

# Dynamic Kinetic Resolution via Dual-Function Catalysis of Modified Cinchona Alkaloids: Asymmetric Synthesis of $\alpha$ -Hydroxy Carboxylic Acids

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## Supporting Information

**General Information.**  $^1\text{H}$  and  $^{13}\text{C}$  NMR were recorded on Varian instruments (400 MHz and 100 MHz, respectively) and internally referenced to a tetramethylsilane signal. Data for  $^1\text{H}$  NMR are reported as chemical shift (ppm), multiplicity (s = singlet, d = doublet, t = triplet, q = quartet, m = multiplet), coupling constant (Hz), integration. Data for  $^{13}\text{C}$  NMR are reported as chemical shift from tetramethylsilane with the solvent as the internal standard). Infrared spectra were recorded on a Perkin Elmer FT-IR Spectrometer and reported in frequency of absorption. Low-resolution mass spectra for all the new compounds done by either 20 eV,  $\text{CH}_4/\text{CI}$  or  $\text{NH}_3/\text{CI}$  were recorded on a Hewlett-Packard 5989A GC/MS, and exact mass spectra on a VG 7070 high resolution mass spectrometer. Specific rotations were measured on a Jasco Digital Polarometer.

Liquid chromatography was performed on EM Science silica gel 60 ( $\text{SiO}_2$ , 230-400 mesh). Thin layer chromatography was performed on EM Science 0.25 mm silica gel 60 F<sub>254</sub> plates. Gas chromatography (GC) analysis was performed on a Hewlett – Packard 6890 series instrument using the columns indicated. High performance liquid chromatography (HPLC) analysis was performed on a Hewlett–Packard 1100 series instrument using the columns indicated.

Mandelic acid, 4-chloromandelic acid, 4-bromomandelic acid, 4-trifluoromethyl mandelic acid, 2-hydroxycaproic acid and 2-hydroxy-3-methylbutyric acid were purchased from Aldrich (Milwaukee) and used without further purification. Phenyllactic acid and 3,4-difluoromandelic acid were purchased from Lancaster. Diphosgene and 2-chloromandelic acid were purchased from Alfa Aesar. 4-Isopropylmandelic acid, 1-naphthaleneglycolic acid, 4-fluoromandelic acid and 2-methylmandelic acid were prepared from corresponding benzaldehyde according to a literature procedure.<sup>1</sup> 2-Hydroxy-4-phenylbutyric acid was prepared from benzaldehyde and pyruvic acid according to literature procedure.<sup>2,3,4</sup> All reactions were conducted in flame-dried glassware under  $\text{N}_2$  atmosphere. 4 Å molecular sieves were flame-dried under reduced pressure (0.2 mmHg) immediately prior to use. Diethyl ether and tetrahydrofuran (THF) were distilled from sodium ketyl benzophenone immediately prior to use. Ethanol, allyl alcohol and *n*-propanol were freshly distilled from calcium hydride.

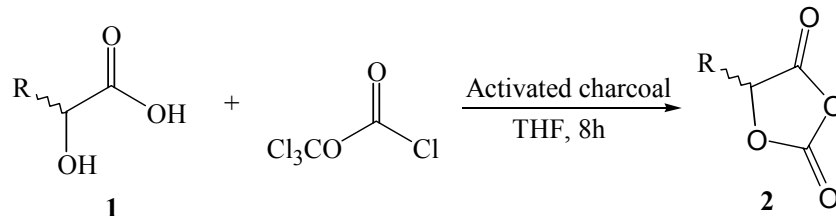
<sup>1</sup> Compere, E. L. *J. Org. Chem.* **1968**, 33, 2565.

<sup>2</sup> Stecher, E. D.; Ryder, H. F. *J. Am. Chem. Soc.* **1952**, 74, 4392.

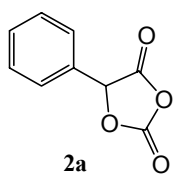
<sup>3</sup> Clawson, P.; Lunn, P. M.; Whiting, D. A. *J. Chem. Soc. Perkin trans I* **1990**, 159.

<sup>4</sup> Nikaido, T.; Takase, I. *Jpn. Kokai Tokkyo Koho* **1991**.

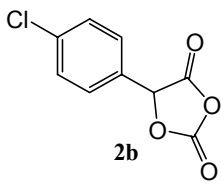
## General Procedure for Preparations of 5-Substituted 1,3-Dioxolane-2,4-Diones



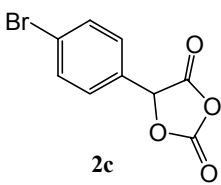
To a solution of  $\alpha$ -hydroxy acid (10.0 mmol) in anhydrous THF (10 mL), diphosgene (12.0 mmol, 1.5 mL) was added in one portion via a syringe. The resulting mixture was treated with activated charcoal (~30 mg). The reaction mixture was stirred for 8 hours at room temperature. The mixture was filtered through celite, the filtrate was concentrated and the resulting residue was subjected to vacuum (~0.2 mmHg) for 1-2 h to give the desired 5-Substituted 1,3-Dioxolane-2, 4-Diones in 90-100 % yield.



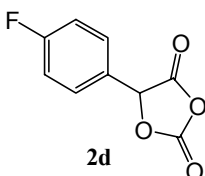
This product was obtained as a white solid in quantitative yield from the corresponding racemic hydroxy acid.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  6.02 (s, 1H), 7.40-7.46 (m, 5H);  $^{13}\text{C}$  NMR (100MHz,  $\text{CDCl}_3$ )  $\delta$  80.40, 126.11, 129.22, 129.53, 130.78, 147.96, 165.28; IR ( $\text{CHCl}_3$ )  $\nu$  3096, 1900, 1817, 1495, 1245, 1067  $\text{cm}^{-1}$ .



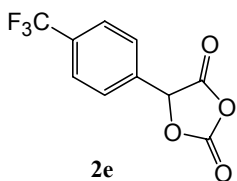
This product was obtained as a white solid in quantitative yield from the corresponding racemic hydroxy acid.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  6.01 (s, 1H), 7.37-7.40 (m, 2H), 7.45-7.48 (m, 2H);  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ )  $\delta$  79.60, 127.38, 127.56, 129.77, 137.02, 147.80, 164.94  $\text{cm}^{-1}$ ; IR ( $\text{CHCl}_3$ )  $\nu$  3048, 2989, 1899, 1816, 1485, 1270, 1241, 1171, 1042  $\text{cm}^{-1}$ ; HRMS (DEI) exact mass calcd for ( $\text{C}_9\text{H}_5\text{O}_4\text{Cl}^+$ ) requires m/z 211.9876, found m/z 211.9881.



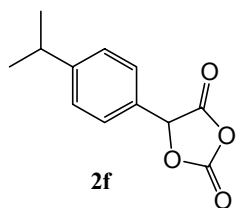
This product was obtained as a white solid in quantitative yield from the corresponding racemic hydroxy acid.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  6.00 (s, 1H), 7.29-7.32 (m, 2H), 7.60-7.63 (m, 2H);  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ )  $\delta$  79.98, 125.42, 127.77, 127.90, 128.35, 132.96, 148.14, 165.28; IR ( $\text{CHCl}_3$ )  $\nu$  3053, 2987, 1900, 1816, 1491, 1265, 1243, 1073  $\text{cm}^{-1}$ ; HRMS (DEI) exact mass calcd for ( $\text{C}_9\text{H}_5\text{O}_4\text{Br}^+$ ) requires m/z 255.9371, found m/z 255.9382.



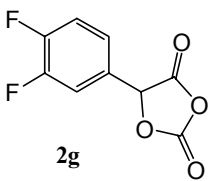
This product was obtained as a white solid in quantitative yield from the corresponding racemic hydroxy acid.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  6.01 (s, 1H), 7.15-7.22 (m, 2H), 7.42-7.46 (m, 2H);  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ )  $\delta$  79.75, 116.81 (d,  $J$  = 23 Hz), 125.05, 128.32 (d,  $J$  = 8 Hz), 147.69, 163.92 (d,  $J$  = 236 Hz), 165.24; IR ( $\text{CHCl}_3$ )  $\nu$  3056, 1899, 1812, 1514, 1265, 1235  $\text{cm}^{-1}$ ; HRMS (DEI) exact mass calcd for ( $\text{C}_9\text{H}_5\text{O}_4\text{F}^+$ ) requires m/z 196.0172, found m/z 196.0167.



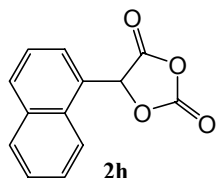
This product was obtained as a white solid in quantitative yield from the corresponding racemic hydroxy acid.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  6.10 (s, 1H), 6.62 (d, 2H,  $J = 8.0$  Hz);  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ )  $\delta$  79.19, 123.39 (q,  $J = 271$  Hz), 126.16, 126.55 (q,  $J = 3.8$  Hz), 132.82, 132.91 (q,  $J = 33.4$  Hz), 147.40, 164.52; IR ( $\text{CHCl}_3$ )  $\nu$  3057, 1902, 1816, 1329, 1265, 1069  $\text{cm}^{-1}$ ; HRMS (DEI) exact mass calcd for ( $\text{C}_{10}\text{H}_5\text{O}_4\text{F}_3$ ) $^+$  requires  $m/z$  246.0140, found  $m/z$  246.0147.



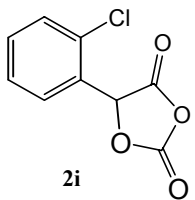
This product was obtained as a white solid in quantitative yield from the corresponding racemic hydroxy acid.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  1.26 (d, 6H,  $J = 6.4$  Hz), 2.90-3.01 (m, 1H), 5.98 (s, 1H), 7.33-7.35 (m, 4H);  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ )  $\delta$  23.73, 33.99, 80.60, 126.39, 126.56, 127.65, 152.04, 165.49; IR ( $\text{CHCl}_3$ )  $\nu$  3040, 2964, 1897, 1812, 1265, 1066  $\text{cm}^{-1}$ ; HRMS (CI) exact mass calcd for ( $\text{C}_{12}\text{H}_{12}\text{O}_4$ ) $^+$  requires  $m/z$  220.0736, found  $m/z$  220.0734.



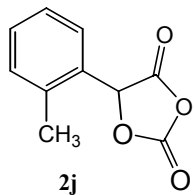
This product was obtained as a pale yellow solid in quantitative yield from the corresponding racemic hydroxy acid.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  5.99 (s, 1H), 7.22-7.35 (m, 3H);  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ )  $\delta$  78.79, 115.59 (d,  $J = 19.8$  Hz), 118.75 (d,  $J = 17.5$  Hz), 122.40 (dd,  $J = 7.6, 4.6$  Hz), 125.83 (dd,  $J = 5.4, 4.5$  Hz), 147.32, 150.88 (dd,  $J = 250, 12.2$  Hz), 157.79 (dd,  $J = 250, 9.8$  Hz), 164.55; IR ( $\text{CHCl}_3$ )  $\nu$  3053, 2987, 1897, 1815, 1518, 1421, 1265  $\text{cm}^{-1}$ .



This product was obtained as a white solid in quantitative yield from the corresponding racemic hydroxy acid.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  6.76 (s, 1H), 7.51-7.70 (m, 4H), 7.92-8.02 (m, 3H);  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ )  $\delta$  78.88, 122.37, 124.89, 125.08, 126.92, 127.89, 129.26, 130.31, 131.82, 133.97, 148.07, 165.16; IR ( $\text{CHCl}_3$ )  $\nu$  3053, 1896, 1816, 1265, 1078  $\text{cm}^{-1}$ ; HRMS (DEI) exact mass calcd for ( $\text{C}_{13}\text{H}_8\text{O}_4$ ) $^+$  requires  $m/z$  228.0422, found  $m/z$  228.0414.

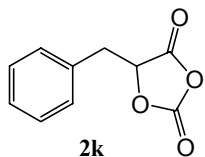


This product was obtained as a white solid in quantitative yield from the corresponding racemic hydroxy acid.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  6.18 (s, 1H), 7.38-7.54 (m, 5H);  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ )  $\delta$  79.63, 127.20, 127.78, 130.91, 130.97, 132.77, 134.13, 147.91, 164.65; IR ( $\text{CHCl}_3$ )  $\nu$  3054, 2986, 1899, 1816, 1298, 1264, 1068, 1031  $\text{cm}^{-1}$ ; HRMS (DEI) exact mass calcd for ( $\text{C}_9\text{H}_5\text{O}_4\text{Cl}$ ) $^+$  requires  $m/z$  211.9876, found  $m/z$  211.9879.

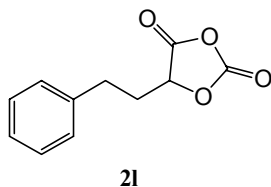


This product was obtained as a white solid in 95% yield from the corresponding racemic hydroxy acid.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  2.47 (s, 3H), 6.22 (s, 1H), 7.25-7.34 (m, 3H), 7.37-7.43 (m, 1H);  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ )  $\delta$  19.14, 79.28, 126.60, 126.94, 127.67, 131.00, 131.66, 136.99, 148.17, 165.46; IR ( $\text{CHCl}_3$ )  $\nu$  3054, 2986,

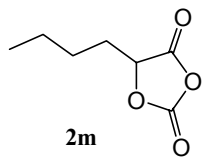
1896, 1813, 1464, 1421, 1263, 1069, 1024  $\text{cm}^{-1}$ .



