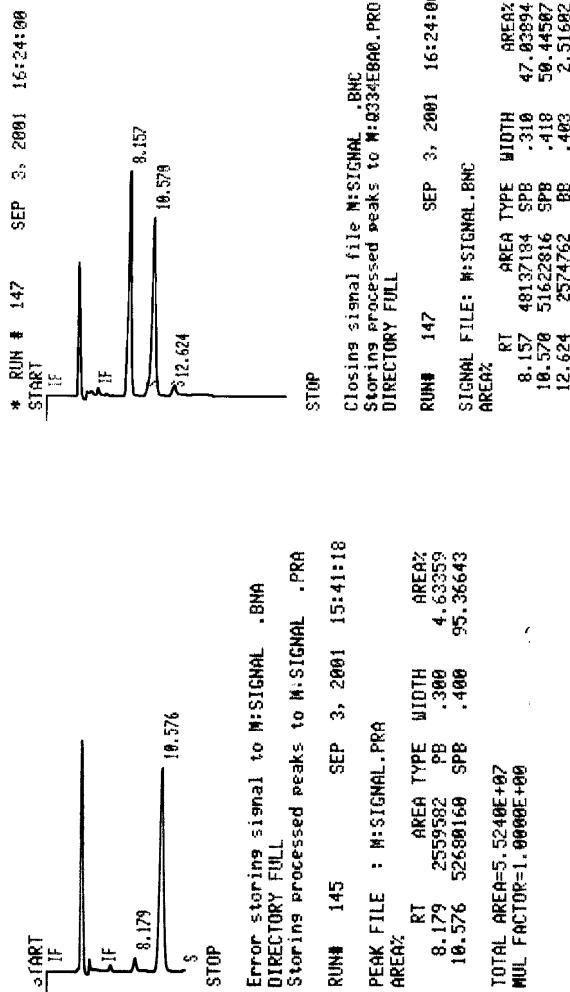
Isolated optically active 3e



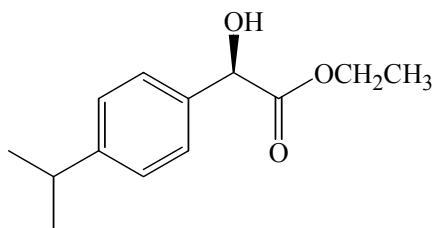
HPLC Conditions: Chiralpak OD, Hexanes:IPA, 20:1, 1.0 mL/min, λ 220 nm.



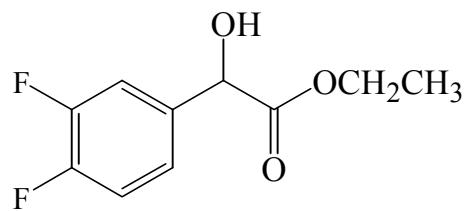
Racemic 3f



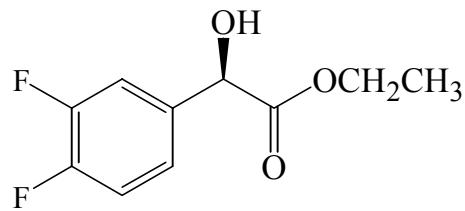
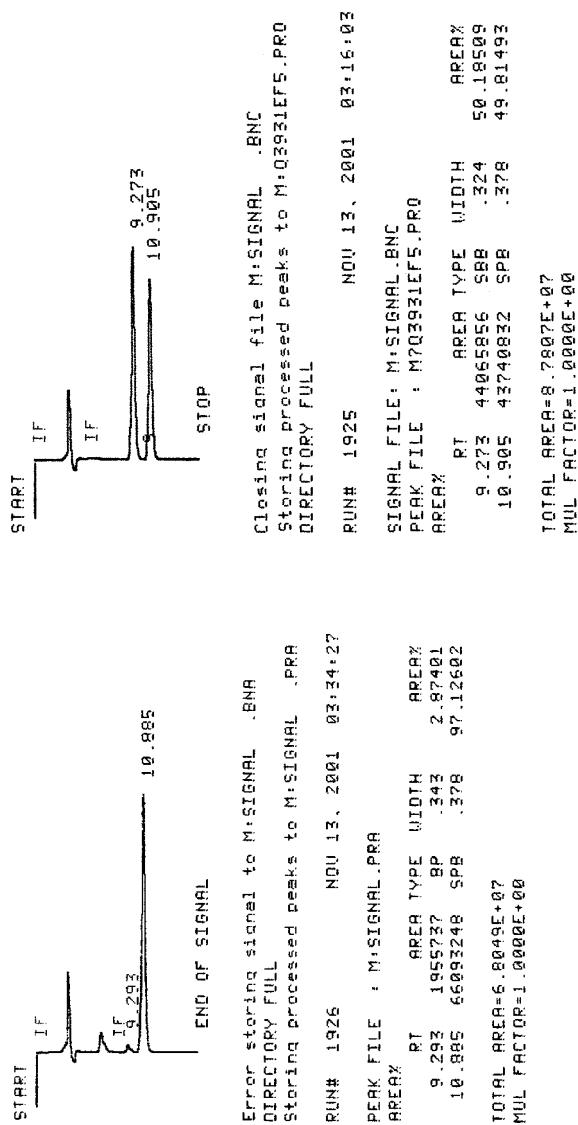
Isolated optically active 3f



HPLC Conditions: Chiralpak OD, Hexanes:IPA, 20:1, 1.0 mL/min, λ 220 nm.

