

(Supporting Information)

Design of NIR-absorbing Simple Asymmetric Squaraine Dyes Carrying Indoline Moieties for Use in Dye-sensitized Solar Cells with Pt-free Electrodes

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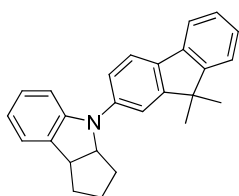
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Measurement. Melting points were obtained on a Yanagimoto MP-S2 micro melting point apparatus and are uncorrected. IR was recorded on a Shimadzu FT-IR 8100A spectrometer. ^1H NMR spectra were measured with a JEOL α -400 (400 MHz) FT-NMR spectrometer in deuteriochloroform (CDCl_3), hexadeuterodimethyl sulfoxide ($\text{DMSO}-d_6$), tetradeuteromethanol (CD_3OD) solutions with tetramethylsilane (Me_4Si) as an internal standard. ^{13}C NMR spectra were obtained on a JEOL α -400 (100 MHz) FT-NMR spectrometer in CDCl_3 , $\text{DMSO}-d_6$, and CD_3OD solution with Me_4Si as an internal standard. High resolution mass spectra (HRMS) were taken on a JEOL JMS-700 mass spectrometer operating at an ionization potential of 70 eV. UV-vis absorption and fluorescence spectra were taken on Hitachi U-3500 spectrophotometer and Horiba Fluoromax-4 spectrophotometers, respectively. Electrochemical measurement was carried out using an ALS CHI 800. The photoelectrochemical measurements of solar cells were performed on a Bunko-Keiki CEP-2000 system. The I–V curve measurements of solar cells were performed on an EKO Instruments I–V curve tracer MP-160 and Grating spectroradiometer LS-100.

The photoelectrochemical measurements of solar cells were performed on a Bunko-Keiki CEP-2000 system (AM 1.5).

Materials. Pure products were isolated by column chromatography using Wakogel C-200 (100-200 mesh, Wako Pure Chemical Ind., Ltd.) or Silica gel 60 (spherical, 40-50 μm , Kanto Chemical Co., Inc.). Analytical TLC was performed on Merck precoated (0.25 mm) silica gel 60 F₂₅₄ plates. All chemicals were of reagent grade and, if necessary, purified in the usual manner prior to use. 3-Butylheptan-2-one (**1**) was prepared by reported method.¹ TiO_2 particles such as PST-18R (diameter: 18 nm) and PST-400C (diameter: 400 nm) were purchased from JGC C & C, Japan.

Synthesis of 4-(9,9-dimethyl-9H-fluoren-2-yl)-1,2,3,3a,4,8b-hexahydrocyclopenta[*b*]indole (**2a**)



After a toluene (15 ml) solution of 2-bromo-9,9-dimethyl-9H-fluorene (1.395 g, 5.11 mmol), 1,2,3,3a,4,8b-hexahydrocyclopenta[*b*]indole (1.150 g, 7.22 mmol), potassium *t*-butoxide (0.956 g, 8.52 mmol), palladium acetate (0.083 g, 0.41 mmol), and tri-*tert*-butylphosphine (0.070 g, 0.35 mmol) was heated at 80 °C for 3 days, the reaction mixture was cooled, filtered with Celite[®] 545RVS, and concentrated under vacuum to give a residue. The residue was purified by column chromatography under elution with

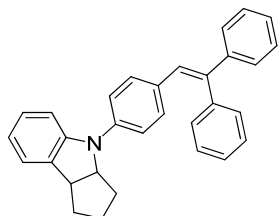
hexane/dichloromethane (v/v = 10:1) to give 4-(9,9-dimethyl-9H-fluoren-2-yl)-1,2,3,3a,4,8b-hexahydrocyclopenta[*b*]indole (**2a**) in 76% yield (1.365 g).

Yield 76%; mp = 46.5–47.5 °C; *R*_f 0.19 (hexane: dichloromethane = 10:1); ^1H NMR (CDCl_3) δ 1.49 (s, 6H), 1.52–1.55 (m, 1H), 1.63–1.70 (m, 1H), 1.87–1.91 (m, 2H), 1.95–2.02 (m, 1H), 2.03–2.10 (m, 1H), 3.86 (dt, *J* = 13.52, 2.42 Hz, 1H), 4.82 (dt, *J* = 7.55, 2.42 Hz, 1H), 6.74 (t, *J* = 7.24 Hz, 1H), 7.03–7.07 (m, 2H), 7.13 (d, *J* = 7.24 Hz, 1H), 7.22–7.27 (m, 2H), 7.31 (t, *J* = 7.24 Hz, 1H), 7.37–7.41 (m, 2H), 7.63–7.66 (m, 2H); ^{13}C NMR (CDCl_3) δ 24.7, 27.4, 27.6, 34.2, 34.9, 45.7, 46.9,

¹ Funabiki, K.; Mase, H.; Hibino, A.; Tanaka, N.; Mizuhata, N.; Sakuragi, Y.; Nakashima, A.; Yoshida, T.; Kubota, Y.; Matsui, M. *Energy Environ. Sci.* **2011**, 4, 2186.

69.0, 108.4, 113.1, 118.1, 119.0, 119.3, 120.8, 122.6, 124.9, 126.3, 127.1, 127.3, 132.7, 135.1, 139.4, 143.0, 147.4, 153.3, 155.0; HRMS (EI): m/z calcd for $C_{26}H_{25}N$, 351.1987 (M); found, 351.1993.

4-(4-(2,2-Diphenylvinyl)phenyl)-1,2,3,3a,4,8b-hexahydrocyclopenta[*b*]indole (2b)

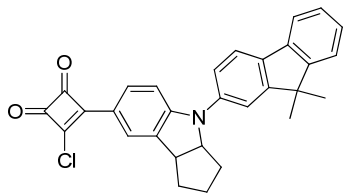


Yield quant.; mp = 47.3–48.5 °C; R_f 0.21 (hexane: dichloromethane = 2:1); 1H NMR ($CDCl_3$) δ 1.41–1.49 (m, 1H), 1.58–1.66 (m, 1H), 1.77–1.92 (m, 3H), 1.96–2.05 (m, 1H), 3.78–3.83 (m, 1H), 4.65 (dt, J = 7.70, 2.66 Hz, 1H), 6.71–6.74 (m, 1H), 6.92 (s, 1H), 6.96–6.98 (m, 2H), 7.01–7.05 (m, 4H), 7.09 (d, J = 7.24 Hz, 1H), 7.25–7.28 (m, 3H), 7.29–7.34 (m, 4H), 7.35–7.38 (m, 3H); ^{13}C NMR ($CDCl_3$) δ 24.4, 34.1, 34.6, 45.4, 68.4, 108.9, 117.3, 119.1, 124.7, 127.1, 127.1, 127.3, 127.3, 127.8, 128.2, 128.8, 129.7, 130.4, 130.4, 135.2, 140.1, 140.9, 142.0, 143.7, 146.5; HRMS (EI): m/z calcd for $C_{31}H_{27}NO$, 413.2143 (M); found, 413.2144.