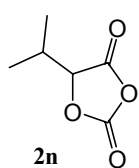
This product was obtained as a white solid in quantitative yield from corresponding racemic hydroxy acid.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  3.23-3.41 (m, 2H), 5.31 (t, 1H,  $J = 4.8$  Hz), 7.22-7.36 (m, 5H);  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ )  $\delta$  36.41, 79.86, 128.40, 129.15, 129.65, 131.48, 147.76, 166.28; IR ( $\text{CHCl}_3$ )  $\nu$  3056, 2987, 1888, 1808, 1455, 1340, 1266, 1078  $\text{cm}^{-1}$ ; HRMS (EI) exact mass calcd for ( $\text{C}_{10}\text{H}_8\text{O}_4^+$ ) requires  $m/z$  192.0422, found  $m/z$  192.0419.



This product was obtained as a white solid in quantitative yield from corresponding racemic hydroxy acid.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  2.22-2.42 (m, 2H), 2.78-2.94 (m, 2H), 4.97 (dd, 1H,  $J = 8.0, 5.0$  Hz), 7.18-7.36 (m, 5H);  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ )  $\delta$  30.11, 32.35, 78.40, 127.02, 128.51, 128.91, 138.08, 148.11, 167.04; IR ( $\text{CHCl}_3$ ) 3054, 2986, 1892, 1812, 1496, 1330, 1239, 1066  $\text{cm}^{-1}$ ; HRMS exact mass calcd for ( $\text{C}_{11}\text{H}_{11}\text{O}_4^+$ ) requires  $m/z$  207.0657, found  $m/z$  207.0655.

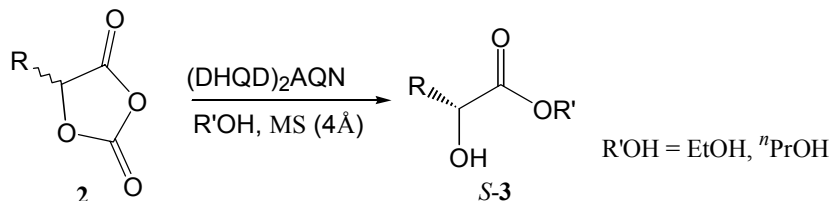


This product was obtained as a colorless liquid in 92% yield from corresponding racemic hydroxy acid.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  0.95 (t, 3H,  $J = 7.2$  Hz), 1.36-1.58 (m, 4H), 1.90-2.12 (m, 2H), 5.06 (dd, 1H,  $J = 7.6, 4.8$  Hz);  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ )  $\delta$  13.58, 21.88, 25.95, 30.46, 79.72, 148.25, 167.14; IR ( $\text{CHCl}_3$ )  $\nu$  2936, 2856, 1895, 1265, 1067  $\text{cm}^{-1}$ ; HRMS (DEI) exact mass calcd for ( $\text{C}_7\text{H}_{11}\text{O}_4^+$ ) requires  $m/z$  159.0657, found  $m/z$  159.0652.



This product was obtained as a colorless liquid in 90% yield from corresponding racemic hydroxy acid.  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  1.09 (d, 3H,  $J = 7.2$  Hz), 1.16 (d, 3H,  $J = 7.2$  Hz), 2.32-2.42 (m, 1H), 4.90 (d, 1H,  $J = 4.0$  Hz);  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ )  $\delta$  15.97, 17.41, 30.58, 84.01, 148.40, 166.39; IR ( $\text{CHCl}_3$ )  $\nu$  2974, 1889, 1817, 1468, 1253, 1028  $\text{cm}^{-1}$ ; HRMS ( $\text{CH}_4/\text{CI}$ ) exact mass calcd for ( $\text{C}_6\text{H}_9\text{O}_4^+$ ) requires  $m/z$  145.0501, found  $m/z$  145.0500.

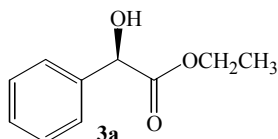
### General Procedure for Modified Cinchona Alkaloid-Catalyzed Dynamic Kinetic Resolution of 5-Aryl-1,3-Dioxolane-2,4-Diones.



A mixture of 5-aryl-1,3-dioxolane-2,4-diones (1.0 mmol) and 4 Å molecular sieves (100 mg) in anhydrous diethyl ether (50 mL) was stirred at room temperature for 15 minutes, then cooled to the temperature indicated in Table 2, after which the modified cinchona alkaloid (0.1 mmol), (DHQD)<sub>2</sub>AQN, was added to the mixture. The resulting mixture was stirred for another 5 minutes and then ethanol (1.5 eq.) was added dropwise over 10 minutes via a syringe. The resulting reaction mixture was stirred at that temperature for 8-24 hours. HCl (1 N, 5.0 mL) was added to the mixture dropwise. The resulting mixture was allowed to warm to room temperature. The organic phase was collected, washed with aqueous HCl (1 N, 2 x 5.0 mL) and the aqueous phase was extracted with ether (2 x 5.0 mL).<sup>5</sup> The combined organic phase was washed with brine, dried (Na<sub>2</sub>SO<sub>4</sub>) and concentrated. The residue was subjected to column chromatography to give the final product.

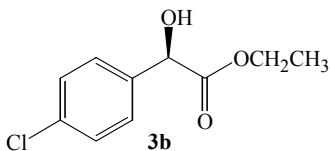
### Determination of Absolute Configurations of $\alpha$ -Hydroxy Acids **1**, $\alpha$ -Hydroxy Esters **3**

The absolute configuration of **3a**, **3b**, **3k**, **3m** and **1k-1n** were determined by comparing their optical rotation value with the literature value. The absolute configuration of **3c**, **3d**, **3e**, **3f**, **3g**, **3h**, **3i**, **3j**, **3l** and **3m** were assigned by analogy.



#### (*R*)-Ethyl-mandelate (**3a**):

This product was obtained as a white solid in 71% yield after purification by silica gel chromatography (hexanes:ethyl acetate = 4:1) and 95% ee as determined by HPLC analysis [Daicel chiralpak OD, Hexanes:IPA, 20:1, 1.0 mL/min,  $\lambda$  220 nm, *t* (major) = 17.18 min, *t* (minor) = 9.23 min].  $[\alpha]_D^{25}$  -123° (*c* = 1.0, CHCl<sub>3</sub>); (Literature,<sup>6</sup>  $[\alpha]_D^{25}$  -125.4° (*c* = 1.0, CHCl<sub>3</sub>)); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  1.22 (t, 3H, *J* = 7.3 Hz), 3.55 (d, 1H, *J* = 4.8 Hz), 4.10-4.30 (m, 2H), 5.15 (d, 1H, *J* = 4.8 Hz), 7.29-7.44 (m, 5H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  13.96, 62.16, 72.82, 126.47, 128.33, 128.50, 138.38, 173.62; IR (CHCl<sub>3</sub>)  $\nu$  3515, 3065, 2985, 1740, 1453, 1250, 1066 cm<sup>-1</sup>.



#### (*R*)-Ethyl-4-chloromandelate (**3b**):

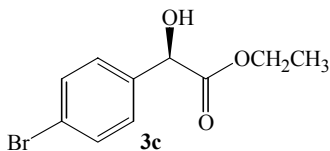
This product was obtained as a colorless oil in 70 % yield after purification by silica gel chromatography (hexanes:ethyl acetate = 4:1) and 96% ee as determined by HPLC analysis [Daicel chiralpak OD, Hexanes:IPA, 20:1, 1.0 mL/min,  $\lambda$  220 nm, *t* (major) = 10.54 min, *t* (minor) = 8.94 min].  $[\alpha]_D^{25}$  -91.3° (*c* = 1.2, CHCl<sub>3</sub>). (Literature,<sup>7</sup>  $[\alpha]_D^{25}$  - 14.7° (*c* = 1, CHCl<sub>3</sub>), for 8% ee); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  1.23 (t, 3H, *J* = 7.2 Hz), 4.16-4.28 (m, 2H), 5.14 (s, 1H), 7.32-7.40 (m, 4H); <sup>13</sup>C NMR

<sup>5</sup> Following a procedure described previously (see references **6a-d** of the text), we have recovered the catalyst quantitatively from the mixture resulting from a dynamic kinetic resolution of **2a**.

<sup>6</sup> Naoshima, Y.; Maeda, J.; Munakata, Y.; Nishiyama, T.; Kamezawa, M.; Tachibana, H. *J. Chem. Soc., Chem. Commun.* **1990**, 965.

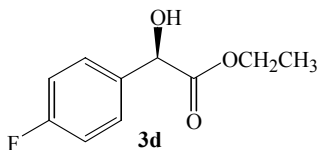
<sup>7</sup> Carpentier, J.-F.; Mortreux, A. *Tetrahedron: Asymmetry* **1997**, 8, 1083.

(100 MHz, CDCl<sub>3</sub>)  $\delta$  13.99, 62.48, 72.14, 127.86, 128.70, 134.25, 136.83, 173.29; IR (CHCl<sub>3</sub>)  $\nu$  3518, 3055, 2986, 1730, 1493, 1421, 1266, 1184, 1092 cm<sup>-1</sup>.



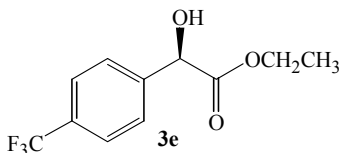
**(R)-Ethyl-4-bromomandelate (3c):**

This product was obtained as a colorless oil in 80% yield after purification by silica gel chromatography (hexanes:ethyl acetate = 4:1) and 96% ee as determined by HPLC analysis [Daicel chiralpak OD, Hexanes:IPA, 20:1, 1.0 mL/min,  $\lambda$  220 nm, t (major) = 11.52 min, t (minor) = 9.89 min].  $[\alpha]_D^{25}$  -77.8° (c = 1.4, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  1.22 (t, 3H, *J* = 8.0 Hz), 3.61 (s, 2H), 4.14-4.28 (m, 2H), 5.11 (s, 1H), 7.29-7.34 (m, 2H), 7.45-7.49 (m, 2H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  13.96, 62.43, 72.17, 122.36, 128.16, 131.60, 137.33, 173.15; IR (CHCl<sub>3</sub>)  $\nu$  3514, 3054, 2985, 1732, 1487, 1267, 1073 cm<sup>-1</sup>.



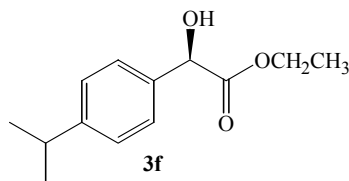
**(R)-Ethyl-4-fluoromandelate (3d):**

This product was obtained as a yellow oil in 65% yield after purification by silica gel chromatography (hexanes:ethyl acetate = 2:1) and 95% ee as determined by HPLC analysis [Daicel chiralpak OD, Hexanes:IPA, 20:1, 1.0 mL/min,  $\lambda$  220 nm, t (major) = 11.04 min, t (minor) = 8.83 min].  $[\alpha]_D^{25}$  -102.3° (c = 1.1, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  1.22 (t, 3H, *J* = 7.6 Hz), 3.58 (d, 1H, *J* = 5.2 Hz), 4.15-4.26 (m, 2H), 5.14 (d, 1H, *J* = 5.6 Hz), 7.02-7.07 (m, 2H), 7.38-7.42 (m, 2H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  13.96, 62.33, 72.14, 115.43 (d, *J* = 22 Hz), 128.24 (d, *J* = 8.3 Hz), 134.60 (d, *J* = 3 Hz), 162.68 (d, *J* = 245 Hz), 173.48; IR (CHCl<sub>3</sub>)  $\nu$  3509, 3055, 2985, 1731, 1604, 1509, 1265, 1082 cm<sup>-1</sup>.



**(R)-Ethyl-4-trifluoromethylmandelate (3e):**

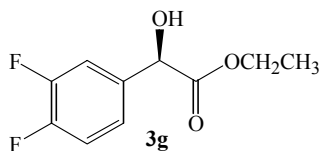
This product was obtained as a yellow solid in 85% yield after purification by silica gel chromatography (hexanes:ethyl acetate = 8:1) and 93% ee as determined by HPLC analysis [Daicel chiralpak OD, Hexanes:IPA, 50:1, 1.0 mL/min,  $\lambda$  220 nm, t (major) = 15.90 min, t (minor) = 13.66 min].  $[\alpha]_D^{25}$  -53.7° (c = 1.0, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  1.24 (t, 3H, *J* = 7.2 Hz), 3.61 (d, 1H, *J* = 4.8 Hz), 4.15-4.32 (m, 2H), 5.26 (d, 1H, *J* = 4.8 Hz), 7.58 (d, 2H, *J* = 8.8 Hz), 7.63 (d, 2H, *J* = 8.0 Hz); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  13.99, 62.67, 72.23, 123.97 (q, *J* = 271 Hz), 125.44 (q, *J* = 3.8 Hz), 126.82, 130.52 (q, *J* = 31.9 Hz), 142.14, 172.96; IR (CHCl<sub>3</sub>)  $\nu$  3517, 3053, 2987, 1725, 1422, 1329, 1266, 1194, 1066 cm<sup>-1</sup>; HRMS exact mass calcd for (C<sub>11</sub>H<sub>12</sub>O<sub>3</sub>F<sub>3</sub>)<sup>+</sup> requires m/z 249.0739, found m/z 249.0733.



**(R)-Ethyl-4-isopropylmandelate (3f):**

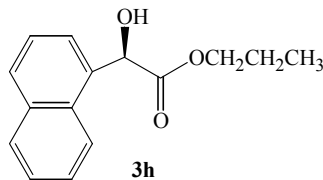
This product was obtained as a yellow oil in 66% yield after purification by silica gel chromatography (hexanes:ethyl acetate = 4:1) and 91% ee as determined by HPLC analysis [Daicel chiralpak OD, Hexanes:IPA, 20:1, 1.0 mL/min,  $\lambda$  220 nm, t (major) = 10.58 min, t (minor) = 8.18 min].