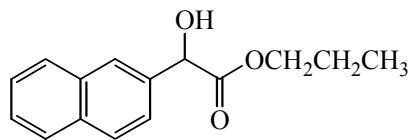


Racemic 3g

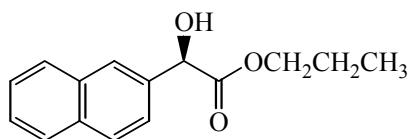
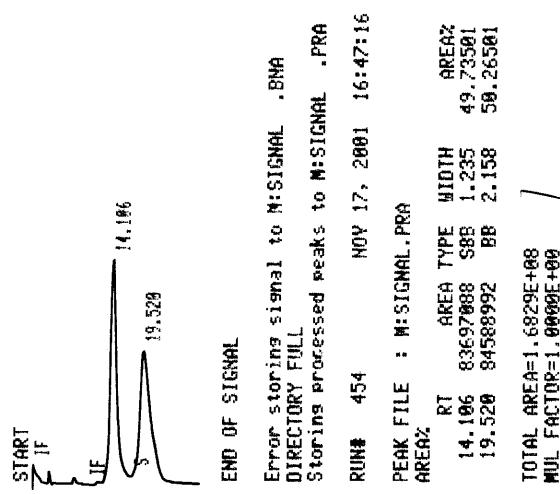


Isolated optically active 3g

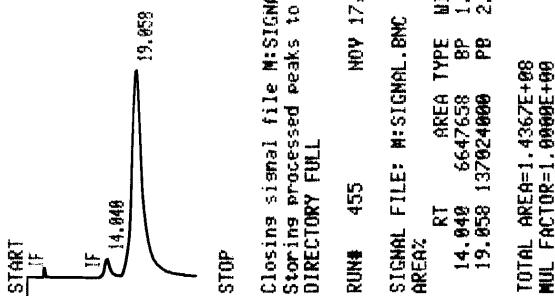
HPLC Conditions: Chiraldex AS, Hexanes:IPA, 19:1, 1.0 mL/min, λ 280 nm.



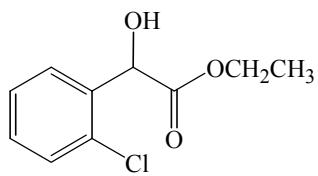
Racemic 3h



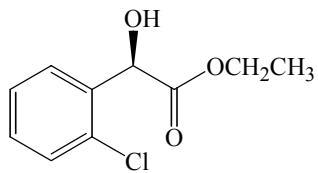
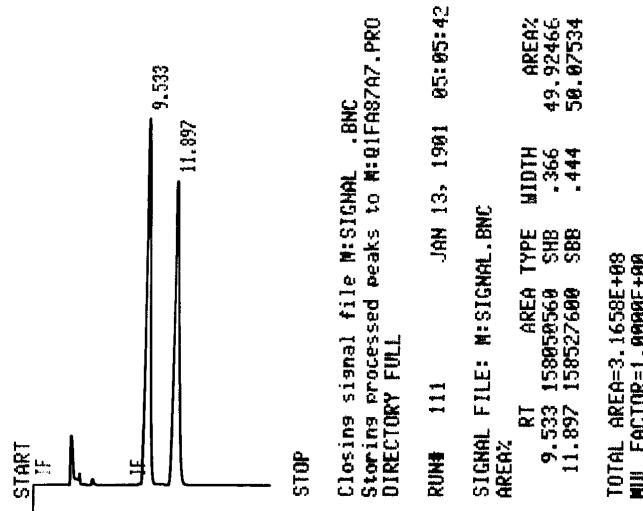
Isolated optically active **3h**



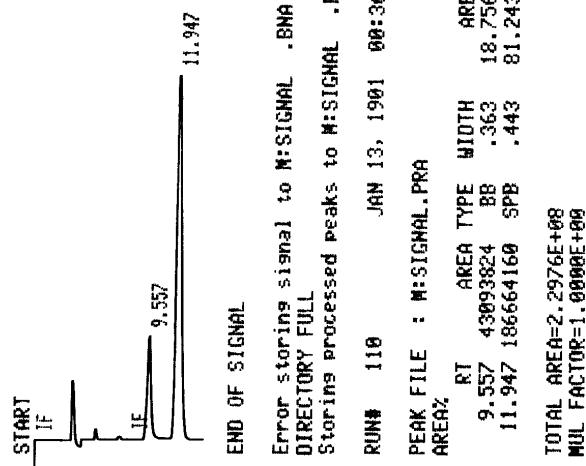
HPLC Condition: Chiralpak OD, Hexanes:IPA, 20:1, 1.0 mL/min, λ 220 nm.



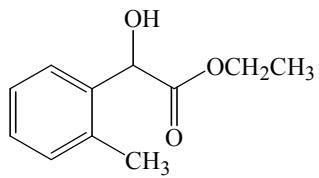
Racemic 3i



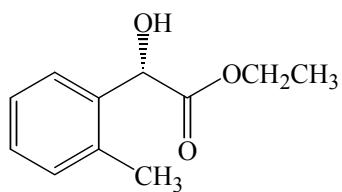
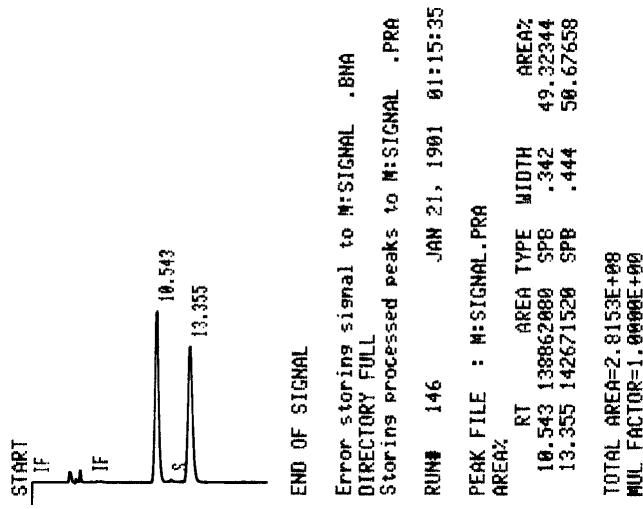
Isolated optically active 3i



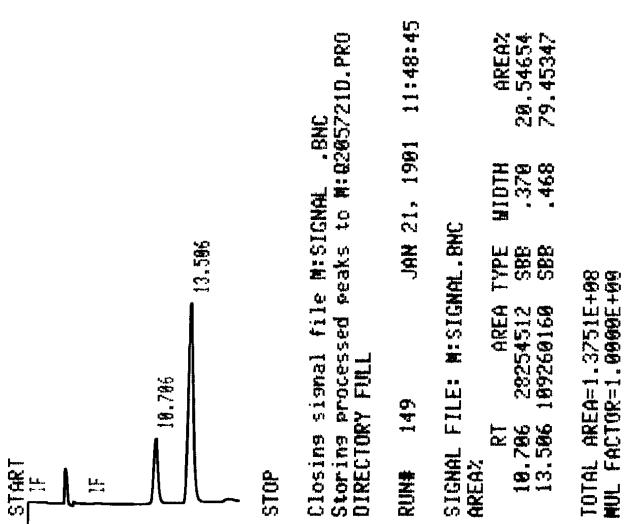
HPLC Condition: Chiralpak OD, Hexanes:IPA, 19:1, 1.0 mL/min, λ 220 nm.