Synthesis

of

3-chloro-4-(4-(9,9-dimethyl-9H-fluoren-2-yl)-1,2,3,3a,4,8b-hexahydrocyclopenta[*b*]indol-7-yl)cyclobut-3-ene-1,2-dione (4a)

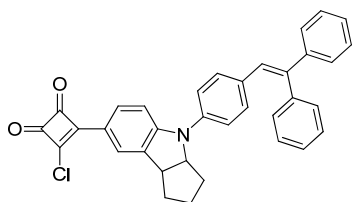


After a toluene (8 ml) solution of 4-(9,9-dimethyl-9H-fluoren-2-yl)-1,2,3,3a,4,8b-hexahydrocyclopenta[*b*]indole (2a) (1.095 g, 3.12 mmol) and 3,4-dichlorocyclobut-3-ene-1,2-dione (0.570 g, 3.78 mmol) was heated at 80 °C for 3 h, the resultant reaction mixture was diluted with distilled water and toluene. The separated organic layer

was dried over Na_2SO_4 and concentrated under vacuum to give a residue, which was purified by column chromatography under elution with dichloromethane to give 3-chloro-4-(4-(9,9-dimethyl-9H-fluoren-2-yl)-1,2,3,3a,4,8b-hexahydrocyclopenta[*b*]indol-7-yl)cyclobut-3-ene-1,2-dione (4a) in 50% yield (0.732 g).

Yield 50%; mp = 49.0–50.2 °C; R_f 0.79 (dichloromethane); 1H NMR ($CDCl_3$) δ 1.49 (s, 3H), 1.50 (s, 3H), 1.66–1.77 (m, 2H), 1.83–1.92 (m, 2H), 2.03–2.10 (m, 2H), 3.81 (t, J = 7.24 Hz, 1H), 5.22 (t, J = 7.24 Hz, 1H), 6.74 (d, J = 8.45 Hz, 1H), 7.21–7.34 (m, 3H), 7.39–7.49 (m, 2H), 7.64–7.74 (m, 2H), 7.85–7.90 (m, 2H); ^{13}C NMR ($CDCl_3$) δ 24.5, 27.3, 27.5, 33.1, 35.8, 44.7, 47.3, 71.0, 107.4, 116.8, 117.6, 120.1, 121.2, 122.1, 122.9, 125.8, 127.4, 127.5, 132.2, 136.5, 136.9, 138.7, 139.1, 153.8, 155.1, 155.6, 171.2, 185.5, 190.1, 196.2; HRMS (EI): m/z calcd for $C_{30}H_{24}ClNO_2$, 465.1496 (M); found, 465.1485.

3-Chloro-4-(4-(4-(2,2-diphenylvinyl)phenyl)-1,2,3,3a,4,8b-hexahydrocyclopenta[*b*]indol-7-yl)cyclobut-3-ene-1,2-dione (4b)

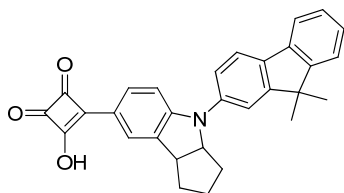


Yield 84%; mp = 67.8–68.7 °C; R_f 0.69 (dichloromethane); 1H NMR ($CDCl_3$) δ 1.38–1.50 (m, 1H), 1.62–1.75 (m, 2H), 1.81–1.83 (m, 2H), 1.99–2.09 (m, 2H), 3.75 (t, J = 7.24 Hz, 1H), 4.87 (t, J = 7.24 Hz, 1H), 6.76 (d, J = 8.69 Hz, 1H), 6.93 (s, 1H), 7.04 (s, 4H), 7.21–7.35 (m, 10H), 7.85–7.89 (m, 2H); ^{13}C NMR ($CDCl_3$) δ 24.1, 33.0, 35.4, 44.4, 70.0, 107.6, 116.8, 121.5,

125.4, 127.0, 127.4, 127.58, 127.63, 128.2, 128.9, 130.2, 130.7, 131.7, 134.0, 136.4, 138.2, 140.3, 142.4, 143.1, 153.9, 171.4, 185.3, 189.7, 195.8; HRMS (EI): m/z calcd for $C_{35}H_{26}^{35}ClNO_2$, 527.1652 (M); found, 527.1643.

Synthesis

3-(4-(9,9-dimethyl-9H-fluoren-2-yl)-1,2,3,3a,4,8b-hexahydrocyclopenta[b]indol-7-yl)-4-hydroxycyclobut-3-ene-1,2-dione (**5a**)

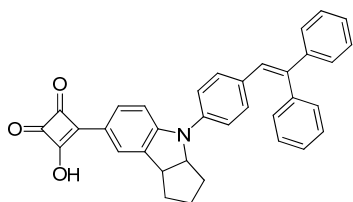


After a mixture of 3-chloro-4-(4-(9,9-dimethyl-9H-fluoren-2-yl)-1,2,3,3a,4,8b-hexahydrocyclopenta[b]indol-7-yl)cyclobut-3-ene-1,2-dione (**4a**) (0.467 g, 1.00 mmol), acetic acid (8 ml), and water (2 ml) was refluxed for 2 d, the resultant mixture was poured into water (50 ml) to form a precipitate. The precipitate was filtered and washed with $NaHCO_3$ aq. and brine to give

3-(4-(9,9-dimethyl-9H-fluoren-2-yl)-1,2,3,3a,4,8b-hexahydrocyclopenta[b]indol-7-yl)-4-hydroxycyclobut-3-ene-1,2-dione (**5a**) in 46% yield (0.206 g).