$[\alpha]_D^{25}$  -56.0° (c = 1.2, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 1.24 (d, *J* = 7.2 Hz, 6H), 2.92-2.98 (m, 1H), 3.39 (d, *J* = 4.4 Hz, 1H), 4.12-4.26 (m, 2H), 5.13 (s, 1H), 7.24 (d, *J* = 7.6 Hz, 2H), 7.33 (d, *J* = 7.8 Hz, 2H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ 14.03, 23.90, 33.82, 62.12, 72.73, 126.47, 126.66, 135.80, 149.12, 173.79; IR (CHCl<sub>3</sub>) ν 3516, 3053, 2969, 1724, 1604, 1512, 1421, 1266 cm<sup>-1</sup>.



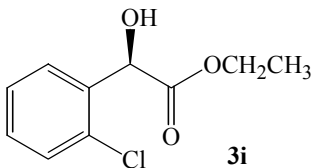
**(*R*)-Ethyl-3,4-difluoromandelate (3g):**

This product was obtained as a yellow oil in 65% yield after purification by silica gel chromatography (hexanes:ethyl acetate = 4:1) and 94% ee as determined by HPLC analysis [Daicel chiralpak, OD, Hexanes:IPA, 20:1, 1.0 mL/min, λ 220 nm, t (major) = 10.88 min, t (minor) = 9.29 min].  $[\alpha]_D^{25}$  -73.1° (c = 1.0, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 1.25 (t, 3H, *J* = 7.2 Hz), 4.18-4.32 (m, 2H), 5.12 (s, 1H), 7.12-7.32 (m, 3H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ 13.98, 62.67, 71.64, 115.58 (d, *J* = 18 Hz), 117.28 (d, *J* = 18 Hz), 122.55 (dd, *J* = 3.8, 6.9 Hz), 135.19 (dd, *J* = 3.8, 5.3 Hz), 150.19 (dd, *J* = 247.5, 3.8 Hz), 150.32 (dd, *J* = 247.5, 3.8 Hz), 172.99; IR (CHCl<sub>3</sub>) ν 3509, 3054, 2985, 1735, 1612, 1514, 1437, 1276, 1139, 1019 cm<sup>-1</sup>; HRMS exact mass calcd for (C<sub>10</sub>H<sub>10</sub>O<sub>3</sub>F<sub>2</sub><sup>+</sup>) requires m/z 216.0598, found m/z 216.0603.



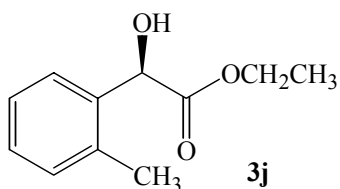
**(*R*)-1-Naphthaleneglycolic acid, *n*-propyl ester (3h):**

This product was obtained as a yellow oil in 74% yield after purification by silica gel chromatography (hexanes:ethyl acetate = 4:1) and 91% ee as determined by HPLC analysis [Daicel chiralpak AS, Hexanes:IPA, 20:1, 1.0 mL/min, λ 280 nm, t (major) = 19.86 min, t (minor) = 14.84 min].  $[\alpha]_D^{25}$  -97.8° (c = 1.1, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 0.68 (t, 3H, *J* = 7.6 Hz), 1.45-1.55 (m, 2H), 4.05-4.18 (m, 2H), 5.82 (s, 1H), 7.41-7.56 (m, 4H), 7.82-7.90 (m, 2H), 8.17 (d, 1H, *J* = 8.8 Hz); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ 9.96, 21.70, 67.76, 71.23, 123.75, 125.17, 125.60, 125.80, 126.42, 128.73, 129.30, 131.02, 133.97, 134.18, 174.28; IR (CHCl<sub>3</sub>) ν 3511, 3052, 2971, 1738, 1512, 1421, 1257, 1165, 1096 cm<sup>-1</sup>; HRMS exact mass calcd for (C<sub>15</sub>H<sub>16</sub>O<sub>3</sub><sup>+</sup>) requires m/z 244.1099, found m/z 244.1103.



**(*R*)-Ethyl-2-chloromandelate (3i):**

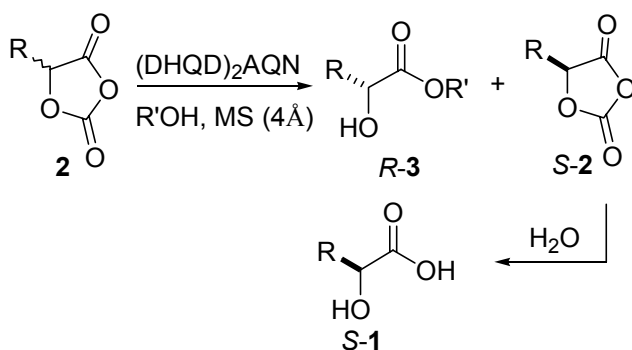
This product was obtained as a yellow oil in 66% yield after purification by silica gel chromatography (hexanes:ethyl acetate = 5:1) and 62% ee as determined by HPLC analysis [Daicel chiralpak OD, Hexanes:IPA, 20:1, 1.0 mL/min, λ 220 nm, t (major) = 11.95, t (minor) = 9.56 min].  $[\alpha]_D^{25}$  -45.0° (c = 4.0, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>) δ 1.20 (t, 3H, *J* = 7.6 Hz), 3.78 (br, 1H), 4.14-4.29 (m, 2H), 7.22-7.40 (m, 4H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>) δ 13.86, 62.28, 70.26, 127.00, 128.64, 129.57, 129.77, 133.41, 136.08, 173.08; IR (CHCl<sub>3</sub>) ν 3508, 2984, 1731, 1476, 1252, 1086 cm<sup>-1</sup>.



**(R)-Ethyl-2-methylmandelate (3j):**

This product was obtained as a yellow oil in 61% yield after purification by silica gel chromatography (hexanes:ethyl acetate = 3:1) and 60% ee as determined by HPLC analysis [Daicel chiralpak OD, Hexanes:IPA, 20:1, 1.0 mL/min,  $\lambda$  220 nm,  $t$  (major) = 13.51,  $t$  (minor) = 10.71 min].  $[\alpha]_D^{25} -57.4^\circ$  ( $c$  = 2.8,  $\text{CHCl}_3$ );  $^1\text{H}$  NMR (400 MHz,  $\text{CDCl}_3$ )  $\delta$  1.21 (t, 3H,  $J$  = 7.2 Hz), 2.43 (s, 3H), 3.52 (d, 1H,  $J$  = 4.8 Hz), 4.09-4.29 (m, 2H), 5.35 (d, 1H,  $J$  = 4.8 Hz), 7.04-7.32 (m, 4H);  $^{13}\text{C}$  NMR (100 MHz,  $\text{CDCl}_3$ )  $\delta$  13.97, 19.23, 62.10, 70.29, 126.20, 126.65, 128.33, 130.72, 131.72, 135.99, 174.11; IR ( $\text{CHCl}_3$ )  $\nu$  3522, 3054, 2984, 1733, 1464, 1373, 1285, 1068, 1048  $\text{cm}^{-1}$ .

**General Procedure for Cinchona Alkaloid-Catalyzed Kinetic Resolution of 5-Alkyl 1,3-Dioxolane-2,4-Diones**

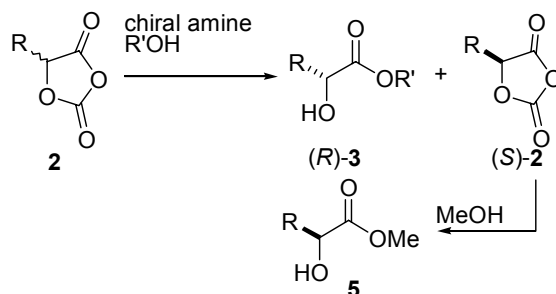


$\text{R}'\text{OH}$  = ethanol, allyl alcohol

A mixture of 5-alkyl-1,3-dioxolane-2,4-dione (1.0 mmol) and 4 Å molecular sieves (100 mg) in anhydrous diethyl ether (50 mL) was stirred at room temperature for 15 minutes, then cooled to  $-78^\circ\text{C}$  after which the modified cinchona alkaloid (DHQD)<sub>2</sub>AQN (0.1 mmol) was added to the mixture. The resulting mixture was stirred for another 5 minutes and then ethanol or allyl alcohol (1.0 eq.) was added dropwise over 10 minutes via a syringe. The resulting mixture was stirred at that temperature for 8-36 hrs. When the ee of both ester **3** and unreacted starting material **2** were found to be close to or above 90%, aqueous HCl (1N, 5.0 mL) was added dropwise to the reaction mixture. The resulting mixture was allowed to warm to room temperature. The organic phase was collected, washed with aqueous HCl (1N, 2 x 3.0 mL) and concentrated. The residue was dissolved in  $\text{H}_2\text{O}$ /THF (v/v: 1/4, 5.0 mL) and the resulting solution was stirred at room temperature overnight and diluted with ether (20 mL). The resulting mixture was extracted with aqueous  $\text{Na}_2\text{CO}_3$  (1N, 2 x 5.0 mL). The organic phase was washed with brine and dried over  $\text{Na}_2\text{SO}_4$ , and concentrated to give  $\alpha$ -hydroxy ester **3** in NMR-pure form and in yields indicated in Table 3. The aqueous phases were combined and then acidified to pH = 1 by conc. HCl, then extracted with ethyl acetate (3 x 5.0 mL). The organic phase was dried over  $\text{Na}_2\text{SO}_4$  and concentrated to give  $\alpha$ -hydroxy acid **1** in NMR-pure form and in yields indicated in Table 3.



## Determination of the Enantiomeric Excesses of 2k-2n and 3k-3n in Reaction Mixture.



Dioxolanediones (**2**) are found to be unstable toward conditions for GC and HPLC analysis. The ee of the **2** in the reaction mixture was determined by converting the unreacted **2** into methyl ester **5** and subsequently GC or HPLC analysis of the resulting mixture of ester **3** and **5** as following: A small aliquot (50  $\mu$ L) of the reaction mixture was added to dry methanol (200  $\mu$ L). The resulting mixture was stirred at room temperature for 10min, and then was allowed to pass through a plug of silica gel with ether as the eluent. The resulting solution of esters **3** and **5** was concentrated and then subjected to GC or HPLC analysis.

GC condition for **2k** and **3k**: HP chiral 20% Permethylated B-Cyclodextrin, 100  $^{\circ}C$ , 20 min, 0.5  $^{\circ}C/min$  ramp, 130  $^{\circ}C$  (10 min).

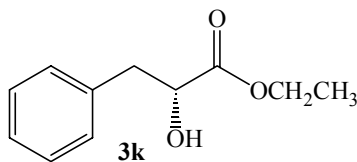
HPLC condition for **2l** and **3l**: Chiralpak OD, Hexanes:IPA, 20:1, 1 mL/min,  $\lambda$  220 nm.

GC condition for **2m** and **3m**: Gamma cyclodextrin Trifluoroacetyl, 60 $^{\circ}$ , 2 min, 1 $^{\circ}/min$  ramp, 90 $^{\circ}$  (10 min).

GC condition for **2n** and **3n**: Gamma cyclodextrin Trifluoroacetyl, 80 $^{\circ}$ .

## Determination of Enantiomeric Excesses of Isolated Optically Active $\alpha$ -Hydroxy Acid **1**

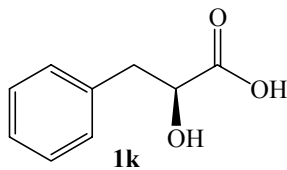
Optically pure  $\alpha$ -hydroxy acid **1** (5.0 mg) isolated following the procedure described on page 7 was dissolved in EtOH or MeOH (1.0 mL), and then treated with sulfuric acid (1 drop). The mixture was stirred for 8 hrs and diluted with water (2.0 mL). After extracting with ether (2 x 2.0 mL), the combined organic phase was washed with saturated sodium bicarbonate and brine. The solvent was removed to give the  $\alpha$ -hydroxy ester. This ester was subject to HPLC or GC analysis to give the enantiomeric excesses of the isolated optically active  $\alpha$ -hydroxy acid.



### (R)-Ethyl-2-hydroxy-3-phenylpropionate (**3k**):

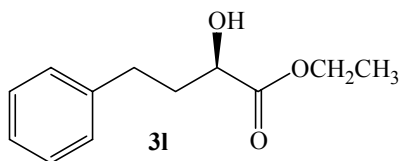
This product was obtained as yellow oil in 47% yield and 96% ee as determined by HPLC analysis [Daicel chiralpak AS, Hexanes:IPA, 50:1, 0.5 mL/min,  $\lambda$  220nm, t (major) = 32.45 min, t (minor) = 29.13 min].  $[\alpha]_D^{25} +14.5^{\circ}$  (c = 1.0,

CHCl<sub>3</sub>); (Literature,<sup>8</sup>  $[\alpha]_D^{25} +22.2^\circ$  (c = 3.85, CHCl<sub>3</sub>)); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  1.28 (t, 3H, *J* = 7.2 Hz), 2.79 (s, 1H), 2.94-3.16 (m, 2H), 4.43 (s, 1H), 7.20-7.32 (m, 5H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  14.13, 40.50, 61.68, 71.16, 126.82, 128.33, 129.48, 136.33, 174.14; IR (CHCl<sub>3</sub>)  $\nu$  3526, 3065, 2983, 1745, 1496, 1368, 1214, 1098 cm<sup>-1</sup>.



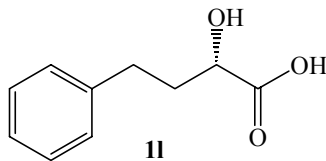
**(S)-2-hydroxy-3-phenylpropionic acid (1k):**

This product was obtained as white solid in 39% yield and 95% ee as determined by GC analysis of the methyl ester derived from **1k** following the procedure described above [HP Chiral 20% Permethylated B-Cyclodextrin, 100 °C, 20 min, 0.5 °C/min to 130 °C, t (major) = 60.72 min, t (minor) = 58.90 min].  $[\alpha]_D^{25} -17.9^\circ$  (c = 1, H<sub>2</sub>O). (Literature,<sup>9</sup>  $[\alpha]_D = -20.0^\circ$  (c = 1, H<sub>2</sub>O)).