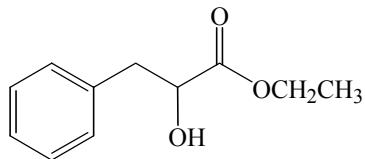
Racemic 3j



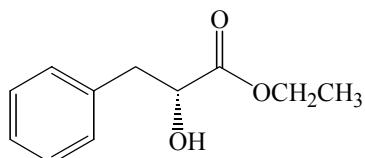
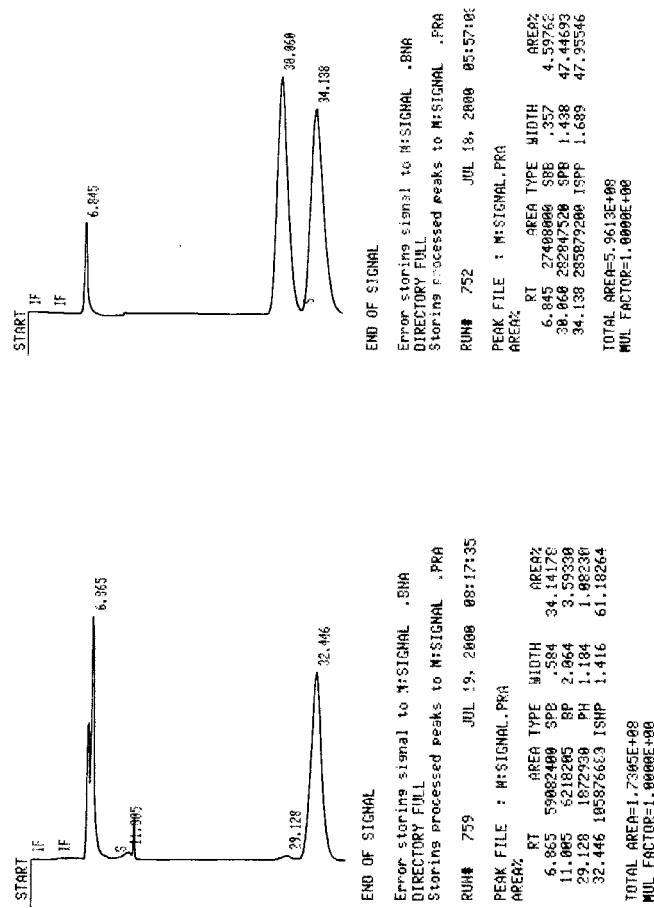
Isolated optically active 3j



HPLC Condition: Chiralpak AS, Hexanes:IPA, 50:1, 0.5 mL/min, λ 220 nm.

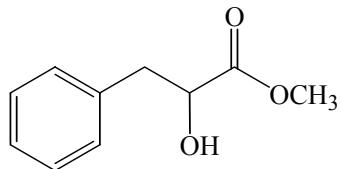


Racemic 3k

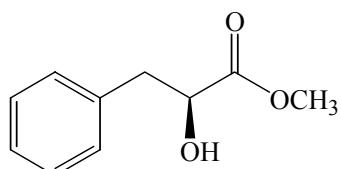
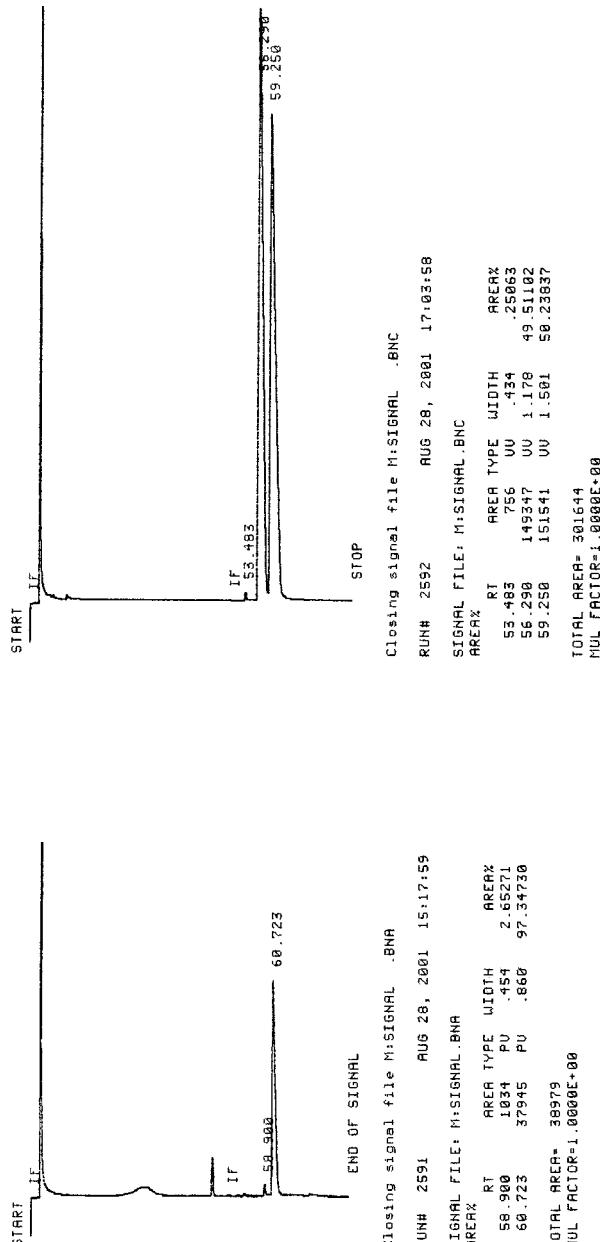


Isolated optically active 3k

GC Conditions: HP chiral 20% Permethylated B-Cyclodextrin, 100 °C, 20 min, 0.5 °C/min ramp, 130 °C (10 min).

