

Nano-scale stabilization of sodium oxides:

Implications for Na-O₂ batteries

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Supporting Information

1. Phase information about Na–O compounds

Experimentally reported stability ranges of Na–O compounds considered in this study are summarized in Table S 1.¹⁻³ Since the P6₃/mmc structure of Na metal is only stable below 36 K, and the Im $\bar{3}$ m structure is stable between 36 and 370.98 K, we only considered the Im $\bar{3}$ m structure for Na metal.

Table S 1. Structure and phase transition information of Na and Na–O compounds considered in this study.

Compound	Structure	Note
Na	P6 ₃ /mmc	Phase transition from P6 ₃ /mmc to Im $\bar{3}$ m at ~ 36 K
	Im $\bar{3}$ m	Melting at 370.98 K
Na ₂ O	Fm $\bar{3}$ m	Stable up to 1407 K
Na ₂ O ₂	P $\bar{6}$ 2m	Phase transition to a different structure at ~785 K
NaO ₂	Pnnm	Magnetic transition at ~ 43 K, Phase transition from Pnnm to ordered Pa $\bar{3}$ at 196 K
	Pa $\bar{3}$	O ₂ bonds aligned to <111> directions, Order-disorder transition at 223 K
	Fm $\bar{3}$ m	Disordered arrangements of O ₂ bonds
NaO ₃	Imm2 (I4/mmm)	Wriedt ¹ reported on I4/mmm structure, but all compounds reported in ICSD (ICSD # 85587, 180566, 411168) are in the Im2m structure.

2. Computational details for phonon calculations and results

The supercell dimensions used for phonon computation were as follows: $5 \times 5 \times 5$ for Im $\bar{3}$ m Na, $4 \times 4 \times 4$ for Fm $\bar{3}$ m Na₂O, $2 \times 2 \times 3$ for P $\bar{6}$ 2m Na₂O₂, $3 \times 3 \times 3$ for Pnnm NaO₂, $3 \times 3 \times 3$ for R $\bar{3}$ m NaO₂ (to represent Fm $\bar{3}$ m NaO₂), and $3 \times 3 \times 3$ for Imm2 NaO₃. For each compound, 0.04 Å of symmetrically distinct atomic displacements were introduced, and the Hellman-Feynman forces were obtained in GGA-DFT.

The Gibbs free energy of solids is approximated by the Helmholtz free energy as

$$\begin{aligned}
 G(T, P) &\cong F(T, V^0) \\
 &= E^{\text{total}}(V^0) + \Delta F^{\text{harm}}(T, V^0) \\
 &= E^{\text{total}}(V^0) + \Delta E^{\text{harm}}(T, V^0) - TS^{\text{harm}}(T, V^0),
 \end{aligned}
 \tag{Eq. S (1)}$$

where V^0 is the optimized volume of the solid within DFT, E^{total} is the total energy calculated in DFT, ΔF^{harm} is the Helmholtz free energy change due to vibrations in the harmonic approximation

The phonon spectra calculated for Na metal and Na–O compounds are displayed in Figs. S 1–7. Due to the presence of imaginary frequencies in $\text{Pa}\bar{3}$ NaO_2 (ordered form of $\text{Fm}\bar{3}\text{m}$ NaO_2) in Fig. S 5, we have tested different sets of energy and force convergence criteria, kinetic energy cutoff, charge density mixing parameters, and algorithms that can influence the final structure and Hellman-Feynman forces within the small displacement method we used. In addition, we have calculated the phonon spectra using the density functional perturbation theory.⁴⁻⁶ However, none of these attempts were successful in removing the imaginary frequencies. In Fig. S 5, we show the phonon dispersion and density of states (DOS) of $\text{Pa}\bar{3}$ NaO_2 calculated with the small displacement method with an energy cutoff of 700 eV, and the density functional perturbation theory using VASP. In both methods, the phonon spectra of $\text{Pa}\bar{3}$ NaO_2 show imaginary frequencies indicating that the $\text{Pa}\bar{3}$ NaO_2 structure is not dynamically stable.