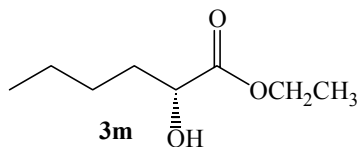
**(R)-2-hydroxy-4-phenylbutyric acid ethyl ester (3l):**

This product was obtained as yellow oil in 46% yield and 93% ee as determined by HPLC analysis [Daicel chiralpak OD, Hexanes:IPA, 20:1, 1.0 mL/min,  $\lambda$  220 nm, t (major) = 15.03 min, t (minor) = 9.45 min].  $[\alpha]_D^{25} -14.5^\circ$  (c = 1.3, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  1.27 (t, 3H, *J* = 7.2 Hz), 1.86-2.24 (m, 2H), 2.66-2.86 (m, 2H), 4.12-4.24 (m, 3H), 7.18-7.32 (m, 5H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  14.11, 30.93, 35.86, 61.72, 69.64, 125.95, 128.41, 128.49, 141.06, 175.19; IR (CHCl<sub>3</sub>)  $\nu$  3520, 3053, 2983, 1743, 1454, 1252, 1101 cm<sup>-1</sup>.



**(S)-2-hydroxy-4-phenylbutanoic acid (1l):**

This product was obtained as white solid in 40% yield and 85% ee as determined by HPLC analysis of the ethyl ester derived from **1l** following the procedure described above [Daicel chiralpak OD, Hexanes:IPA, 20:1, 1 mL/min,  $\lambda$  220 nm, t (major) = 8.94 min, t (minor) = 13.90 min].  $[\alpha]_D^{25} + 6.8^\circ$  (c = 1.0, EtOH); (Literature,<sup>10</sup>  $[\alpha]_D^{25} + 7.6^\circ$  (c = 1.0, EtOH), for 91% ee).



**(R)-Ethyl-2-hydroxy-hexanoate (3m):**

This product was obtained as yellow oil in 46% yield and 92% ee as determined by GC with chiral support [Gamma cyclodextrin Trifluoroacetyl, 60°, 2 min, 1°/min to 90°, t (major) = 24.10 min, t (minor) = 25.00].  $[\alpha]_D^{25} 5.5^\circ$  (c = 5.0, EtOH); (Literature,<sup>11</sup>  $[\alpha]_D^{25} 6.6^\circ$ , (c=7.1, EtOH)); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  0.91 (t, 3H, *J* = 7.2 Hz), 1.30 (t, 3H, *J* = 7.2 Hz), 1.33-1.50 (m, 4H), 1.59-1.69 (m, 1H), 1.74-1.84 (m, 1H), 4.17 (dd, 1H, *J* = 7.2, 4.4 Hz), 4.21-4.29 (m, 2H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  13.84, 14.12, 22.34,

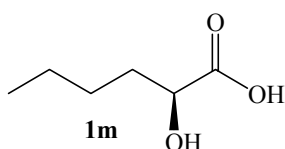
<sup>8</sup> Uang, B-J; Chang, J-W; Jang, D-P. *PCT Int. Appl.* **2001**.

<sup>9</sup> Urban, F. J.; Moore, B. S. *J. Heterocyclic Chem.* **1992**, 29, 431.

<sup>10</sup> Kalaritis, P.; Regenye, W. R.; Partridge, J. J.; Coffen, D. L. *J. Org. Chem.* **1990**, 55, 812.

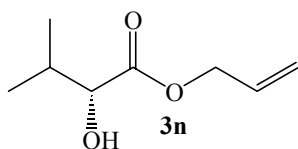
<sup>11</sup> Larcheveque, M., Petit, Y. *Bull. Soc. Chim. Fr.* **1989**, 130.

26.79, 34.02, 61.50, 70.37, 175.39; IR (CHCl<sub>3</sub>)  $\nu$  3535, 3054, 2959, 1728, 1422, 1260 cm<sup>-1</sup>.



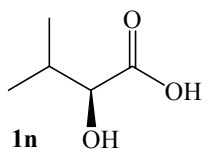
**(S)-2-hydroxyhexanoic acid (1m).**

This product was obtained as white solid in 36% yield and 95% ee as determined by GC analysis of the methyl ester derived from **1m** following the procedure described above [Gamma cyclodextrin Trifluoroacetyl, 60°, 2 min, 1°/min to 90°, t (major) = 19.65 min, t (minor) = 19.07 min].  $[\alpha]_D^{25}$  6.4° (c=5.0, CHCl<sub>3</sub>); (Literature,<sup>6</sup>  $[\alpha]_D^{25}$  +4.8° (c = 6.3, CHCl<sub>3</sub>) for 79% ee).



**(R)-allyl-2-hydroxy-3-methylbutanoate (3n):**

This product was obtained as a yellow oil in 48% yield and 90% ee as determined by GC on a chiral support [Gamma cyclodextrin Trifluoroacetyl 80 °C, t (major) = 18.68 min, t (minor) = 17.82 min].  $[\alpha]_D^{25}$  - 1.0° (c = 1.5, CHCl<sub>3</sub>); <sup>1</sup>H NMR (400 MHz, CDCl<sub>3</sub>)  $\delta$  0.88 (d, 3H, *J* = 7.0), 1.03 (d, 3H, *J* = 7.0 Hz), 2.02-2.10 (m, 1H), 3.28 (s, 1H, br), 4.08 (d, 1H, *J* = 4.0 Hz), 4.64-4.76 (m, 2H), 5.24-5.40 (m, 2H), 5.86-6.04 (m, 2H); <sup>13</sup>C NMR (100 MHz, CDCl<sub>3</sub>)  $\delta$  15.89, 18.69, 32.07, 66.02, 74.99, 131.35, 137.10, 174.59; IR (CHCl<sub>3</sub>)  $\nu$  3536, 3054, 2969, 1733, 1467, 1266, 1030 cm<sup>-1</sup>; HRMS exact mass calcd for (C<sub>8</sub>H<sub>15</sub>O<sub>3</sub>)<sup>+</sup> requires m/z 159.1021, found m/z 159.1026.

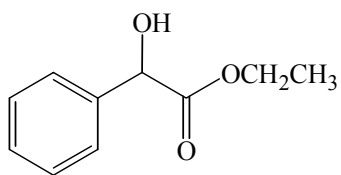


**(S)-2-Hydroxy-3-methylbutanoic acid (1n):**

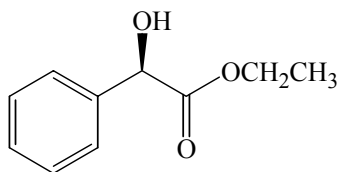
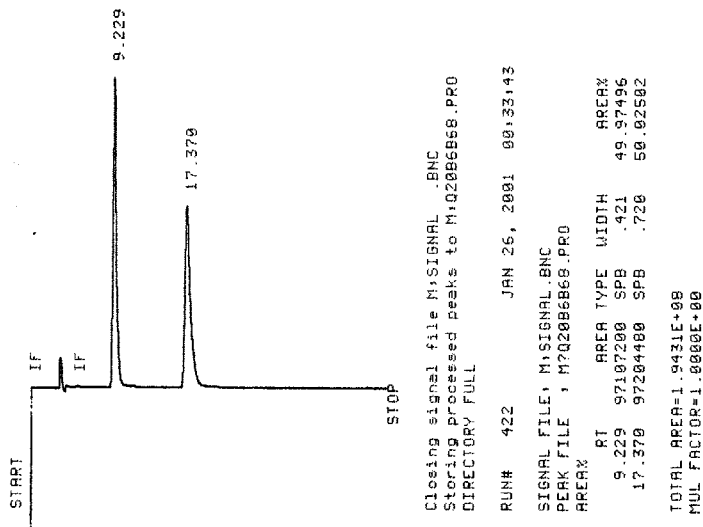
This product was obtained as a yellow solid in 32% yield and 93% ee as determined by GC analysis of the methyl ester derived from **1n** following the procedure described above [Gamma cyclodextrin Trifluoroacetyl, 40 °C 20 min, 0.5°/min to 60 °C, t (major) = 41.01 min, t (minor) = 43.27 min].  $[\alpha]_D^{25}$  +16.5° (c = 0.8, CHCl<sub>3</sub>); (Literature,<sup>12</sup>  $[\alpha]_D^{25}$  +17.5° (c = 1, CHCl<sub>3</sub>)).

<sup>12</sup> Shin, I.; Lee, M.; Lee, J.; Jung, M.; Lee, W.; Yoon, J. *J. Org. Chem.* **2000**, *65*, 7667.

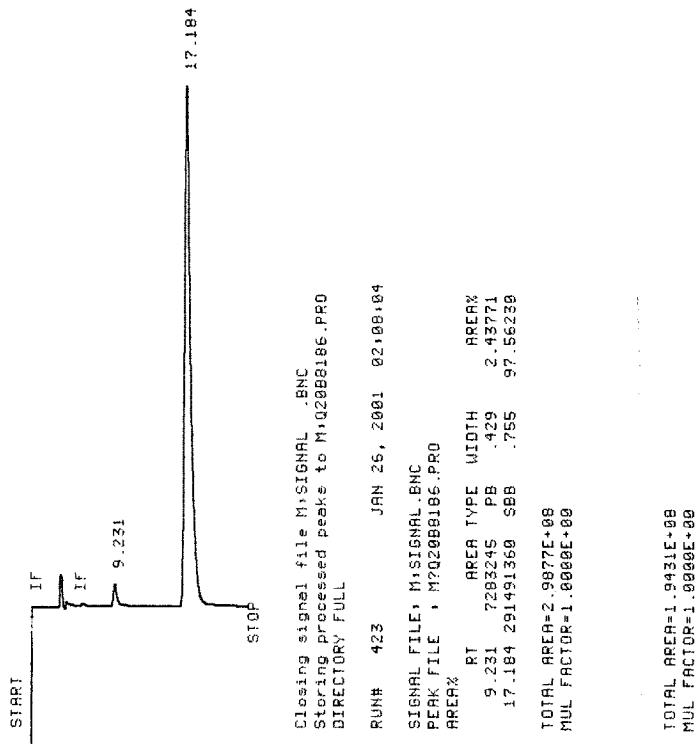
HPLC Condition: Chiralpak OD, Hexanes:IPA, 20:1, 1.0 mL/min,  $\lambda$  220 nm.



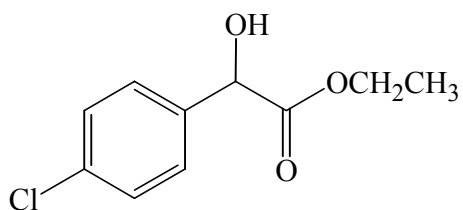
Racemic **3a**



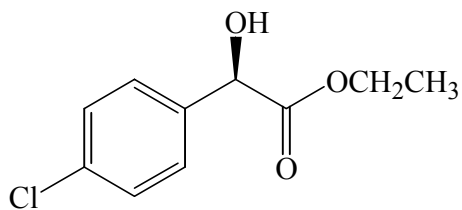
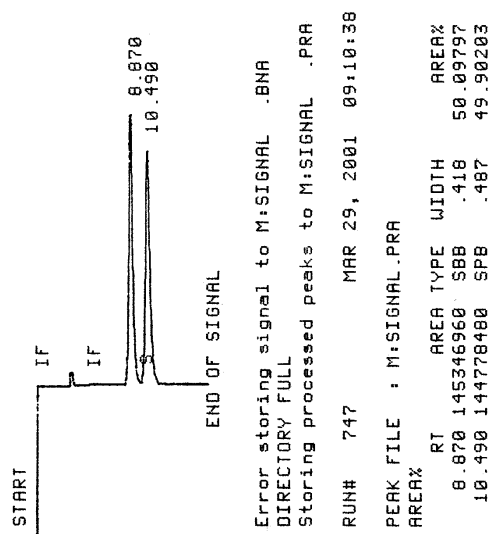
Isolated optically active **3a**



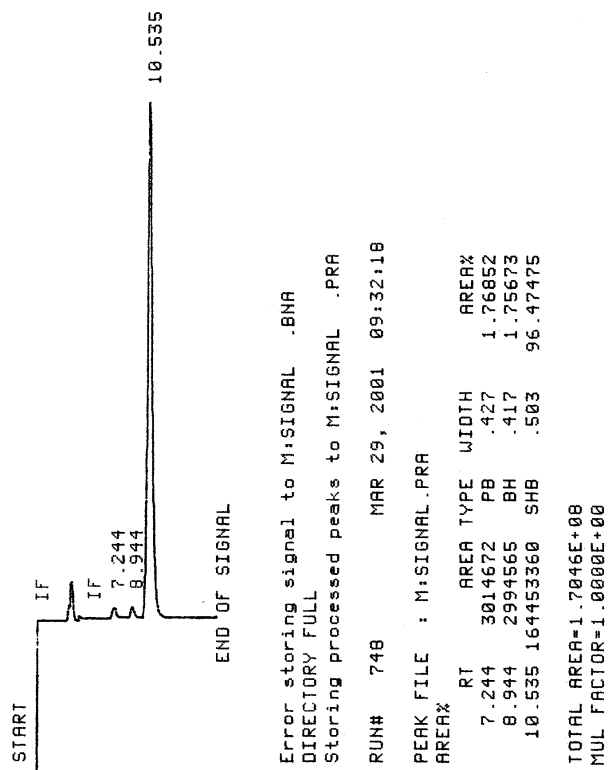
HPLC Conditions: Chiralpak OD, Hexanes:IPA, 20:1, 1.0 mL/min,  $\lambda$  220 nm



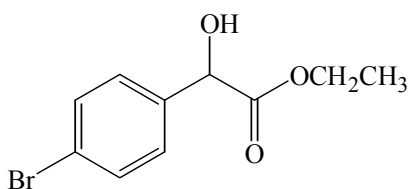
Racemic **3b**



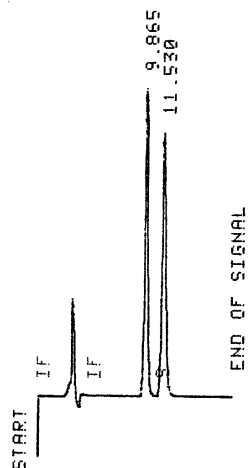
Isolated optically active **3b**



HPLC Condition: Chiralpak OD, Hexanes:IPA, 20:1, 1.0 mL/min,  $\lambda$  220 nm.