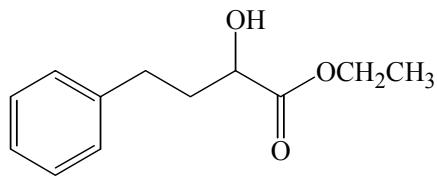


Derived from racemic acid **1k**

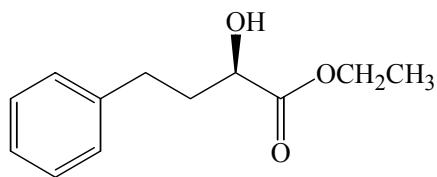
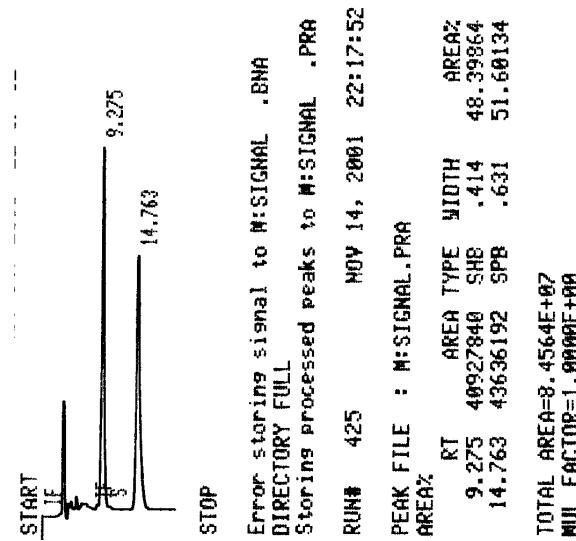


Derived from optically active acid **1k**

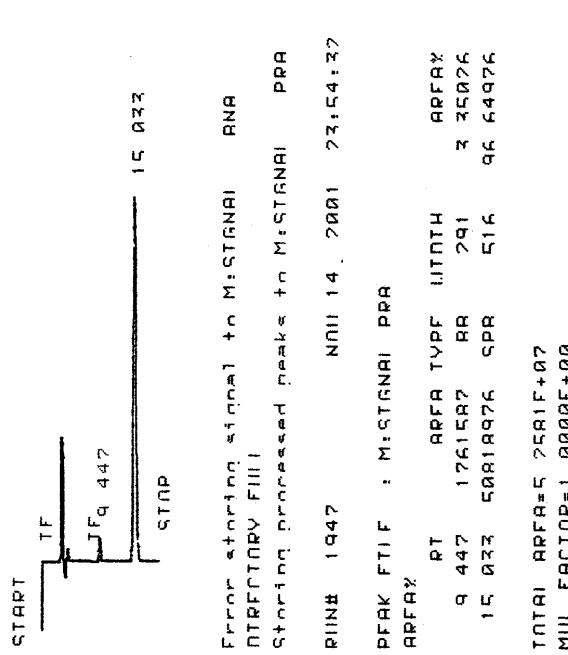
HPLC Conditions: Chiralpak OD, Hexanes:IPA, 20:1, 1 mL/min, λ 220 nm.



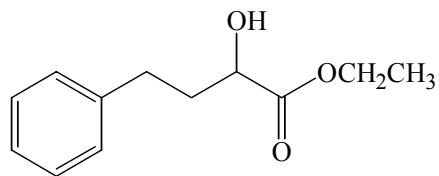
Racemic 3l



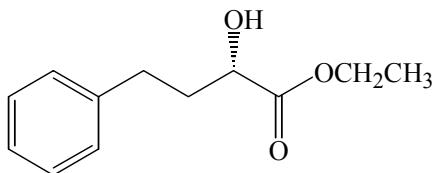
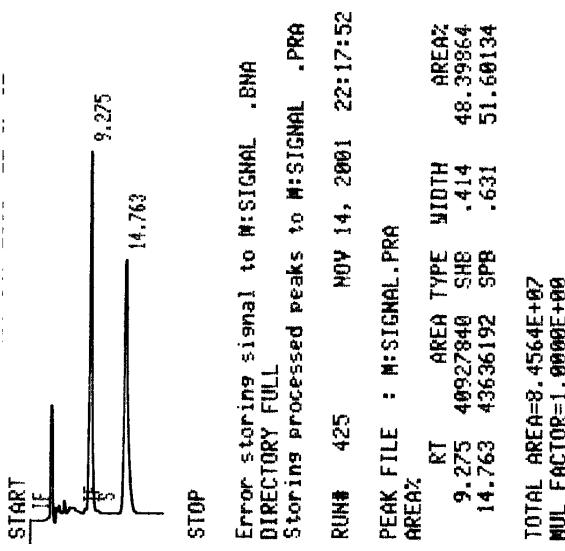
Isolated optically active 3l



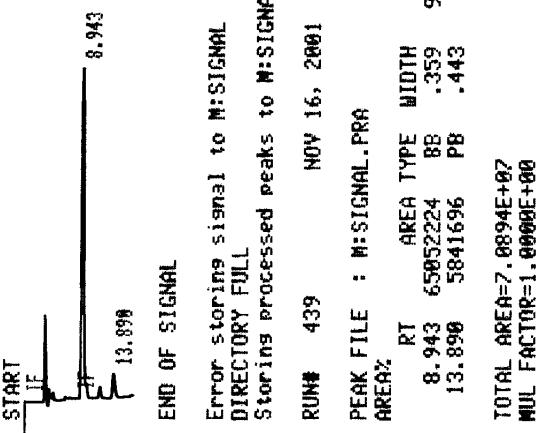
HPLC Conditions: Chiralpak OD, Hexanes:IPA, 20:1, 1 mL/min, λ 220 nm.