Instead, we performed phonon calculations on the $\text{Rm}\bar{3}$ NaO_2 structure, whose phonon dispersion and phonon DOS are displayed in Fig. S 6. In addition to the phonon vibrational energy and entropy of $\text{Rm}\bar{3}$ NaO_2 , the energy and entropy of rigid rotors are added to calculate the Gibbs free energy of disordered $\text{Fm}\bar{3}\text{m}$ NaO_2 as a function of temperature;

$$E^{\text{rotor}} \approx k_B T \left\{ 1 - \frac{T_{\text{rot}}}{3T} - \frac{1}{45} \left(\frac{T_{\text{rot}}}{T} \right)^2 \right\} \text{ and } S^{\text{rotor}} \approx k_B \left\{ 1 - \ln \left(\frac{2T_{\text{rot}}}{T} \right) - \frac{1}{90} \left(\frac{T_{\text{rot}}}{T} \right)^2 \right\} \quad \text{Eq. S (2)}$$

per O_2 , when $T > 5 T_{\text{rot}}$. k_B is the Boltzmann constant, and $T_{\text{rot}} = 2.08$ K is the characteristic rotational temperature of O_2 gas. The degree of contribution of the rigid rotor to the free energy was set to 80% to reproduce the phase transition temperature between the NaO_2 polymorphs, and the equilibrium temperature between Na_2O_2 and NaO_2 at $P_{\text{O}_2} = 1$ atm.

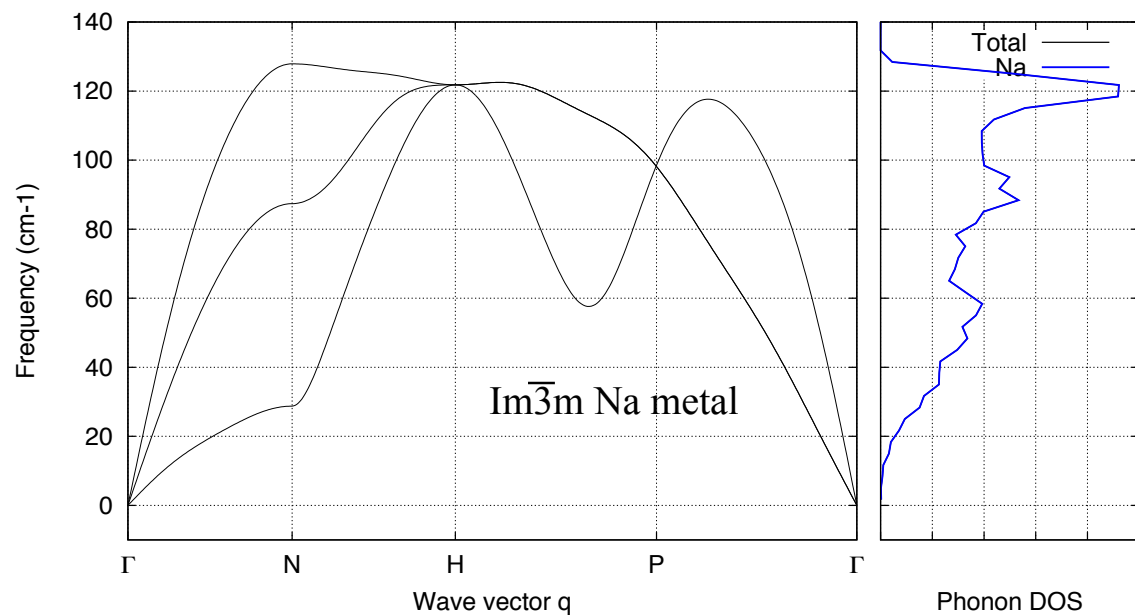


Fig. S 1. Phonon dispersion and density of states for $\text{Im}\bar{3}\text{m Na metal}$.