Racemic **3c**



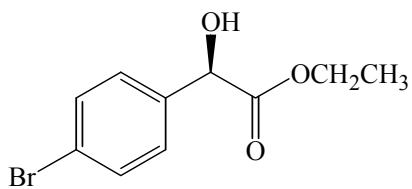
Error storing signal to M:SIGNAL .BNA  
 DIRECTORY FULL  
 Storing processed peaks to M:SIGNAL .PRA

RUN# 1921 NOV 12, 2001 23:59:10

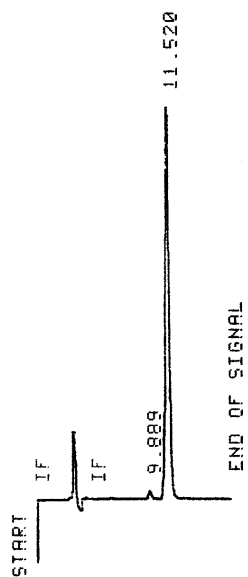
PEAK FILE : M:SIGNAL.PRA

AREA%	RT	AREA	TYPE	WIDTH	AREA%
9.865	62930304	SPB	.333	49.96286	
11.530	63023840	SPB	.388	50.03714	

TOTAL AREA=1.2595E+08  
 MUL FACTOR=1.0000E+00



Isolated optically active **3c**



Error storing signal to M:SIGNAL .BNA  
 DIRECTORY FULL  
 Storing processed peaks to M:SIGNAL .PRA

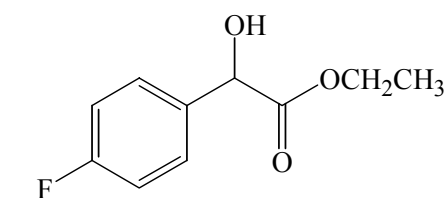
RUN# 1924 NOV 13, 2001 02:41:36

PEAK FILE : M:SIGNAL.PRA

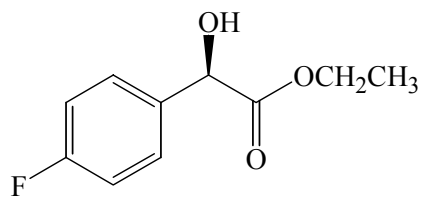
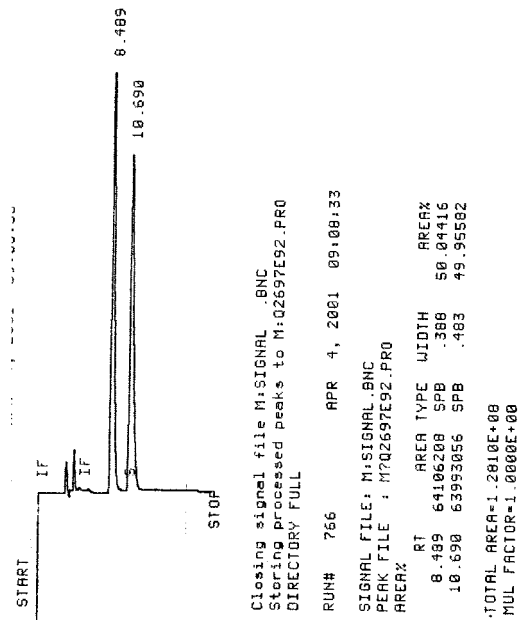
AREA%	RT	AREA	TYPE	WIDTH	AREA%
9.889	1729536	PP	.380	1.87763	
11.520	90383296	SPB	.389	98.12237	

TOTAL AREA=9.2113E+07  
 MUL FACTOR=1.0000E+00

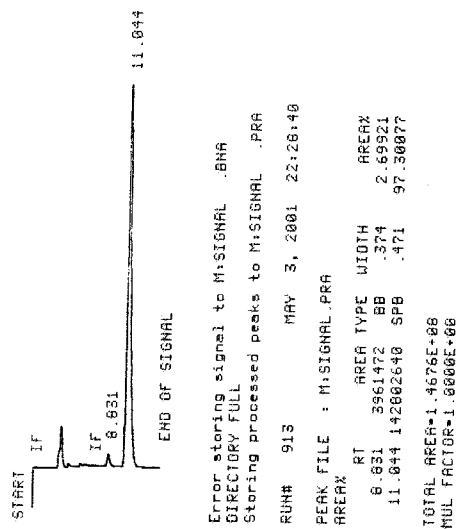
HPLC Condition: Chiralpak OD, Hexanes:IPA, 20:1, 1.0 mL/min,  $\lambda$  220 nm



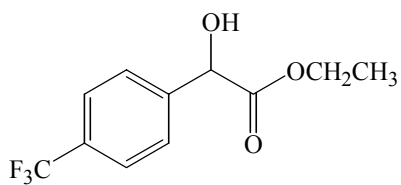
Racemic **3d**



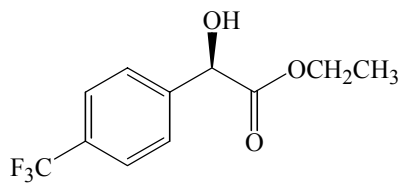
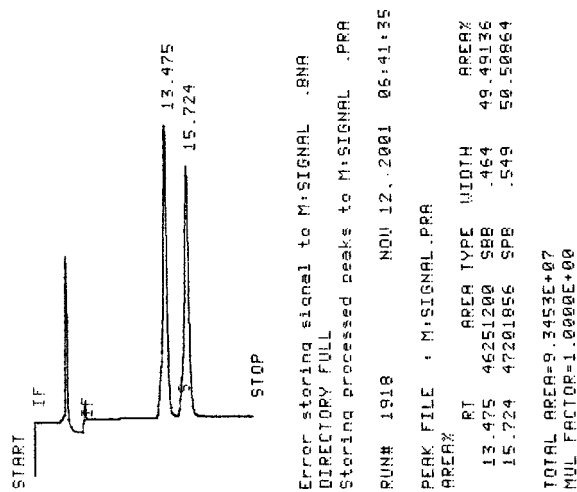
Isolated optically active **3d**



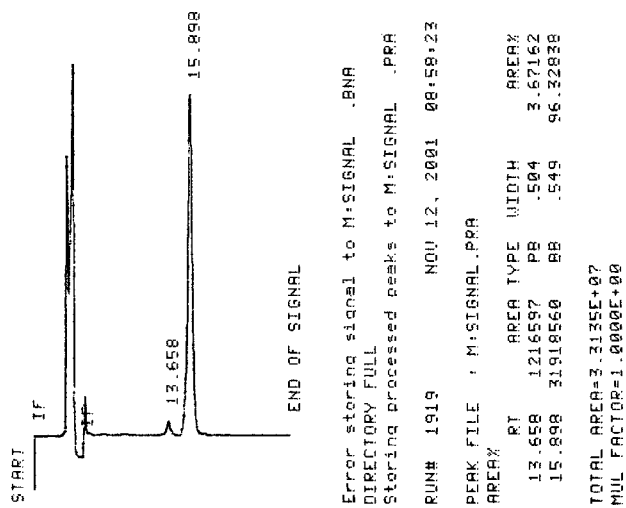
HPLC Conditions: Chiralpak OD, Hexanes:IPA, 50:1, 1.0 mL/min,  $\lambda$  220 nm.



Racemic **3e**

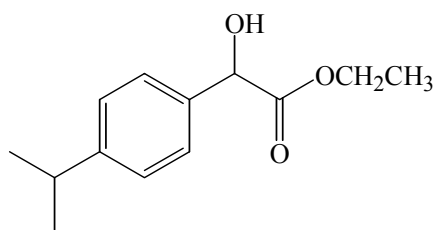


Isolated optically active **3e**

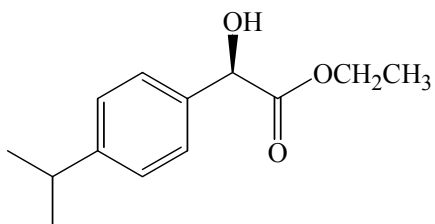
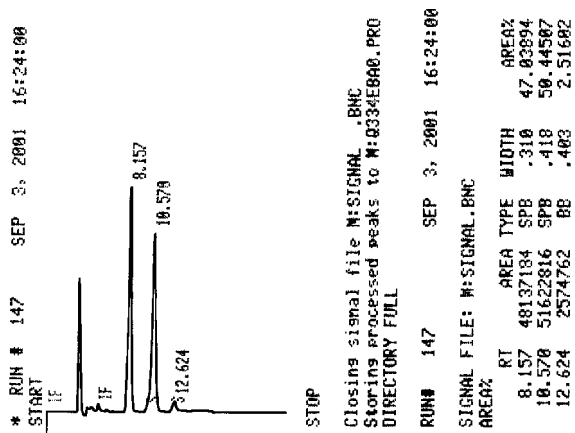




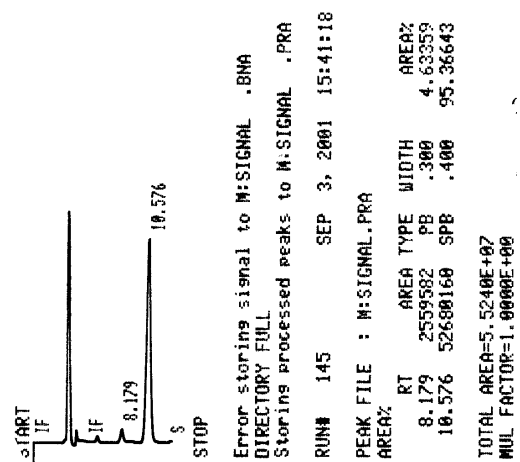
HPLC Conditions: Chiralpak OD, Hexanes:IPA, 20:1, 1.0 mL/min,  $\lambda$  220 nm.



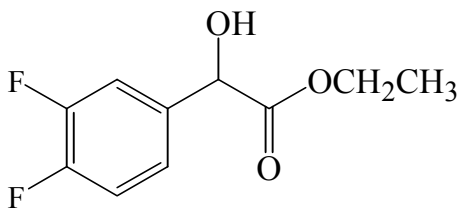
Racemic **3f**



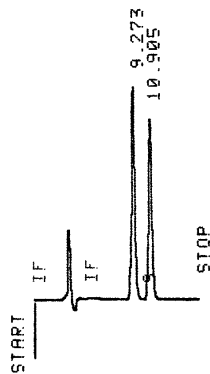
Isolated optically active **3f**



HPLC Conditions: Chiralpak OD, Hexanes:IPA, 20:1, 1.0 mL/min,  $\lambda$  220 nm.



Racemic **3g**



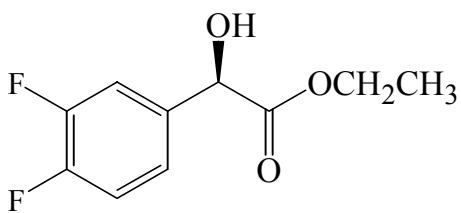
Closing signal file M:SIGNAL .BNC  
Storing processed peaks to M:Q3931EF5.PRO  
DIRECTORY FULL

RUN# 1925 NOV 13, 2001 03:16:03

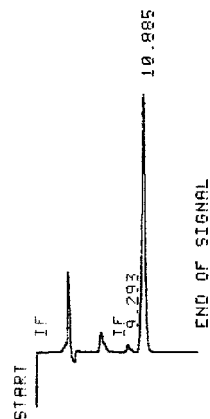
SIGNAL FILE: M:SIGNAL.BNC  
PEAK FILE : M:Q3931EF5.PRO

AREA%	RT	AREA	TYPE	WIDTH	AREA%
9.273	44065856	SB	.324	50.18509	
10.905	43740832	SPB	.378	49.81493	

TOTAL AREA=8.7807E+07  
MUL FACTOR=1.0000E+00



Isolated optically active **3g**



Error storing signal to M:SIGNAL .BNC  
DIRECTORY FULL  
Storing processed peaks to M:SIGNAL .PRA

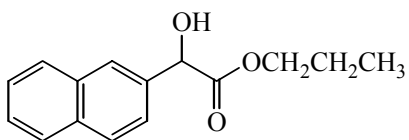
RUN# 1926 NOV 13, 2001 03:34:27

PEAK FILE : M:SIGNAL.PRA

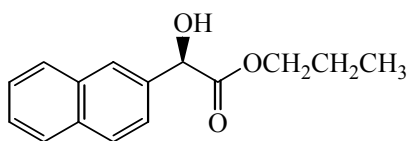
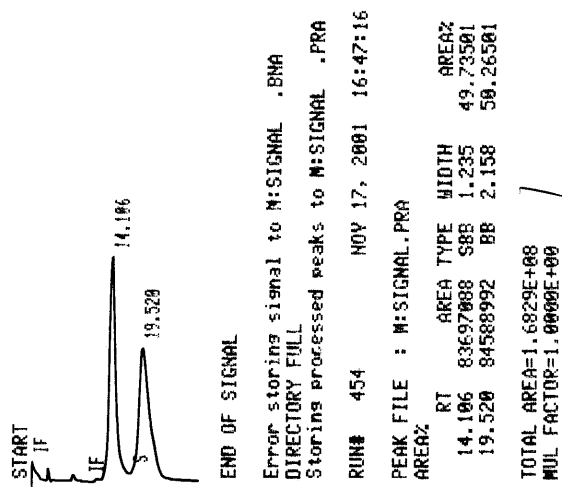
AREA%	RT	AREA	TYPE	WIDTH	AREA%
9.293	1955737	BP	.343	2.87401	
10.885	6603248	SPB	.378	97.12602	

TOTAL AREA=6.8049E+07  
MUL FACTOR=1.0000E+00

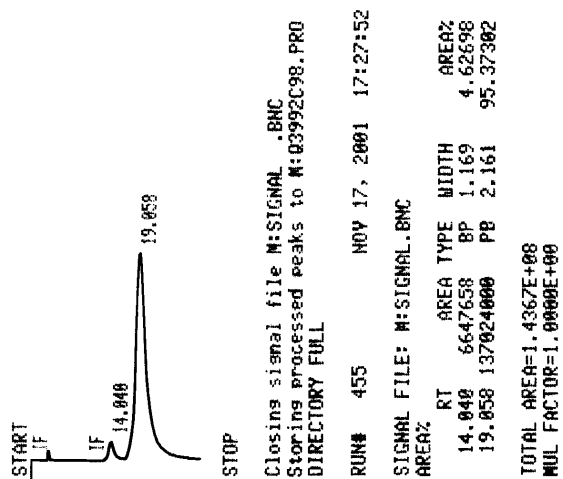
HPLC Conditions: Chiralpak AS, Hexanes:IPA, 19:1, 1.0 mL/min,  $\lambda$  280 nm.