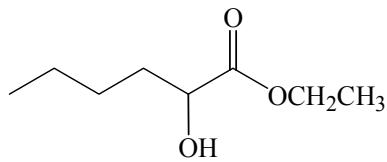
Derived from racemic acid 11



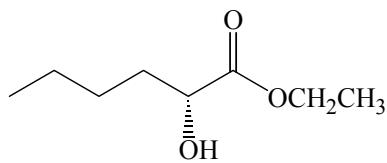
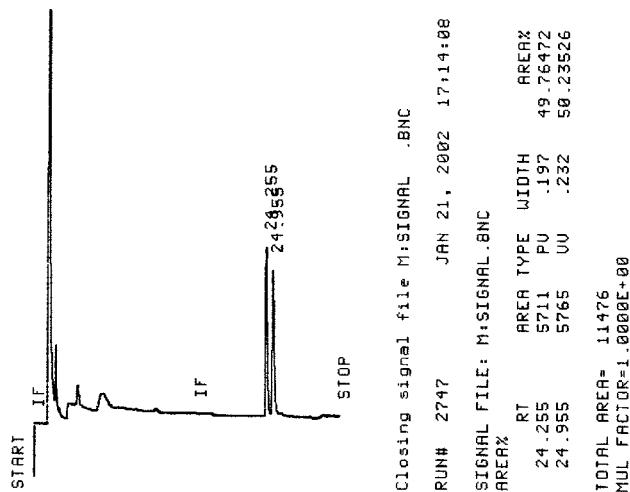
Derived from isolated optically pure acid **11**



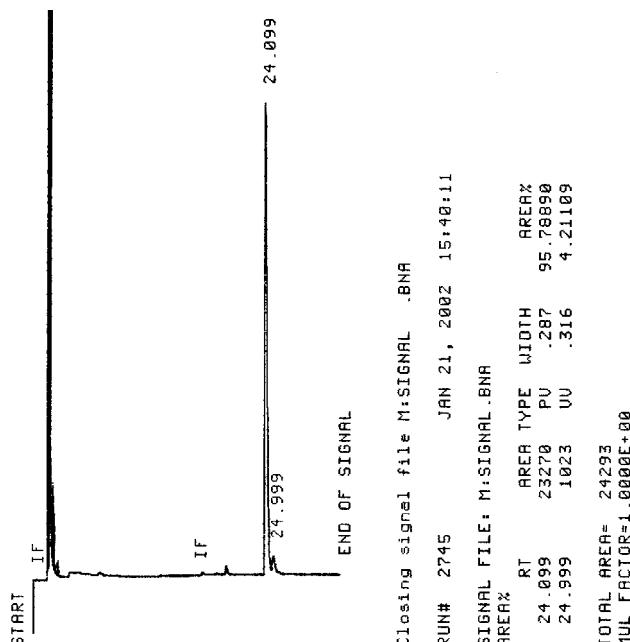
GC Conditions: Gamma cyclodextrin Trifluoroacetyl, 60°, 2 min, 1°/min ramp, 90° (10 min).



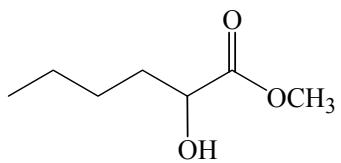
Racemic 3m



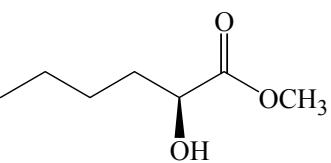
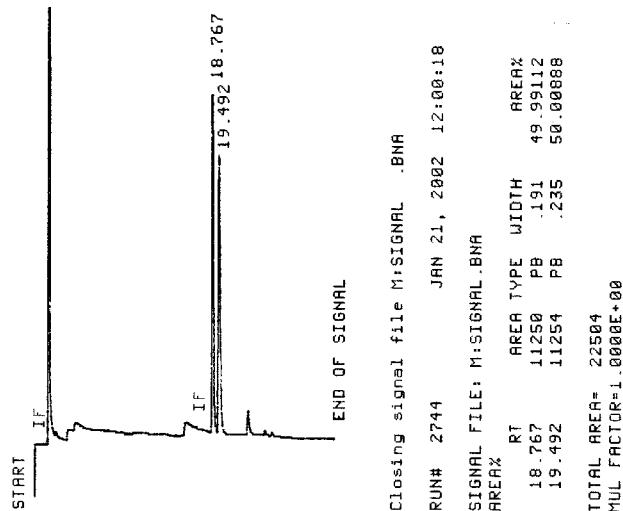
Isolated optically active 3m



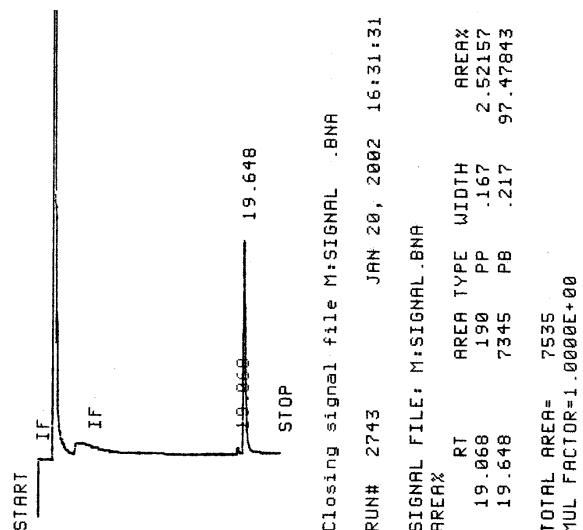
GC Conditions: Gamma cyclodextrin Trifluoroacetyl, 60°, 2 min, 1°/min ramp, 90° (10 min).