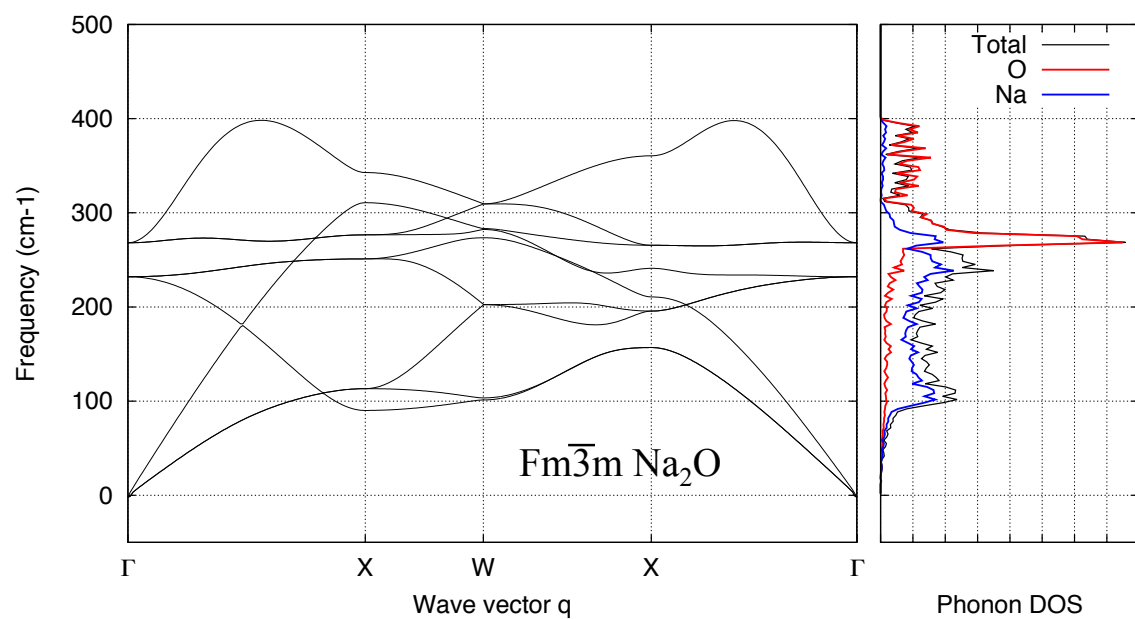


Fig. S 2. Phonon dispersion and density of states for $\text{Fm}\bar{3}\text{m Na}_2\text{O}$. The partial phonon density of states contributed from Na and O are shown in blue and red, respectively.

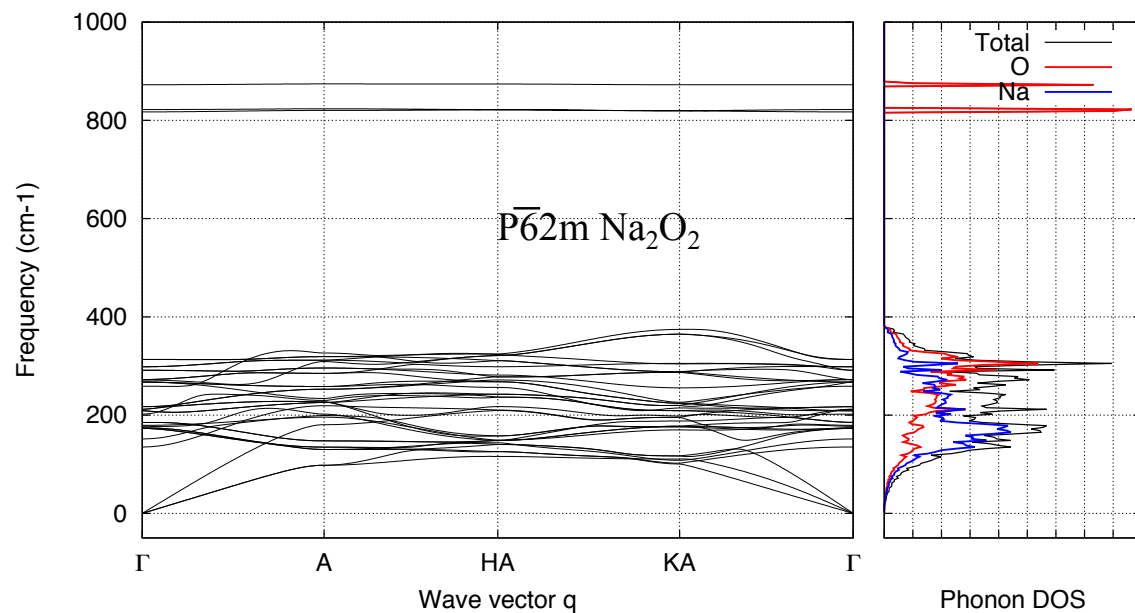


Fig. S 3. Phonon dispersion and density of states for $P\bar{6}2$ Na_2O_2 . The partial phonon density of states contributed from Na and O are shown in blue and red, respectively.