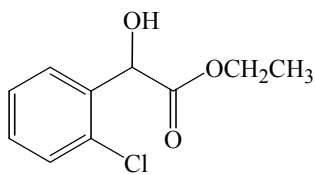
Racemic **3h**



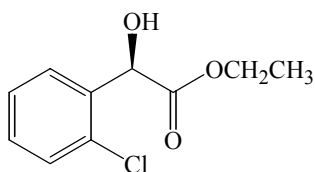
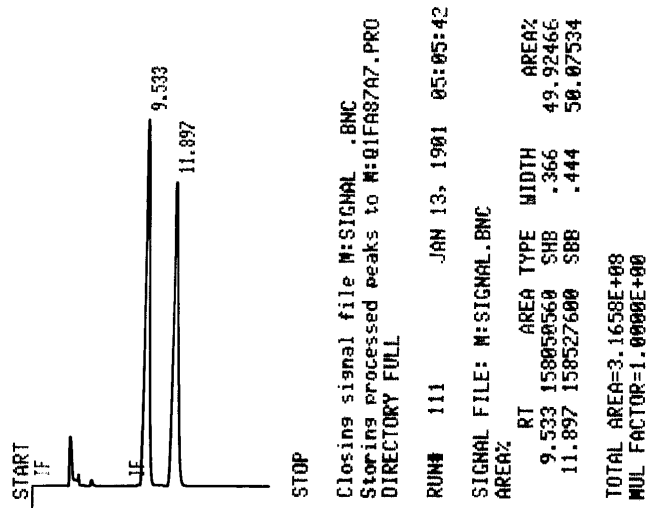
Isolated optically active **3h**



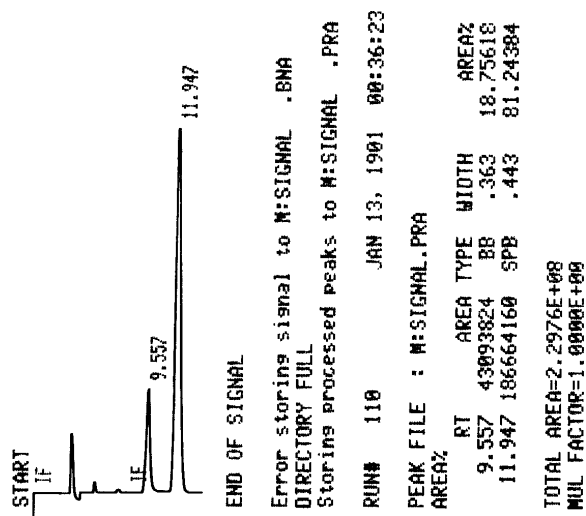
HPLC Condition: Chiralpak OD, Hexanes:IPA, 20:1, 1.0 mL/min,  $\lambda$  220 nm.



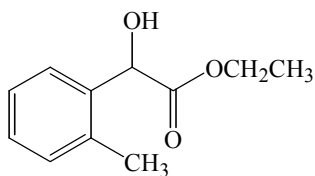
Racemic **3i**



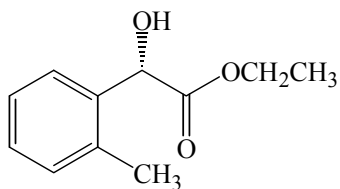
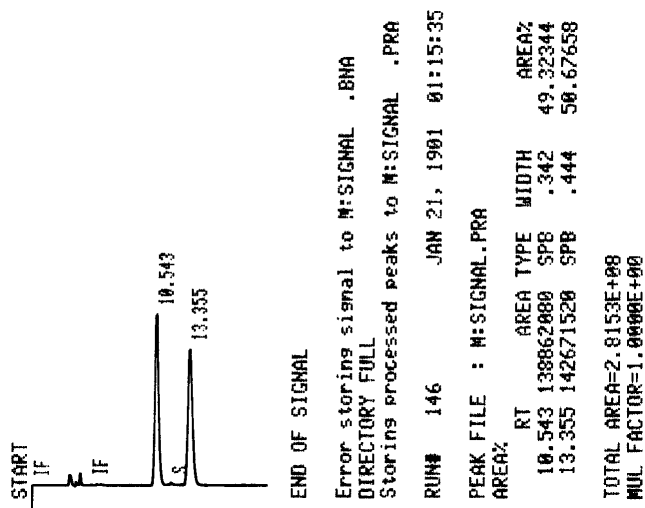
Isolated optically active **3i**



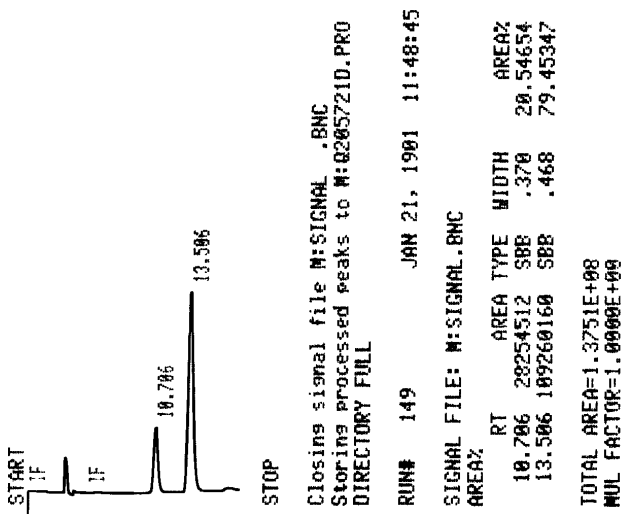
HPLC Condition: Chiralpak OD, Hexanes:IPA, 19:1, 1.0 mL/min,  $\lambda$  220 nm.



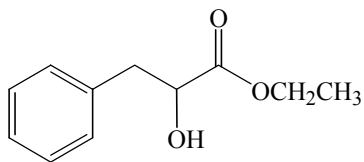
Racemic **3j**



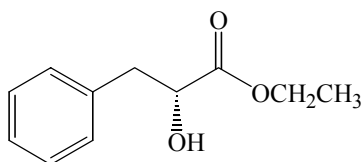
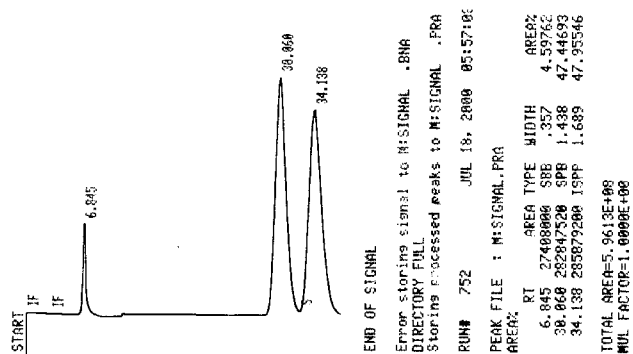
Isolated optically active **3j**



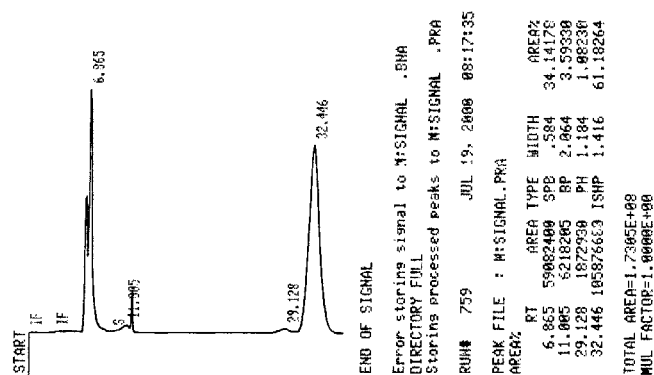
HPLC Condition: Chiralpak AS, Hexanes:IPA, 50:1, 0.5 mL/min,  $\lambda$  220 nm.



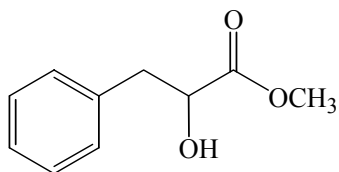
Racemic **3k**



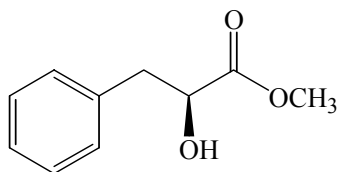
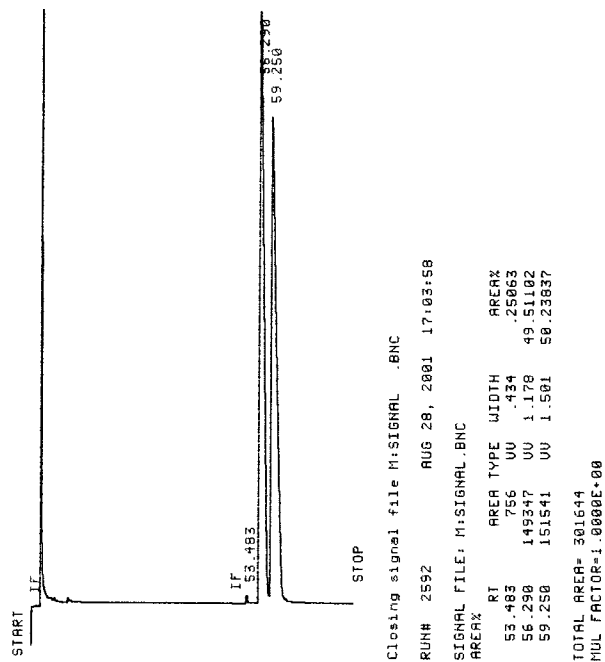
Isolated optically active **3k**



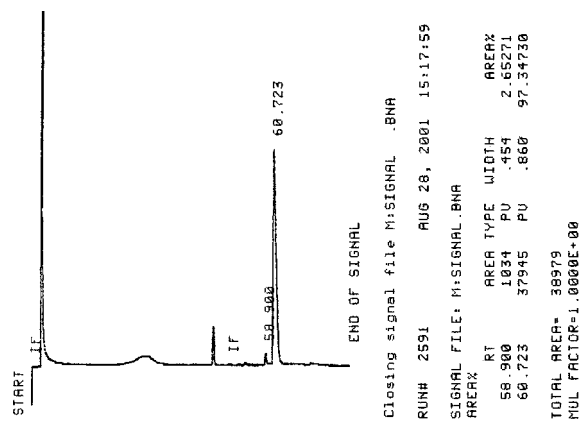
GC Conditions: HP chiral 20% Permethylated B-Cyclodextrin, 100 °C, 20 min, 0.5 °C/min ramp, 130 °C (10 min).