Yield 46%; mp = 83.5–84.5 °C; R_f 0.78 (dichloromethane/methanol = 5:1); 1H NMR (DMSO- d_6) δ 1.43 (s, 6H), 1.46–1.58 (m, 2H), 1.76–1.85 (m, 3H), 2.00–2.10 (m, 1H), 3.82 (t, J = 7.24 Hz, 1H), 4.93 (t, J = 7.24 Hz, 1H), 7.01 (d, J = 8.45 Hz, 1H), 7.20–7.34 (m, 3H), 7.47–7.52 (m, 2H), 7.69–7.79 (m, 2H), 7.81–7.90 (m, 2H); ^{13}C NMR (DMSO- d_6) δ 24.5, 27.0, 27.2, 33.3, 34.9, 44.6, 46.7, 68.5, 107.5, 113.8, 116.5, 118.4, 119.5, 120.8, 121.0, 122.8, 124.0, 124.3, 126.9, 127.2, 132.6, 135.1, 135.4, 138.7, 141.6, 147.2, 153.2, 154.9, 176.8, 197.2; HRMS (FAB): m/z calcd for $C_{30}H_{25}NO_3$, 447.1834 (M); found, 447.1836.

3-(4-(4-(2,2-Diphenylvinyl)phenyl)-1,2,3,3a,4,8b-hexahydrocyclopenta[b]indol-7-yl)-4-hydroxycyclobut-3-ene-1,2-dione (**5b**)

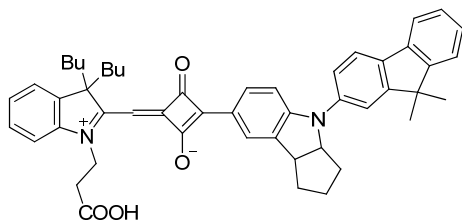


Yield 64%; mp = 97.2–98.8 °C; R_f 0.05 (dichloromethane/methanol = 5:1); 1H NMR (DMSO- d_6) δ 1.26–1.38 (m, 1H), 1.58–1.66 (m, 2H), 1.71–1.85 (m, 2H), 2.00–2.09 (m, 1H), 3.82 (t, J = 7.24 Hz, 1H), 4.90 (t, J = 7.24 Hz, 1H), 6.98–7.03 (m, 3H), 7.07 (s, 1H), 7.13 (d, J = 7.24 Hz, 2H), 7.20 (d, J = 7.24 Hz, 2H), 7.29–7.36 (m, 5H), 7.39–7.48 (m, 3H), 7.74 (d, J = 7.24 Hz, 2H); ^{13}C NMR (DMSO- d_6) δ 24.8,

34.0, 35.5, 45.1, 68.8, 108.7, 119.0, 121.9, 123.9, 125.7, 127.6, 128.1, 128.4, 129.2, 130.0, 130.6, 130.9, 131.2, 136.1, 140.5, 141.1, 141.3, 143.5, 147.8, 197.1; HRMS (FAB): m/z calcd for $C_{35}H_{27}NO_3$, 509.1991 (M); found, 509.1997.

4-((3,3-Dibutyl-1-(2-carboxyethyl)-3H-indolium-2-yl)methylene)-2-(4-(9,9-dimethyl-9H-fluoren-2-yl)-1,2,3,3a,4,8b-hexahydrocyclopenta[b]indol-7-yl)-3-oxocyclobut-1-enolate (Sq 31)

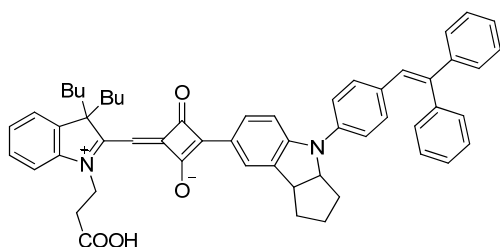
A mixture of 1-carboxyethyl-3,3-dibutyl-2-methylindolenium iodide (**3**) (0.227 g, 0.51 mmol), 3-(4-(9,9-dimethyl-9H-fluoren-2-yl)-1,2,3,3a,4,8b-hexahydrocyclopenta[b]indol-7-yl)-4-hydroxycyclobut-3-ene-1,2-dione (**5a**) (0.192 g, 0.43 mmol), toluene (4 ml), and 1-butanol (4 ml) was refluxed with a Dean-Stark apparatus for 5 h. The resultant mixture was cooled, diluted with



dichloromethane (20 ml), washed with brine (30 ml X 3), dried over Na₂SO₄, and concentrated under vacuum to give a residue, which was purified with dichloromethane/methanol (v/v = 20:1) to give 4-((3,3-dibutyl-1-(2-carboxyethyl)-3*H*-indolium-2-yl)methylene)-2-(4-(9,9-dimethyl-9*H*-fluoren-2-yl)-1,2,3,3a,4,8b-hexahydrocyclopenta[*b*]indol-7-yl)-3-oxocyclobut-1-enolate (**Sq 31**) in 29% yield (0.093 g).

Yield 29%; mp = 135.7–137.0 °C; *R*_f 0.71 (dichloromethane/methanol = 20:1); ¹H NMR (CDCl₃) δ 0.44–0.54 (m, 2H), 0.70 (s, *J* = 7.24 Hz, 6H), 0.82–0.93 (m, 2H), 1.09–1.15 (m, 4H), 1.24–1.30 (m, 4H), 1.50 (s, 3H), 1.51 (s, 3H), 1.67–1.85 (m, 2H), 1.93–2.02 (m, 2H), 2.05–2.12 (m, 2H), 3.08 (t, *J* = 11.59 Hz, 2H), 3.88 (t, *J* = 7.00 Hz, 1H), 4.61 (t, *J* = 11.59 Hz, 2H), 5.01 (t, *J* = 7.00 Hz, 1H), 6.64 (s, 1H), 6.94 (d, *J* = 8.45 Hz, 1H), 7.25–7.28 (m, 3H), 7.30–7.35 (m, 3H), 7.39–7.44 (m, 3H), 7.68–7.74 (m, 2H), 8.17–8.22 (m, 2H); ¹³C NMR (CDCl₃) δ 13.2, 13.4, 18.6, 22.3, 23.9, 25.8, 26.7, 26.8, 29.3, 30.1, 31.3, 32.8, 34.8, 39.1, 40.0, 44.3, 46.5, 59.7, 69.8, 90.7, 107.5, 110.1, 115.8, 119.3, 120.4, 121.5, 122.2, 122.3, 125.0, 125.6, 126.6, 126.7, 128.0, 130.8, 135.2, 135.7, 138.2, 139.5, 142.2, 151.8, 153.1, 154.7, 170.7, 172.2, 174.0, 179.9, 184.7, 186.2; HRMS (FAB): *m/z* calcd for C₅₀H₅₂N₂O₄, 744.3927 (M); found, 744.3931.