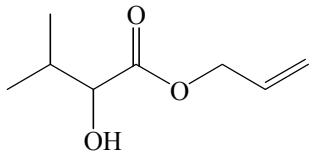
Derived from racemic acid **1m**



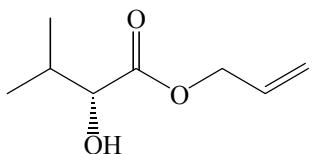
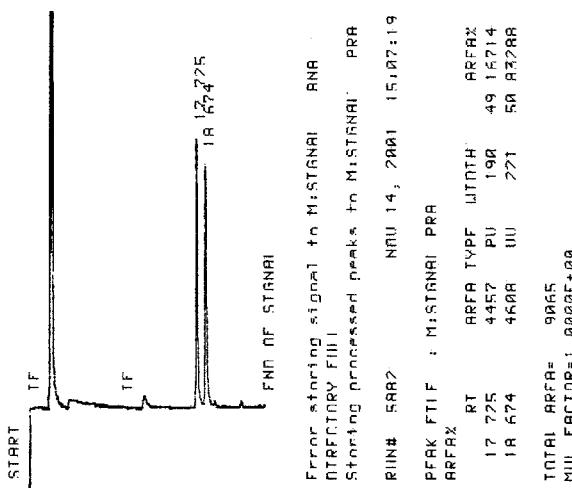
Derived from optically active acid **1m**



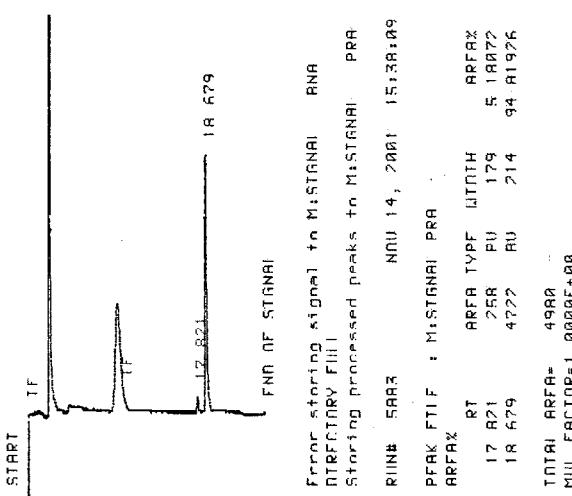
GC Conditions: γ -TA, 80°C



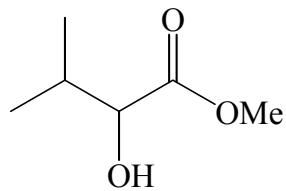
Racemic 3n



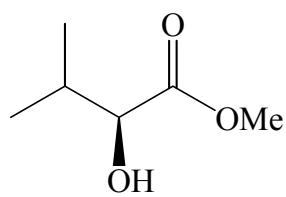
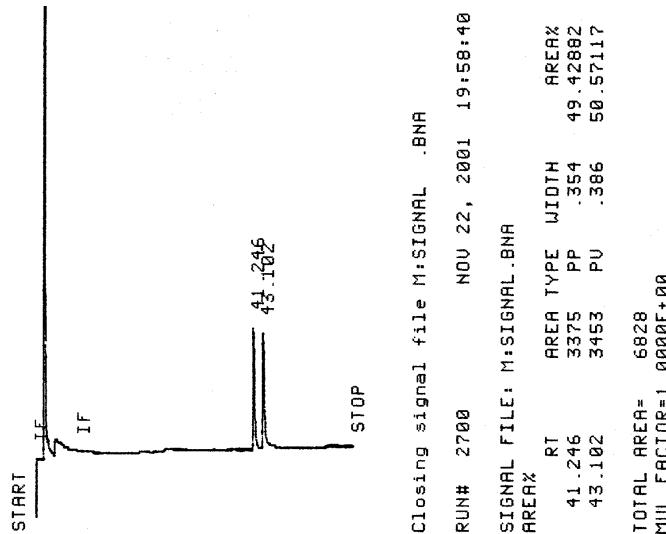
Isolated optically active 3n



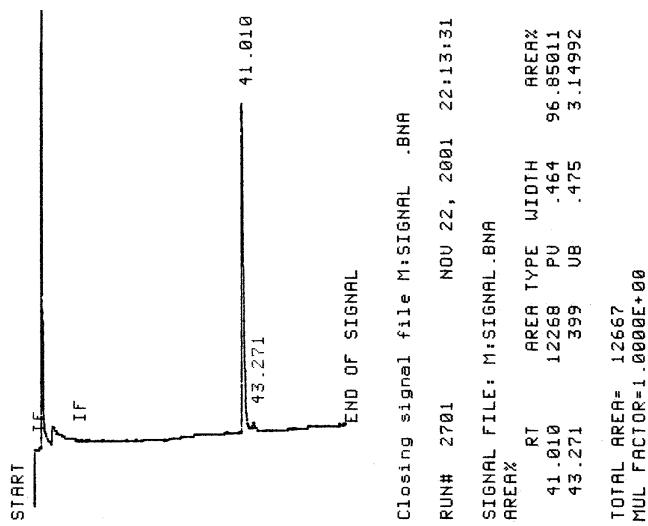
GC Conditions: Gamma cyclodextrin Trifluoroacetyl, 40 °C, 20 min, 0.5°/min ramp, 60 °C (10 min).