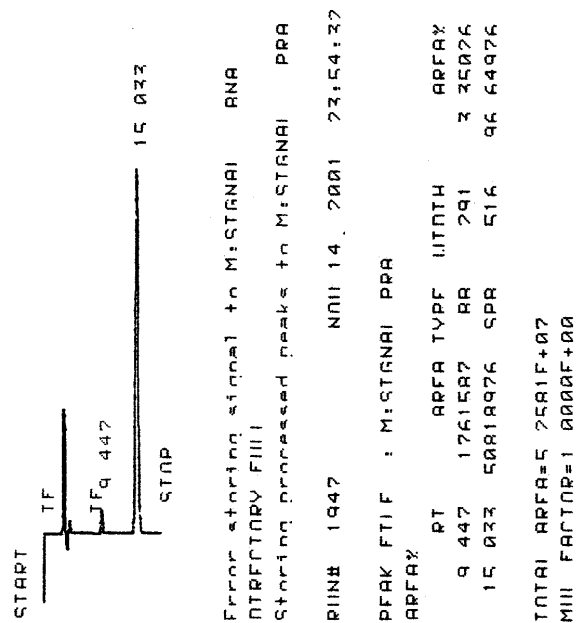
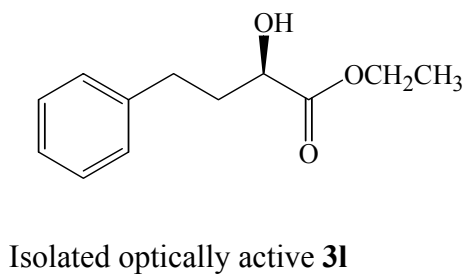
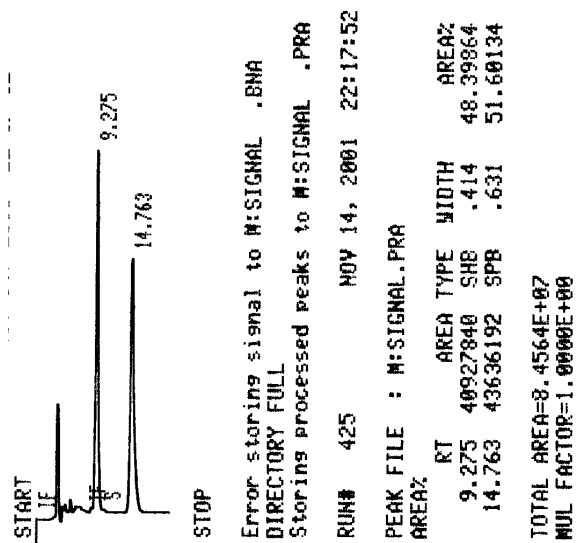
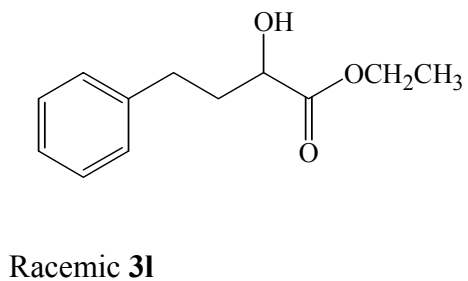
Derived from racemic acid **1k**



Derived from optically active acid **1k**

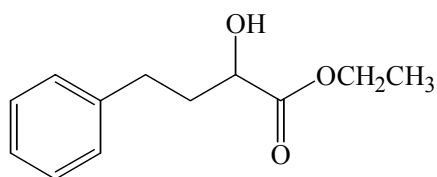


HPLC Conditions: Chiralpak OD, Hexanes:IPA, 20:1, 1 mL/min,  $\lambda$  220 nm.

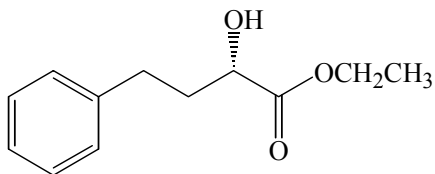
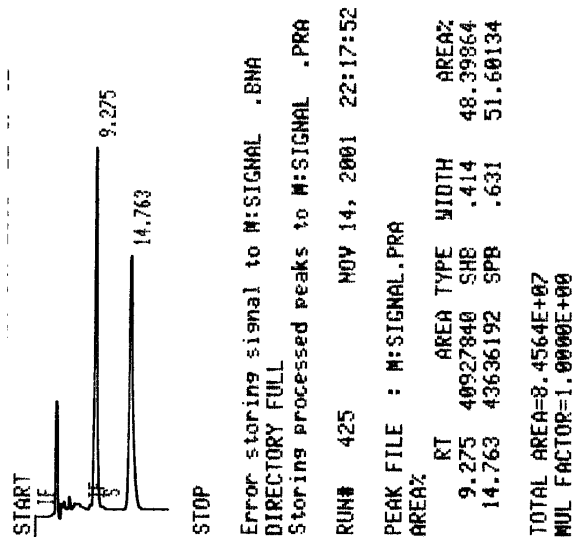




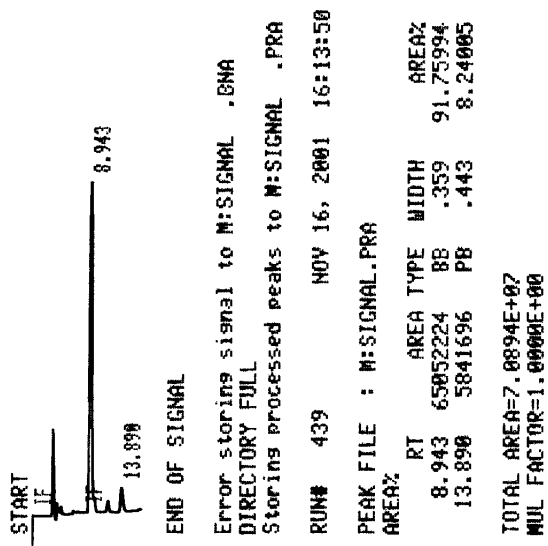
HPLC Conditions: Chiralpak OD, Hexanes:IPA, 20:1, 1 mL/min,  $\lambda$  220 nm.



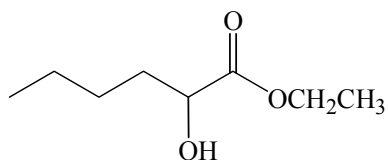
Derived from racemic acid **11**



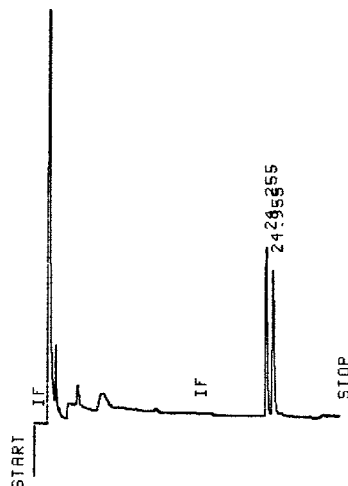
Derived from isolated optically pure acid **11**



GC Conditions: Gamma cyclodextrin Trifluoroacetyl, 60°, 2 min, 1°/min ramp, 90° (10 min).



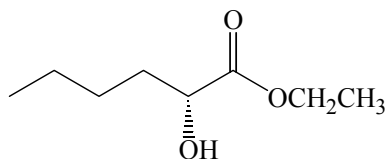
Racemic **3m**



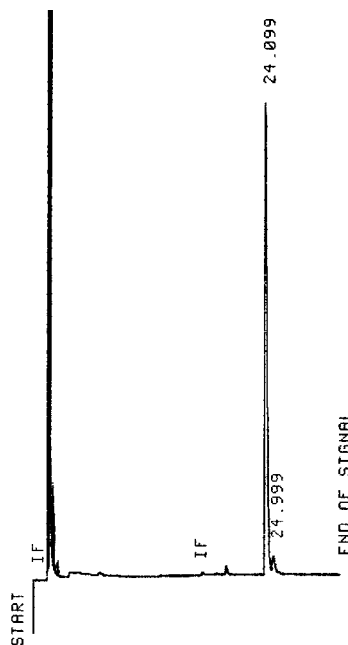
Closing signal file M:SIGNAL .BNC  
 RUN# 2747 JAN 21, 2002 17:14:08  
 SIGNAL FILE: M:SIGNAL.BNC  

RT	AREA	TYPE	WIDTH	AREA%
24.255	5711	PU	.197	49.76472
24.955	5765	UU	.232	50.23526

 TOTAL AREA= 11476  
 MUL FACTOR=1.0000E+00



Isolated optically active **3m**

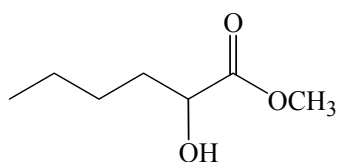


Closing signal file M:SIGNAL .BNA  
 RUN# 2745 JAN 21, 2002 15:40:11  
 SIGNAL FILE: M:SIGNAL.BNA  

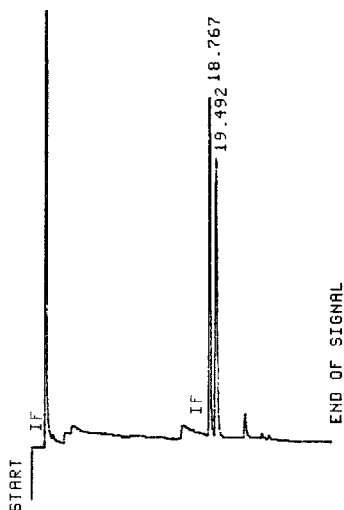
RT	AREA	TYPE	WIDTH	AREA%
24.099	23270	PU	.287	95.78890
24.999	1023	UU	.316	4.21109

 TOTAL AREA= 24293  
 MUL FACTOR=1.0000E+00

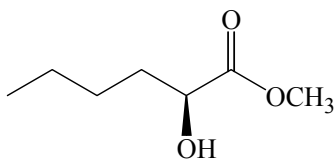
GC Conditions: Gamma cyclodextrin Trifluoroacetyl, 60°, 2 min, 1°/min ramp, 90° (10 min).



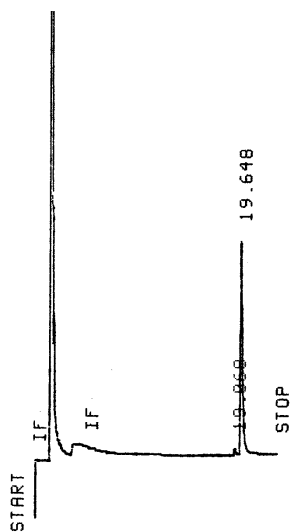
Derived from racemic acid **1m**



Closing signal file M:SIGNAL .BNA  
 RUN# 2744 JAN 21, 2002 12:00:18  
 SIGNAL FILE: M:SIGNAL.BNA  
 AREA% RT AREA TYPE WIDTH AREA%  
 18.767 11250 PB .191 49.99112  
 19.492 11254 PB .235 50.00888  
 TOTAL AREA= 22504  
 MUL FACTOR=1.0000E+00

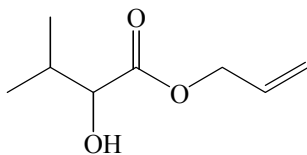


Derived from optically active acid **1m**

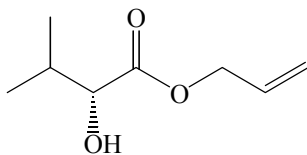
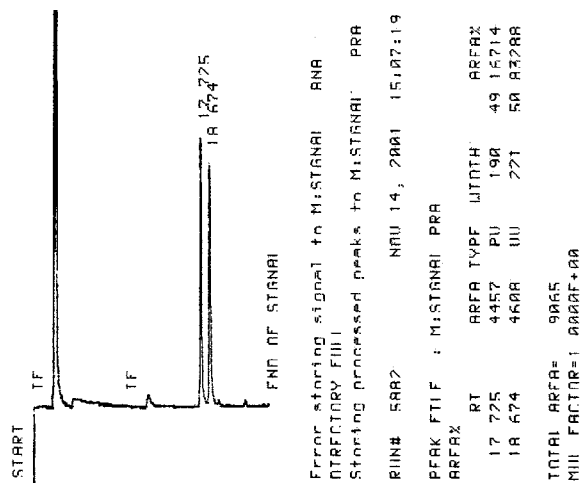


Closing signal file M:SIGNAL .BNA  
 RUN# 2743 JAN 20, 2002 16:31:31  
 SIGNAL FILE: M:SIGNAL.BNA  
 AREA% RT AREA TYPE WIDTH AREA%  
 19.068 190 PP .167 2.52157  
 19.648 7345 PB .217 97.47843  
 TOTAL AREA= 7535  
 MUL FACTOR=1.0000E+00

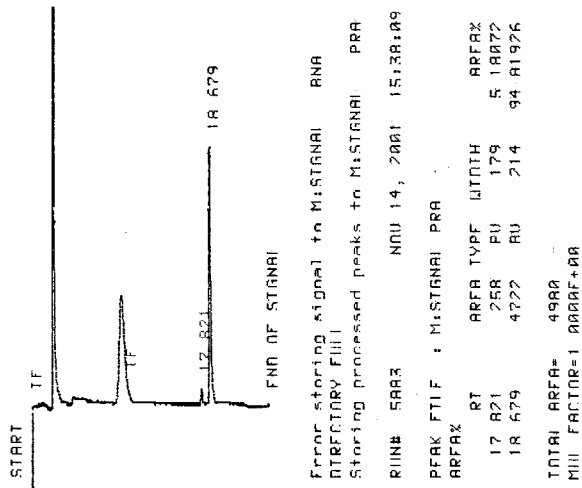
GC Conditions:  $\gamma$ -TA, 80°C



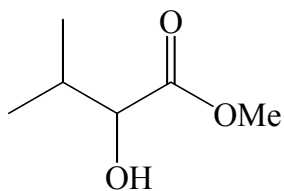
Racemic **3n**



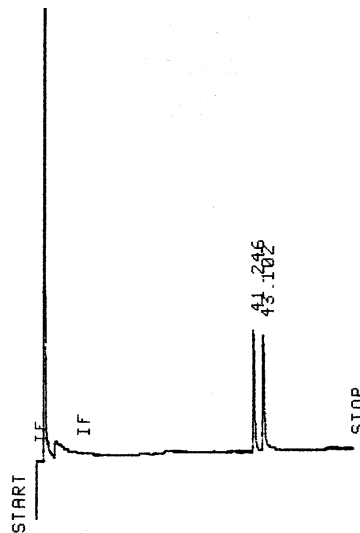
Isolated optically active **3n**



GC Conditions: Gamma cyclodextrin Trifluoroacetyl, 40 °C, 20 min, 0.5°/min ramp, 60 °C (10 min).