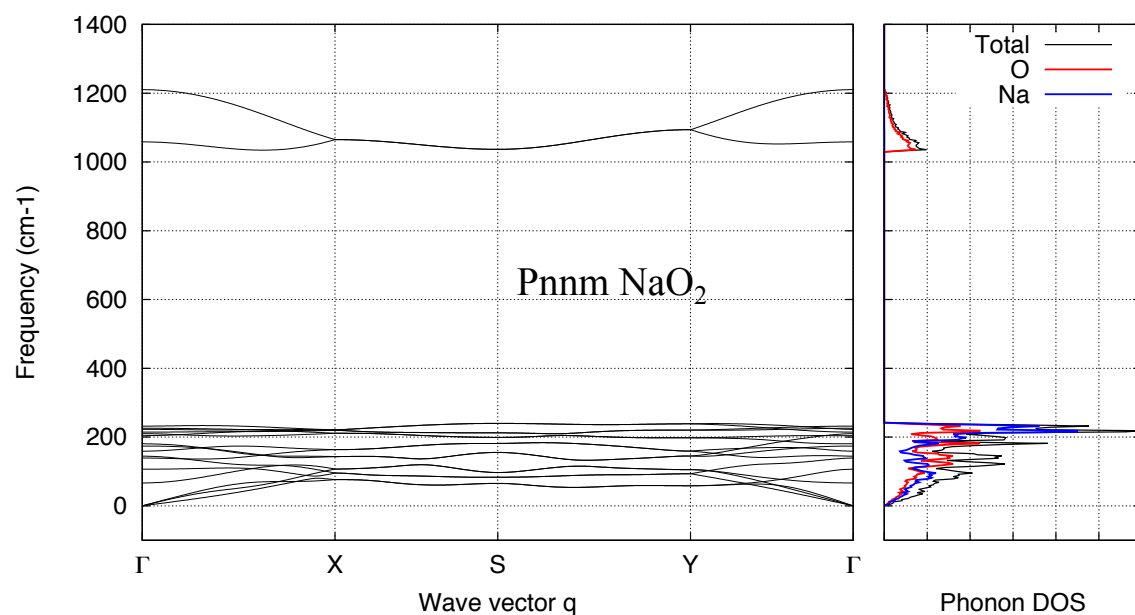


Fig. S 4. Phonon dispersion and density of states for $Pnnm$ NaO_2 . The partial phonon density of states contributed from Na and O are shown in blue and red, respectively.