4-((3,3-dibutyl-1-(2-carboxyethyl)-3*H*-indolium-2-yl)methylene)-2-(4-(4-(2,2-diphenylvinyl)phenyl)-1,2,3,3a,4,8b-hexahydrocyclopenta[*b*]indol-7-yl)-3-oxocyclobut-1-enolate (**Sq 33**)



Yield 9%; mp = 160.5–162.0 °C; *R*_f 0.56 (dichloromethane/methanol = 10:1); ¹H NMR (CD₃OD) δ 0.46–0.55 (m, 2H), 0.69 (t, *J* = 7.24 Hz, 6H), 0.84–0.94 (m, 6H), 1.08–1.13 (m, 4H), 1.39–1.45 (m, 2H), 1.78–1.81 (m, 2H), 2.06–2.15 (m, 2H), 2.88 (t, *J* = 7.00 Hz, 2H), 3.85 (t, *J* = 7.24 Hz, 1H), 4.63 (t, *J* = 7.00 Hz, 2H), 4.95 (t, *J* = 7.24 Hz, 1H), 6.40 (s, 1H), 6.89 (d, *J* = 8.93 Hz, 1H),

7.01 (s, 1H), 7.06–7.13 (m, 5H), 7.19–7.21 (m, 2H), 7.29–7.31 (m, 4H), 7.36–7.41 (m, 4H), 7.49–7.53 (m, 3H), 7.98–8.01 (m, 2H); ¹³C NMR (CDCl₃) δ 13.6, 13.7, 19.0, 22.6, 24.2, 26.2, 29.7, 30.4, 33.3, 36.1, 39.4, 40.5, 44.6, 60.1, 69.6, 91.2, 108.3, 110.6, 120.5, 122.2, 122.7, 125.3, 126.1, 127.3, 127.4, 127.5, 128.2, 128.4, 128.8, 129.8, 130.3, 130.5, 130.8, 132.9, 136.2, 139.3, 139.9, 140.4, 141.9, 142.6, 143.3, 151.3, 171.3, 172.6, 174.7, 180.3, 185.5, 186.6; HRMS (FAB): *m/z* calcd for C₅₅H₅₄N₂O₄, 806.4084 (M); found, 806.4095.

Electrochemical measurements of Sq 31 and Sq 33

Electrochemical measurement of **Sq 31** and **Sq 33** was performed in acetonitrile. The oxidation potential (*E*_{ox}) was measured with the use of three small electrodes. A Ag quasi reference electrode (QRE) was used as a reference. Platinum wire was used for the working and counter electrodes. All electrode potentials were calibrated with respect to a ferrocene (Fc)/ferrocenium (Fc⁺) redox

couple. An acetonitrile solution of dye (concentration: 5 μM) containing tetrabutylammonium perchlorate (0.1 M) and ferrocene (ca. 1 mM) was prepared. The electrochemical measurement was performed at a scan rate of 50 mV s^{-1} .

Device fabrication

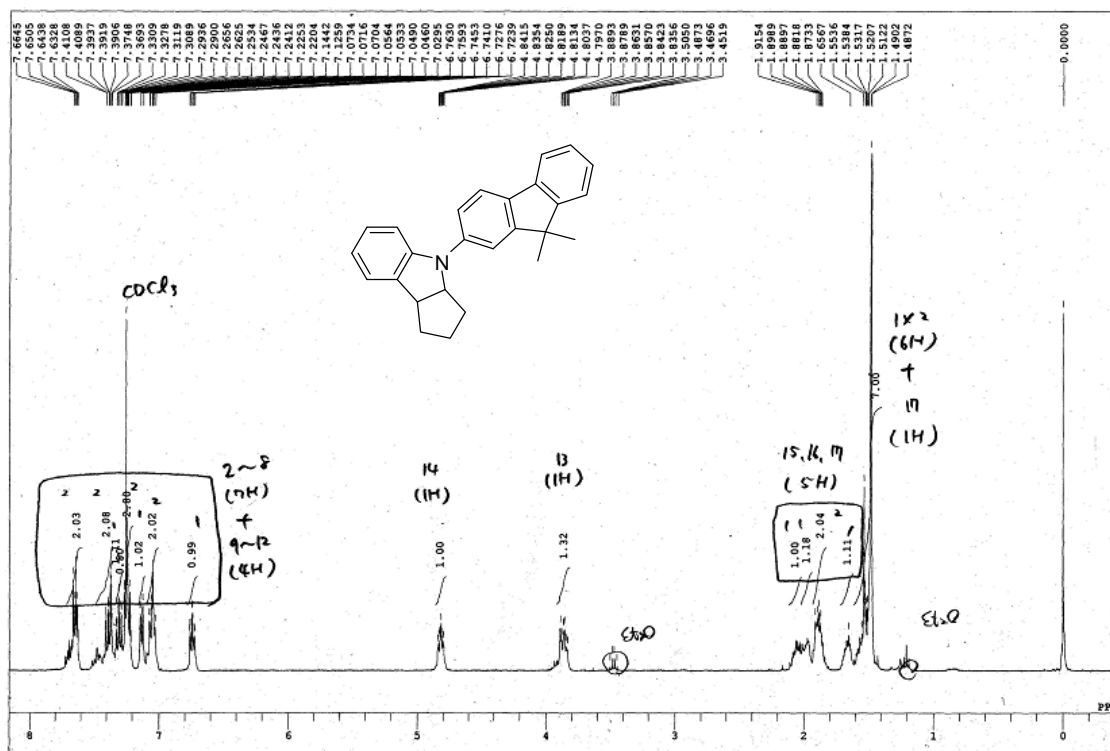
Screen-printed double-layers of TiO_2 particles were used as photoelectrodes in this study. A film of 18 nm TiO_2 particles (PST-18R, JGC C & C, Japan) of up to 9 μm thick was first printed on a F-doped SnO_2 (FTO) conducting glass electrode and coated with a second layer (up to 5 μm thick) comprised of 400 nm light-scattering anatase particles (PST-400C, JGC C & C, Japan). After the TiO_2 layers were sintered at 500 $^\circ\text{C}$, the film was cooled to room temperature and immersed in 10 mM aqueous TiCl_4 . The TiO_2 electrodes were immersed in an acetonitrile and *t*-BuOH (*v/v* = 1/1) solution of dye (100 μM) containing deoxycholic acid (DCA) (0.5 mM) at room temperature for 1 h. The dye-coated thin film was then washed with the solution. The film was used as a working electrode. A PEDOT-PTS coated film on FTO glass² was used as counter-electrode. Sandwich-type cells (two electrodes) consisting of the dye-coated TiO_2 electrode and PEDOT-PTS coated FTO glass counter electrode were attached in a face-to-face configuration using a 25 μm hot melt polymer (HIMILAN®) film spacer (Du Pont-Mitsui Polychemicals. Co., Ltd.). 3-Methoxypropionitrile (3-MPN) containing 0.1 M iodine (I_2), 0.1 M lithium iodide (LiI) and 1.0 M 1,2-dimethyl-3-propylimidazolium iodide (DMPImI) was used as an electrolyte.