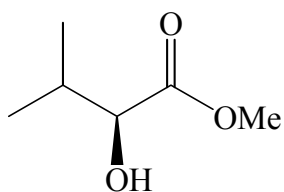
Derived from racemic acid **1n**



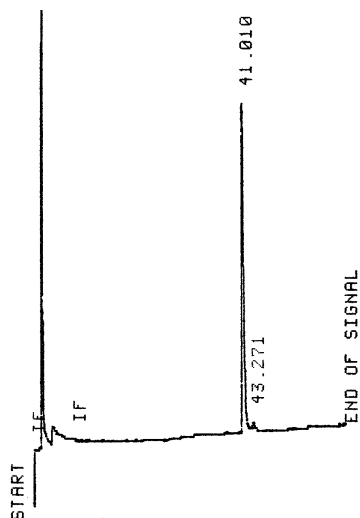
Closing signal file M:SIGNAL .BNA  
 RUN# 2700 NOV 22, 2001 19:58:40  
 SIGNAL FILE: M:SIGNAL.BNA  

AREA%	RT	AREA	TYPE	WIDTH	PP	PV
41.246	3375	354	49.42882			
43.102	3453	386	50.57117			

 TOTAL AREA= 6828  
 MUL FACTOR=1.0000E+00



Derived from optically active acid **1n**

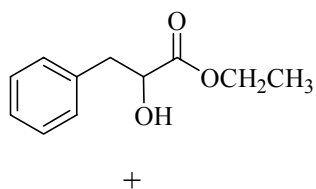


Closing signal file M:SIGNAL .BNA  
 RUN# 2701 NOV 22, 2001 22:13:31  
 SIGNAL FILE: M:SIGNAL.BNA  

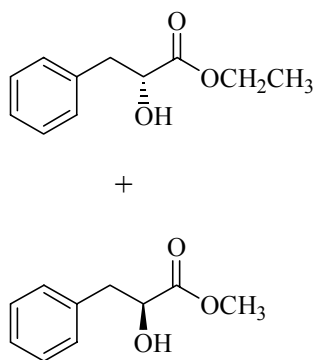
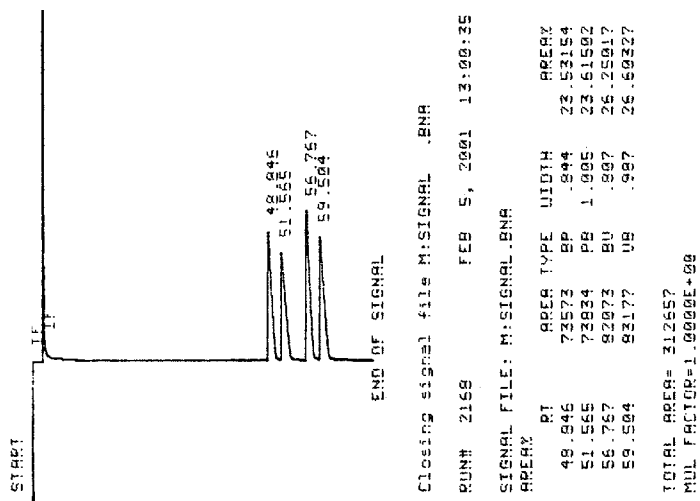
AREA%	RT	AREA	TYPE	WIDTH	PV	UB
41.010	12268	.464	96.85011			
43.271	399	.475	3.14992			

 TOTAL AREA= 12667  
 MUL FACTOR=1.0000E+00

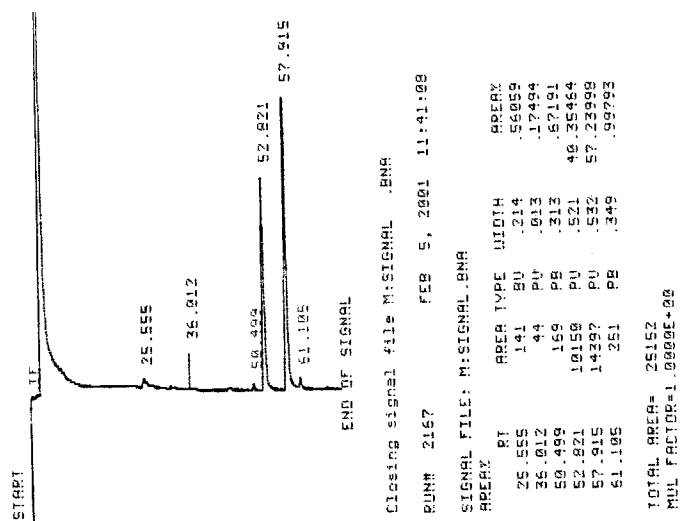
GC Conditions: HP chiral 20% Permethylated B-Cyclodextrin, 100 °C, 20 min, 0.5 °C/min ramp, 130 °C (10 min).



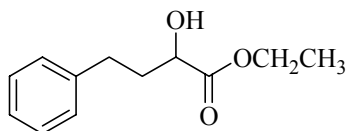
Racemic **3k** and **5k**



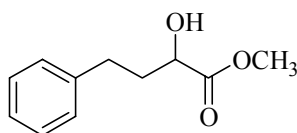
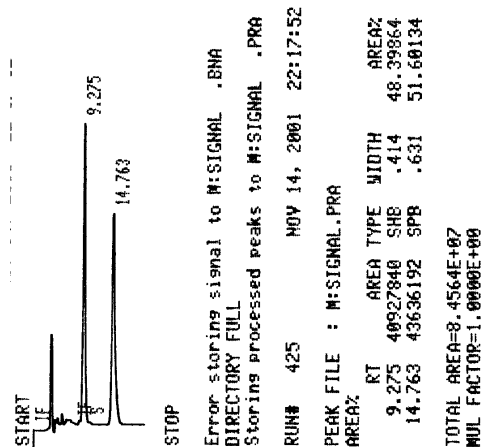
Optically active **3k** and **5k** derived from reaction mixture



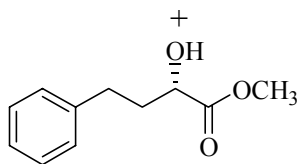
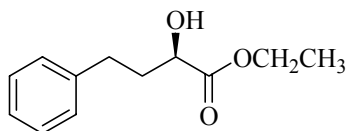
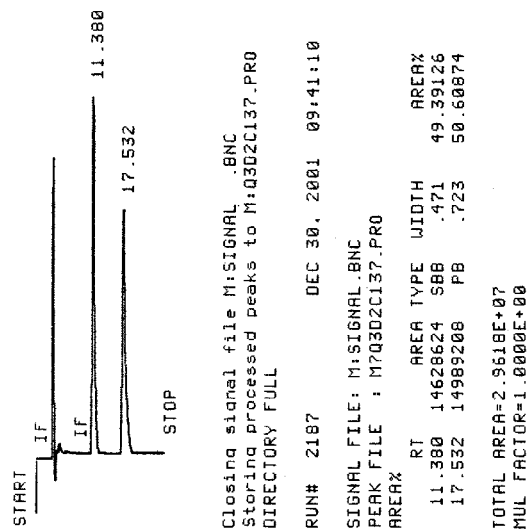
HPLC Conditions: Chiralpak OD, Hexanes:IPA, 20:1, 1 mL/min,  $\lambda$  220 nm.



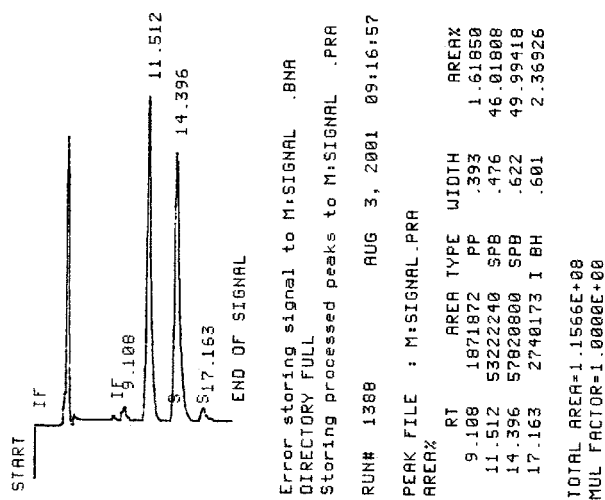
Racemic **31**



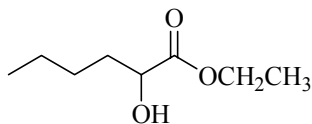
Racemic **51**



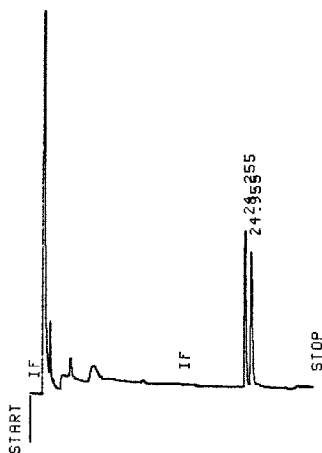
Optically active **31** and **51** derived from reaction mixture



GC Conditions: Gamma cyclodextrin Trifluoroacetyl, 60°, 2 min, 1°/min ramp, 80° (10 min).



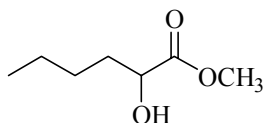
Racemic **3m**



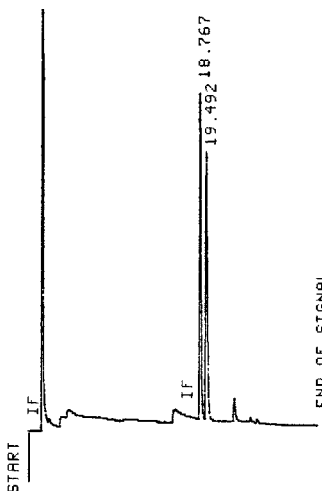
Closing signal file M:SIGNAL .BNC  
 RUN# 2747 JAN 21, 2002 17:14:08  
 SIGNAL FILE: M:SIGNAL.BNC  

AREA%	RT	AREA	TYPE	WIDTH	AREA%
24.255	5711	PU	.197	49.76472	
24.955	5765	UU	.232	50.23526	

 TOTAL AREA= 11476  
 MUL FACTOR=1.0000E+00



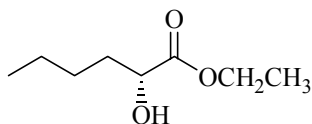
Racemic **5m**



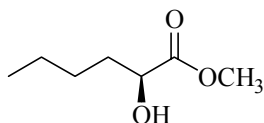
Closing signal file M:SIGNAL .BNA  
 RUN# 2744 JAN 21, 2002 12:00:18  
 SIGNAL FILE: M:SIGNAL.BNA  

AREA%	RT	AREA	TYPE	WIDTH	AREA%
18.767	11250	PB	.191	49.99112	
19.492	11254	PB	.235	50.00888	

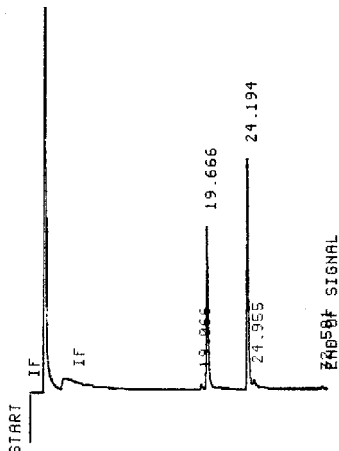
 TOTAL AREA= 22504  
 MUL FACTOR=1.0000E+00



+



Optically active **3m** and **5m** derived from reaction mixture



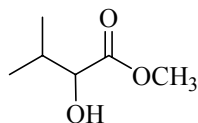
Closing signal file M:SIGNAL .BNA  
 RUN# 2742 JAN 20, 2002 10:44:37  
 SIGNAL FILE: M:SIGNAL.BNA  

AREA%	RT	AREA	TYPE	WIDTH	AREA%
19.066	124	PB	.138	.80321	
19.666	6127	BU	.208	39.68779	
24.194	8827	PU	.210	57.17709	
24.955	302	UB	.240	1.95621	
32.581	58	PB	.139	.37570	

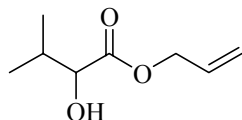
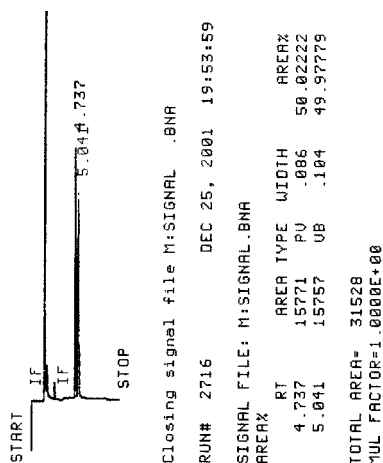
 TOTAL AREA= 15438  
 MUL FACTOR=1.0000E+00



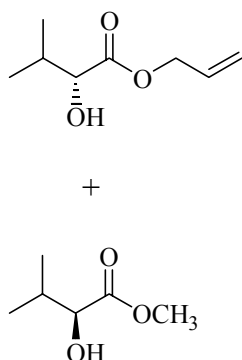
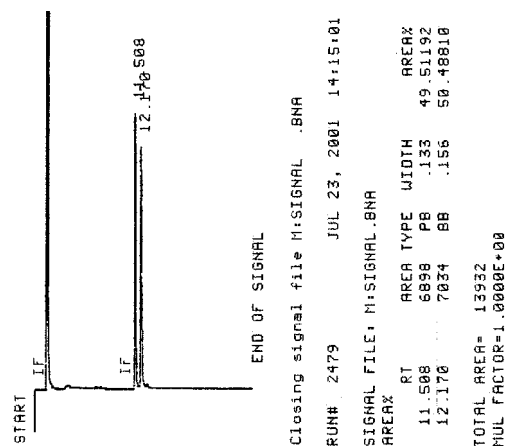
GC Conditions: Gamma cyclodextrin Trifluoroacetyl, 80°C



Racemic **5n**



Racemic **3n**



Optically active **3n** and **5n** derived from reaction mixture