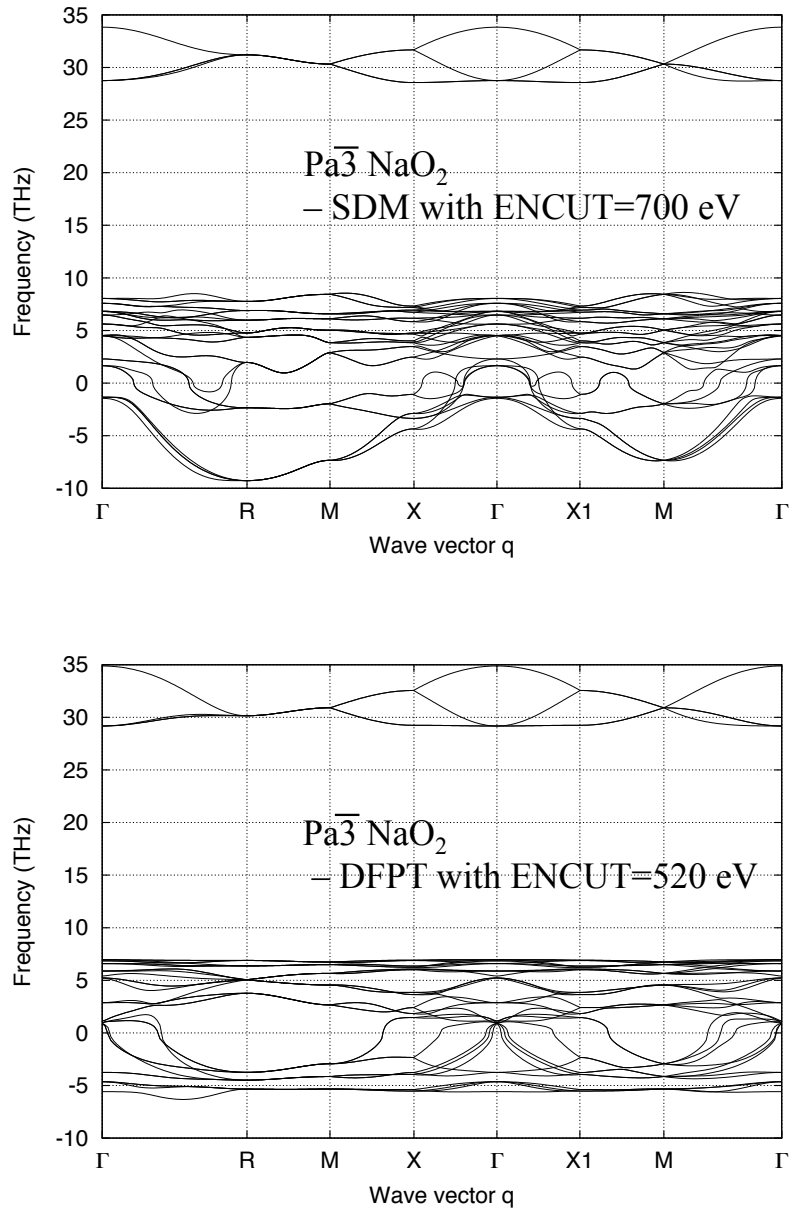


Fig. S 5. Phonon dispersion and density of states for Pa $\bar{3}$ NaO₂ calculated in small displacement method (SDM) with energy cutoff set to 700 eV (top) and the density functional perturbation theory (DFPT, bottom).

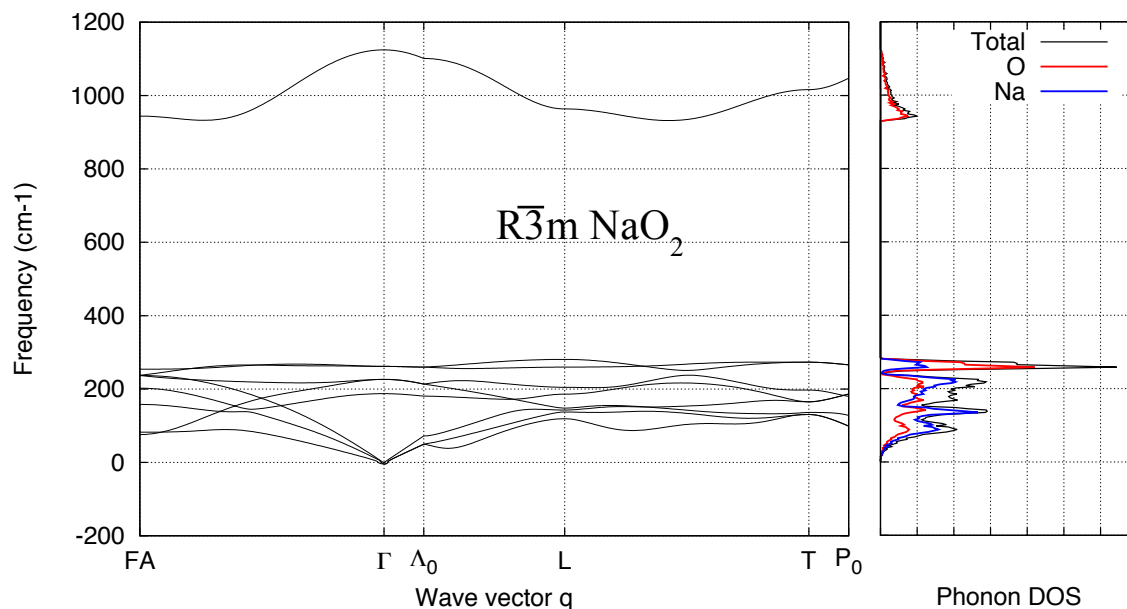


Fig. S 6. Phonon dispersion and density of states for $R\bar{3}m$ NaO_2 . The partial phonon density of states contributed from Na and O are shown in blue and red, respectively.