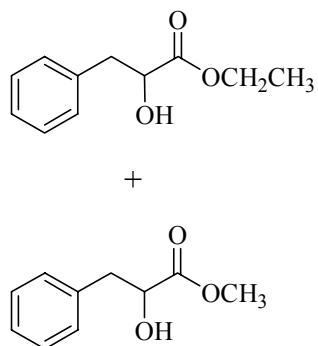
Derived from racemic acid **1n**



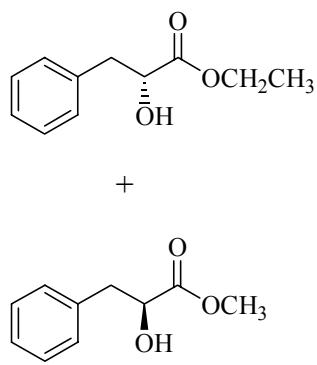
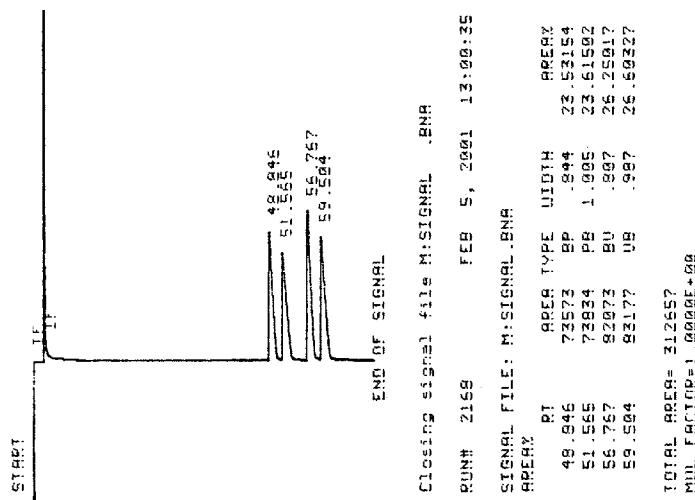
Derived from optically active acid **1n**



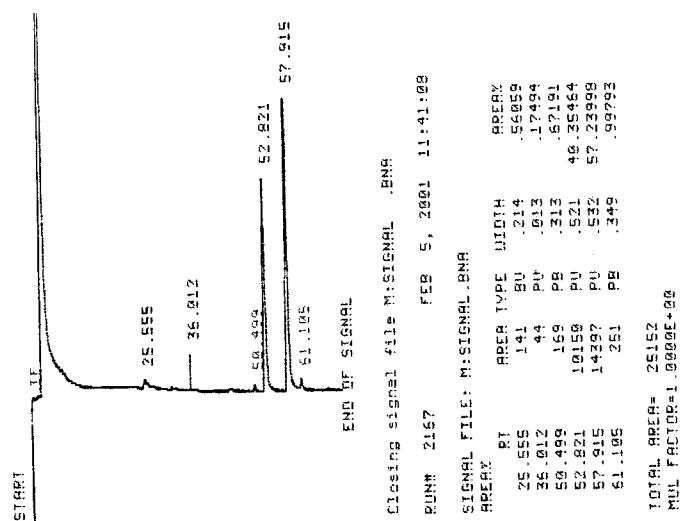
GC Conditions: HP chiral 20% Permethylated B-Cyclodextrin, 100 °C, 20 min, 0.5 °C/min ramp, 130 °C (10 min).



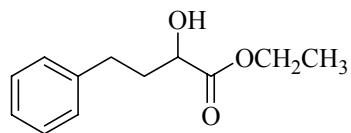
Racemic **3k** and **5k**



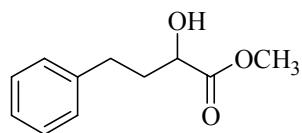
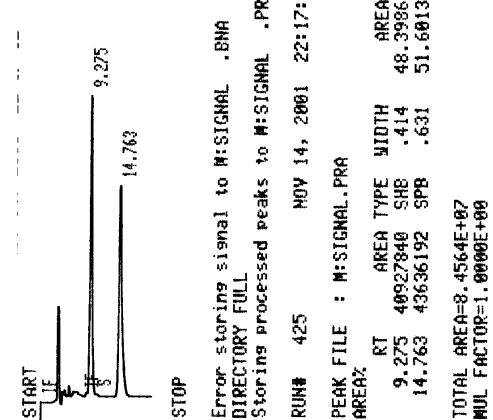
Optically active **3k** and **5k** derived from reaction mixture



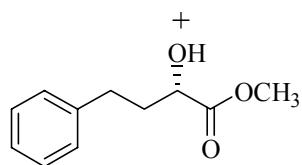
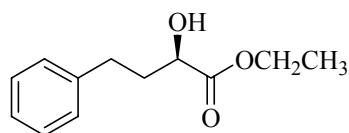
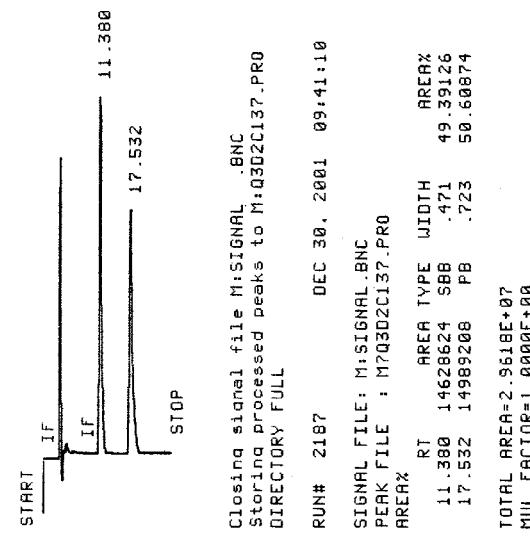
HPLC Conditions: Chiralpak OD, Hexanes:IPA, 20:1, 1 mL/min, λ 220 nm.