Photochemical measurements

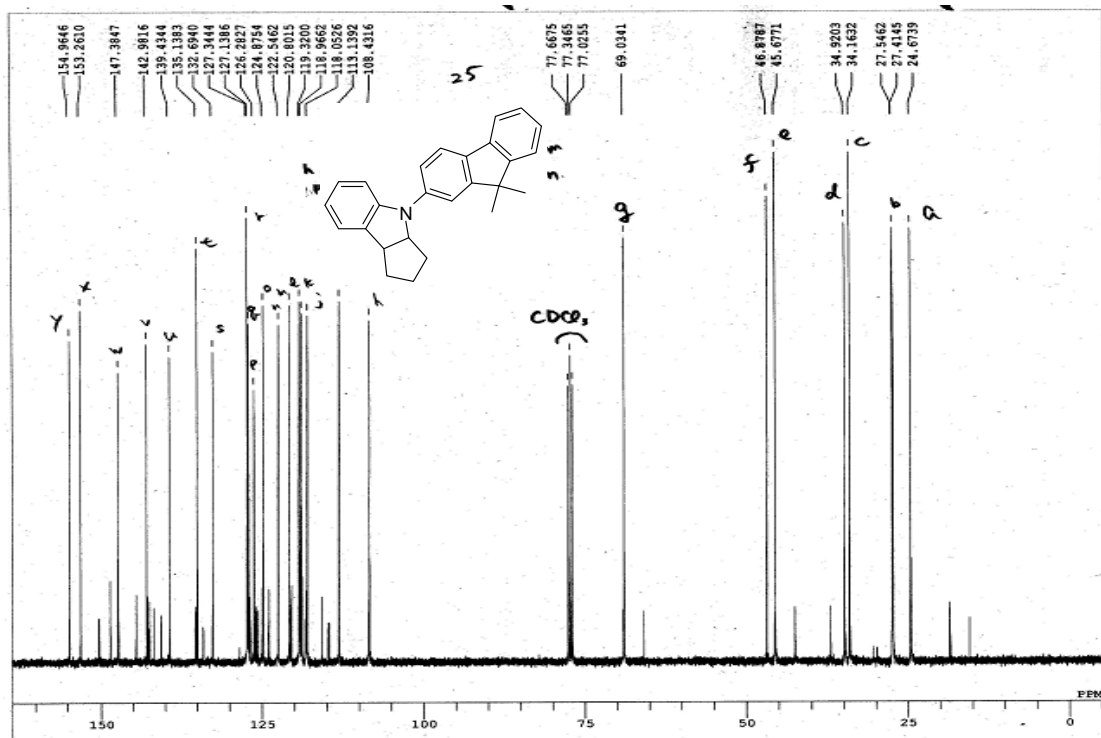
An action spectrum was measured under monochromatic light with a constant photon number under illumination with AM 1.5 simulated sunlight (100 mW cm^{-2}) through a shading mask (5.0 mm x 4.0 mm) using a Bunko-Keiki CEP-2000 system.

² Saito, Y.; Kitamura, T.; Wada, Y.; Yanagida, S. *Chem. Lett.* **2002**, 1060.