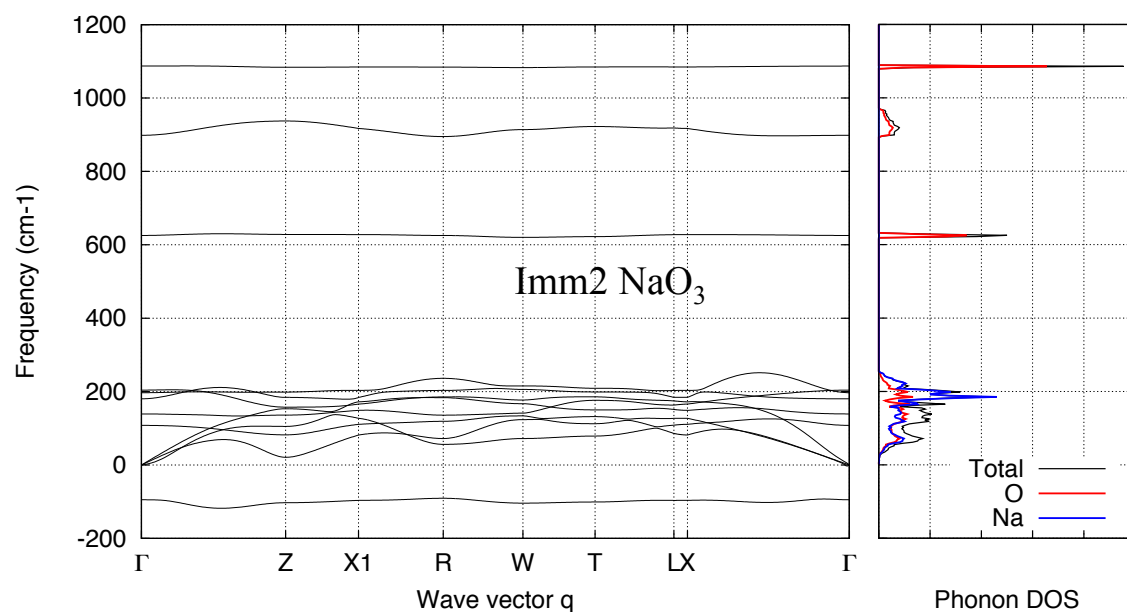


Fig. S 7. Phonon dispersion and density of states for $Imm2$ NaO_3 . The partial phonon density of states above 0 cm^{-1} are plotted, and the contribution of Na and O are shown in blue and red, respectively. The phonon spectra of NaO_3 in $Imm2$ structure revealed imaginary frequencies indicating the NaO_3 phase is not dynamically stable.

3. Formalism for the temperature and O₂ partial pressure dependent formation free energy

The formation enthalpy of Na–O compounds at 300 K can be expressed by combining Eq. (1) and the oxidation energy correction term as

$$\Delta H_{\text{form}}(300 \text{ K}) = \Delta E_{\text{form}}(0 \text{ K}) - \frac{y}{2} E_{\text{oxd}} \quad \text{Eq. S (3)}$$