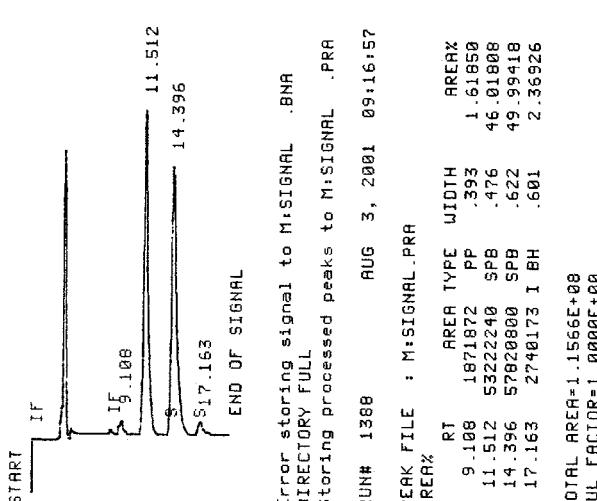
Racemic 3l



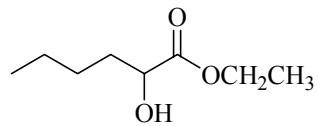
Racemic 5l



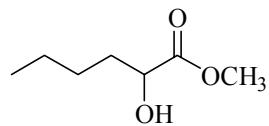
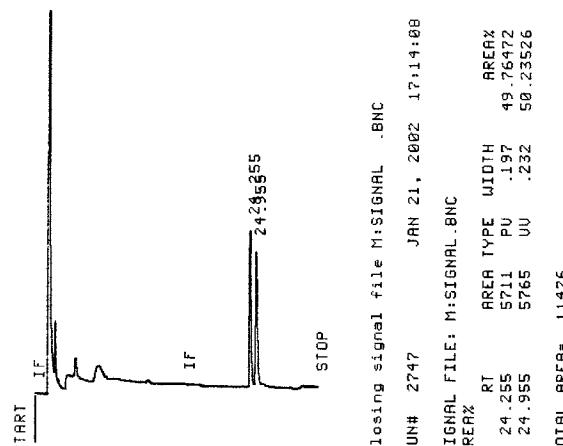
Optically active 3l and 1l derived from reaction mixture



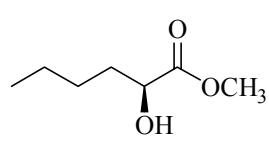
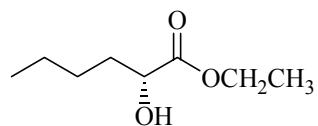
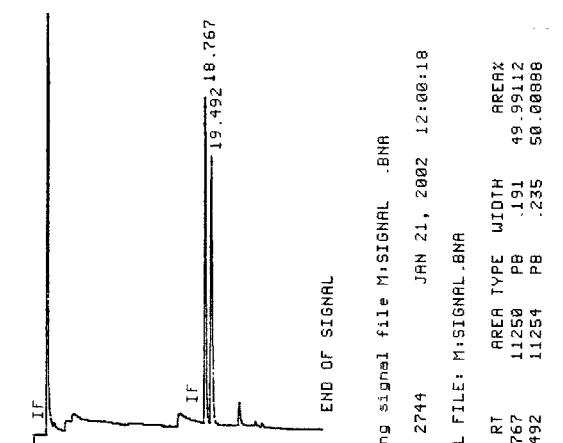
GC Conditions: Gamma cyclodextrin Trifluoroacetyl, 60°, 2 min, 1°/min ramp, 80° (10 min).



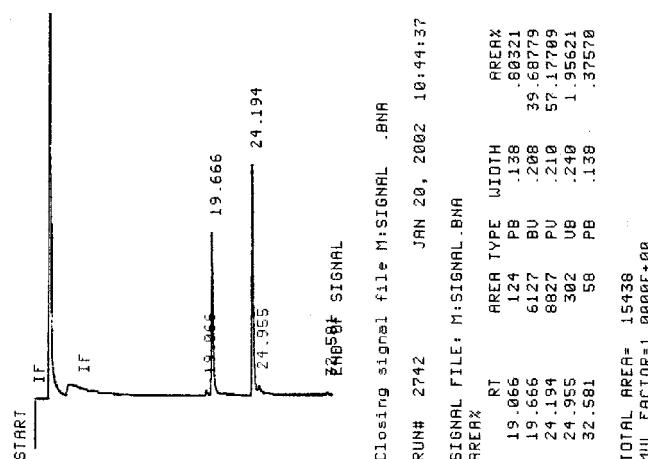
Racemic 3m



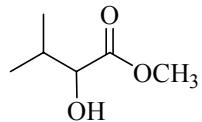
Racemic 5m



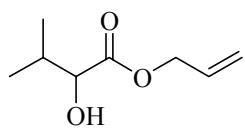
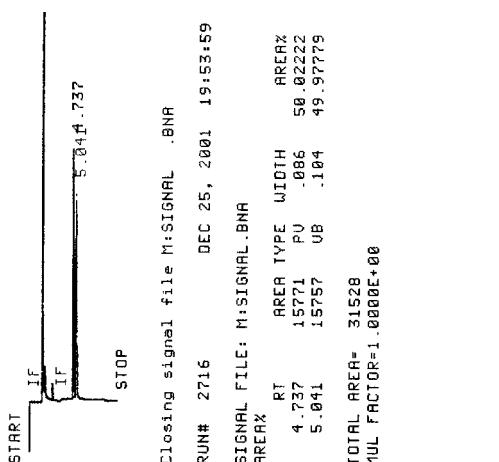
Optically active **3m** and **5m** derived from reaction mixture



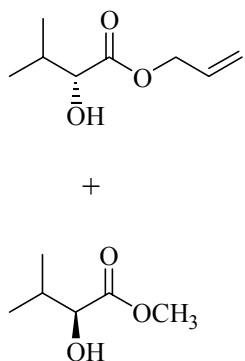
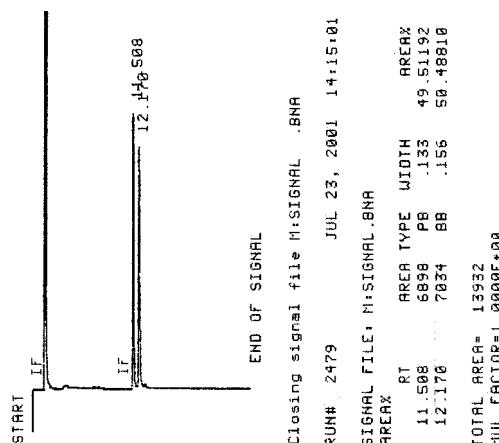
GC Conditions: Gamma cyclodextrin Trifluoroacetyl, 80°C



Racemic 5n



Racemic 3n



Optically active 3n and 5n derived from reaction mixture