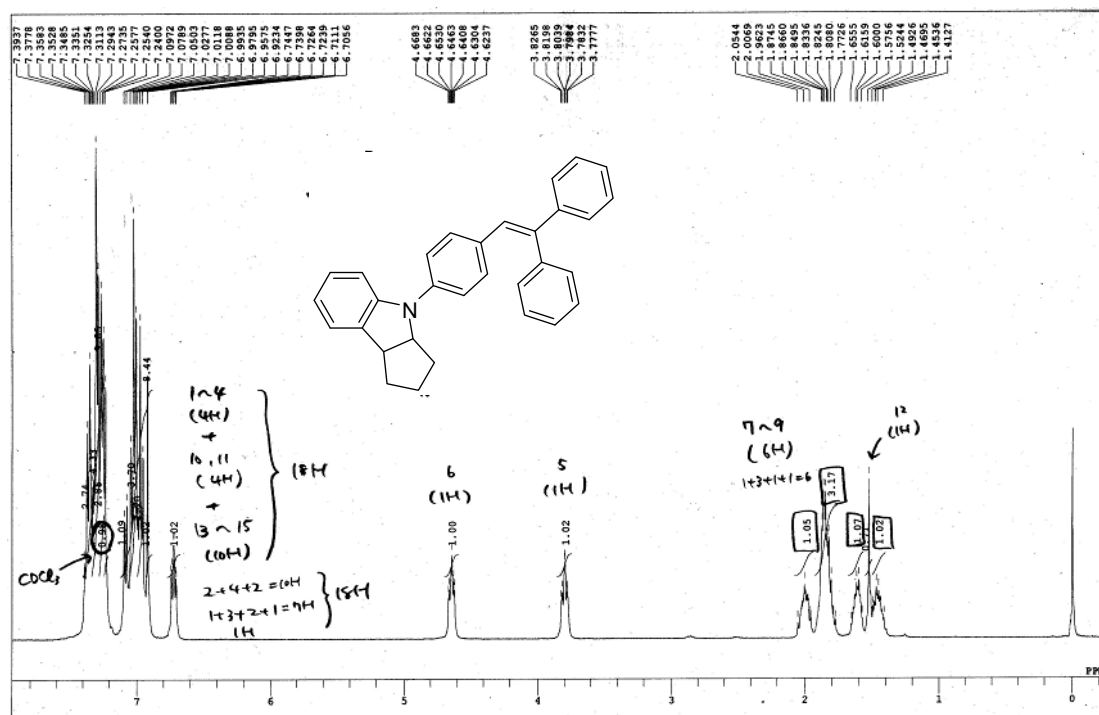
^1H NMR of **2a**



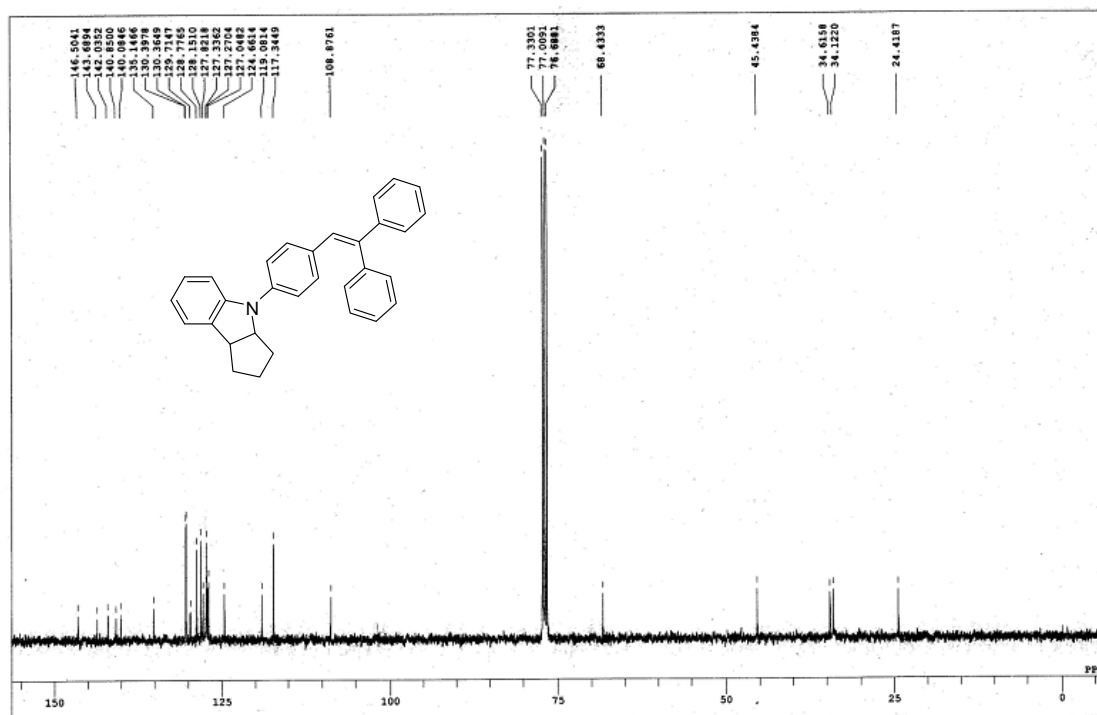
^{13}C NMR of **2a**



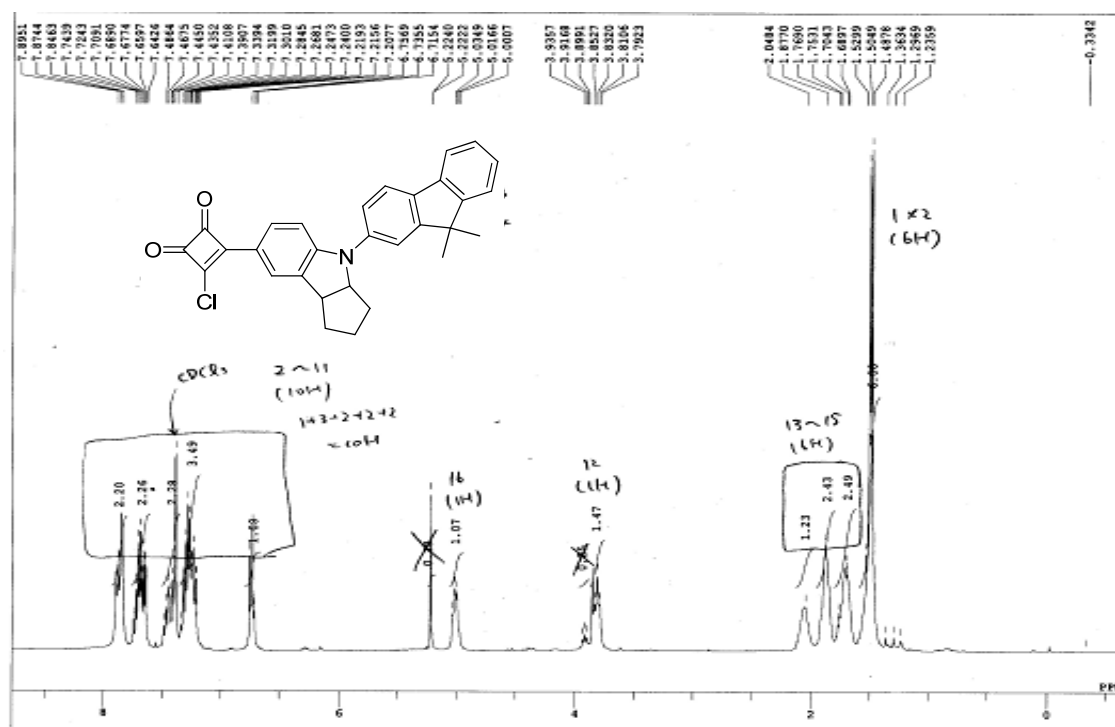
¹H NMR of **2b**



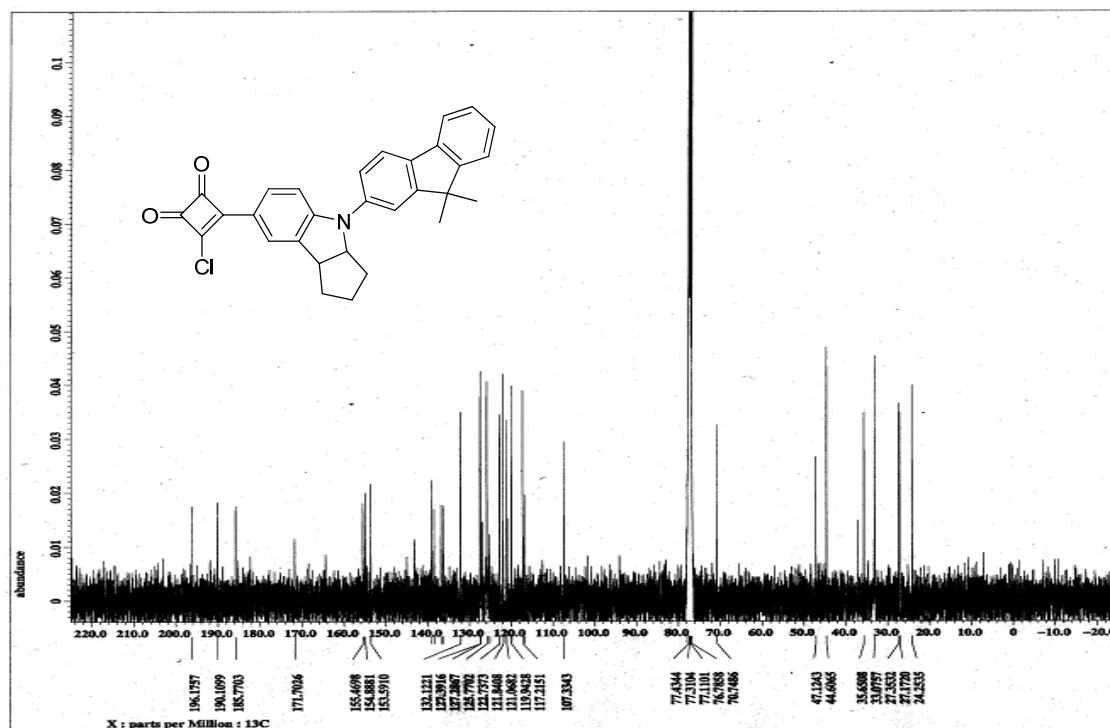
¹³C NMR of **2b**



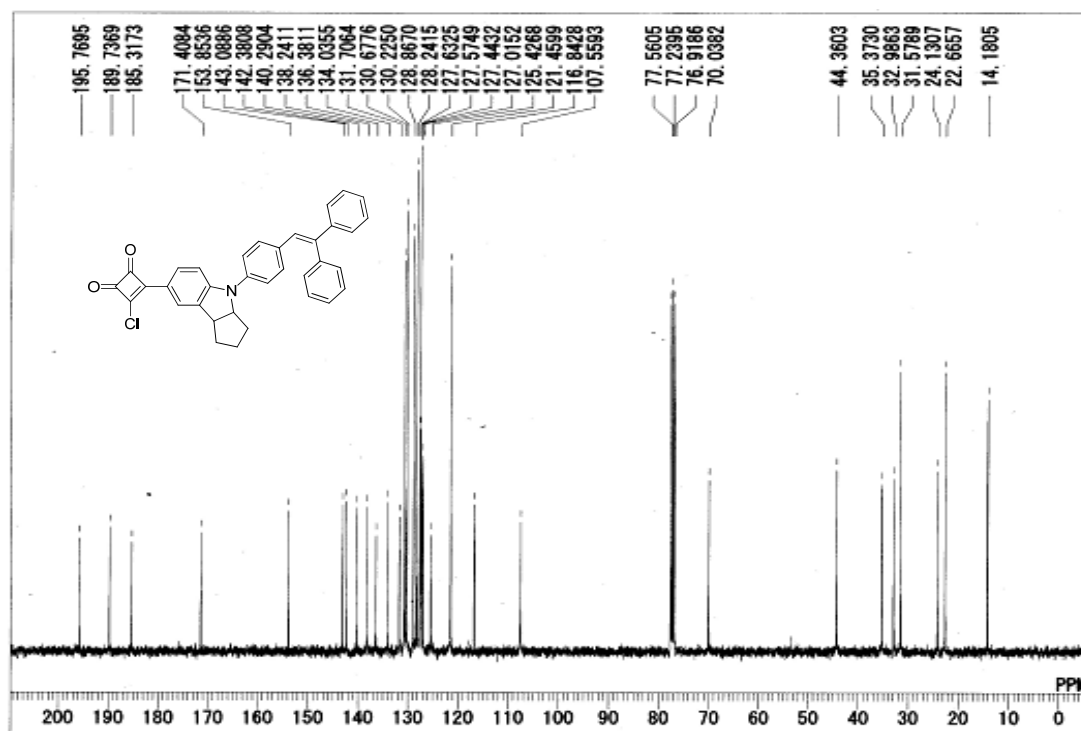
¹H NMR of **4a**



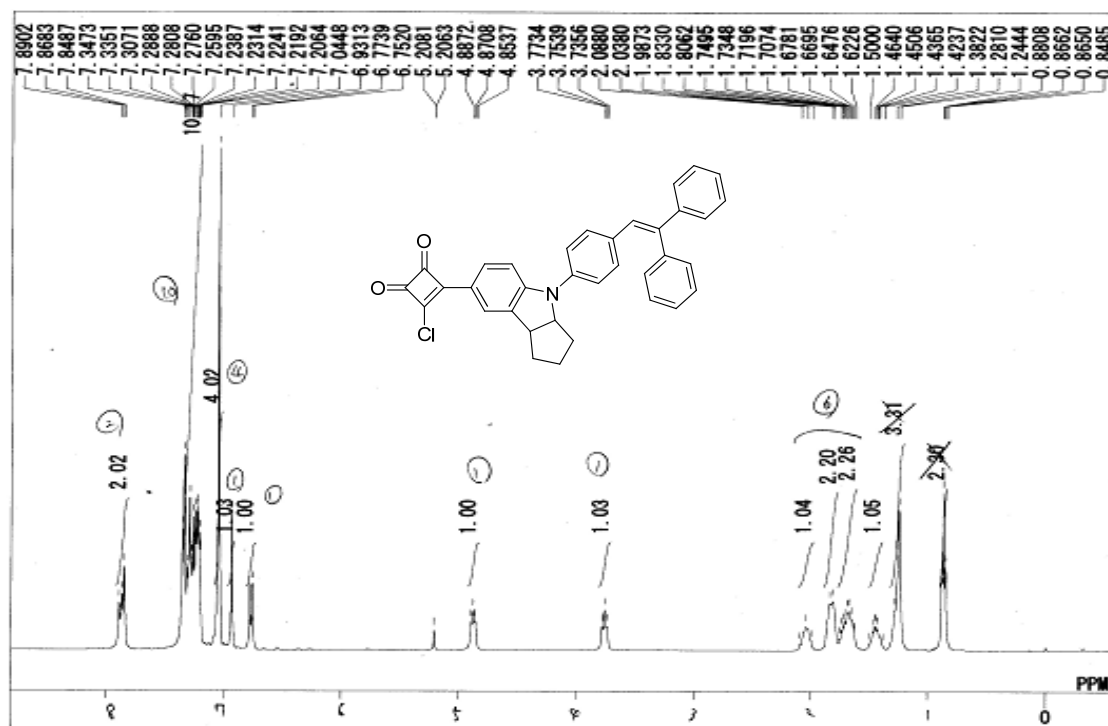
¹³C NMR of **4a**



^1H NMR of **4b**



^{13}C NMR of **4b**



O=C1C(=O)C(O)C1c2ccc3c(c2)C4CCN(C4)c5ccc(cc5)/C=C/c6ccccc6

7.7511
 7.7328
 7.4893
 7.4852
 7.4453
 7.4278
 7.4163
 7.4102
 7.3919
 7.3638
 7.3443
 7.3384
 7.3054
 7.2857
 7.2119
 7.1954
 7.1363
 7.1149
 7.0728
 7.0544
 7.0444
 7.0333
 6.9807
 5.7595
 4.8129
 4.8952
 4.8787
 4.0766
 3.8448
 3.8246
 3.8082
 5.0989
 5.052
 5.010
 2.0874
 2.0471
 1.8348
 1.8196
 1.8025
 1.7853
 1.780
 1.7360
 1.6647
 1.6207
 1.5951
 1.5316
 1.5170
 1.5023

2.01
 2.09
 2.04
 2.07
 4.72
 1.00
 1.18
 1.15
 0.99
 1.10
 2.10
 1.03

15.90

PP

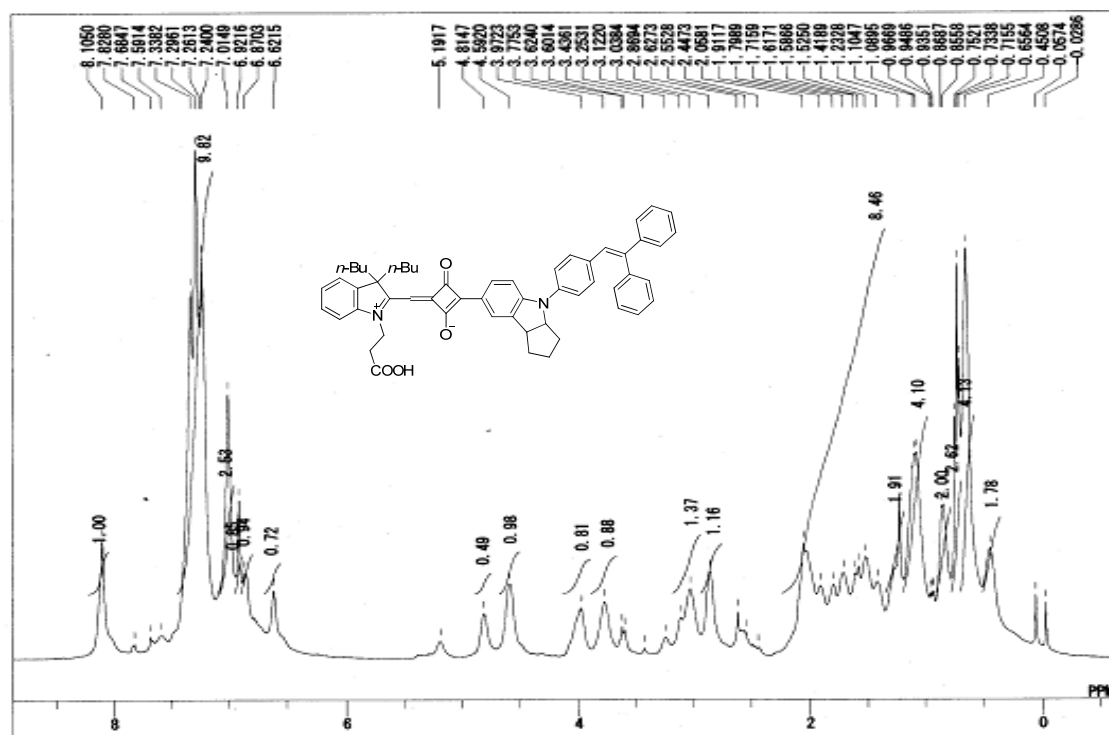
O=C1C(=O)C(O)C1c2ccc3c(c2)c4ccccc4n3C5=CC=C(C=C5)C(=C6C=CC=CC6)C7=CC=CC=C7

Chemical structure of 1-(2,3-dihydro-1H-indolizin-5-yl)-2-phenyl-2-phenyl-1,3-butadiene is shown above the spectrum.

Peak values (ppm) listed on the right side of the spectrum:

- 197.047
- 147.7716
- 143.5413
- 141.2781
- 141.1299
- 138.0816
- 136.0816
- 131.1468
- 130.6834
- 130.5707
- 128.9534
- 128.1633
- 128.4309
- 126.0934
- 125.8325
- 125.6733
- 123.9043
- 121.9209
- 118.9909
- 108.6704
- 88.7673
- 45.1010
- 40.9777
- 40.7720
- 40.5580
- 40.3460
- 40.1382
- 39.7183
- 38.7183
- 35.4471
- 34.0068
- 24.7691

¹H NMR of Sq 33



¹³C NMR of Sq 33