Referencing to the formation enthalpy at 300 K, ΔH_{form} at a given temperature T can be calculated as

$$\begin{aligned} \Delta H_{\text{form}}(T) &= \Delta H_{\text{form}}(300 \text{ K}) + \left\{ E_{\text{Na}_x\text{O}_y}^{\text{harm}}(T) - x E_{\text{Na}}^{\text{harm}}(T) - \frac{y}{2} \Delta H_{\text{O}_2}(T) \right\} \\ &\quad - \left\{ E_{\text{Na}_x\text{O}_y}^{\text{harm}}(300 \text{ K}) - x E_{\text{Na}}^{\text{harm}}(300 \text{ K}) - \frac{y}{2} \Delta H_{\text{O}_2}(300 \text{ K}) \right\} \\ &= \Delta H_{\text{form}}(300 \text{ K}) + \Delta \Delta H_{\text{form}}(T) - \Delta \Delta H_{\text{form}}(300 \text{ K}) \end{aligned} \quad \text{Eq. S (4)}$$

where E_i^{harm} is the internal energy of solid i contributed from the phonon vibration as in Eq. (2), $\Delta H_{\text{O}_2}(T) = \frac{7}{2} k_B T$ using the diatomic ideal gas approximation for the enthalpy of O₂ gas, and $\Delta \Delta H_{\text{form}}$ is defined as

$$\Delta \Delta H_{\text{form}}(T) \equiv E_{\text{Na}_x\text{O}_y}^{\text{harm}}(T) - x E_{\text{Na}}^{\text{harm}}(T) - \frac{y}{2} \Delta H_{\text{O}_2}(T) \quad \text{Eq. S (5)}$$

In the same way, the formation free energy of Na–O compounds at 300 K and 1 atm can be expressed as

$$\begin{aligned} \Delta G_{\text{form}}(300 \text{ K}, 1 \text{ atm}) &= \Delta H_{\text{form}}(300 \text{ K}) - 300 \cdot \Delta S_{\text{form}}(300 \text{ K}, 1 \text{ atm}) \\ &= \left\{ \Delta E_{\text{form}}(0 \text{ K}) + \frac{y}{2} E_{\text{oxd}} \right\} - 300 \cdot \Delta S_{\text{form}}(300 \text{ K}, 1 \text{ atm}) \end{aligned} \quad \text{Eq. S (6)}$$

and can be generalized to any given temperature and O₂ partial pressure as

$$\begin{aligned} \Delta G_{\text{form}}(T, P_{\text{O}_2}) &= \Delta H_{\text{form}}(T) - T \Delta S_{\text{form}}(T, P_{\text{O}_2}) \\ &\cong \Delta H_{\text{form}}(300 \text{ K}) + \Delta \Delta H_{\text{form}}(T) - \Delta \Delta H_{\text{form}}(300 \text{ K}) \\ &\quad - T \left\{ S_{\text{Na}_x\text{O}_y}^{\text{harm}}(T, V_{\text{Na}_x\text{O}_y}^0) - x S_{\text{Na}}^{\text{harm}}(T, V_{\text{Na}}^0) - \frac{y}{2} S_{\text{O}_2}(T, P_{\text{O}_2}) \right\} \end{aligned} \quad \text{Eq. S (7)}$$

Note that we approximated the formation entropy ΔS_{form} by the formation entropy using the phonon vibrational entropy for solids.

$$\Delta S_{\text{form}} = S_{\text{Na}_x\text{O}_y}^{\text{harm}} - x S_{\text{Na}}^{\text{harm}} - \frac{y}{2} S_{\text{O}_2}^{\text{expt}} \quad \text{Eq. S (8)}$$

The entropy of O₂ gas, as described in Eq. (1) in the main text, is obtained by combining experimental entropy⁷ and the ideal gas behavior as

$$S_{\text{O}_2}(T, P_{\text{O}_2}) = S_{\text{O}_2}^{\text{expt}}(T) - k_B \log(P_{\text{O}_2} / P_{\text{O}_2}^0) \quad \text{Eq. S (9)}$$

4. Temperature dependent stability of the Na–O compounds

The formation free energies of Na₂O, Na₂O₂, and NaO₂ in eV/Na versus temperature are plotted in Fig. S 8. In Fig. S 8, the solid blue, red, and green lines (circles) represent calculated (experimental) ΔG_{form} of Na₂O, Na₂O₂, and NaO₂, respectively. The green line is obtained as the lowest energy envelope of the two NaO₂ polymorphs, shown as the dashed gray line for Pnnm NaO₂ and the dash-dot gray line for Fm $\bar{3}$ m NaO₂. The energy is given per Na in the formula unit to represent the system open to oxygen. Given that the experimental ΔG_{form} at 1 atm are available,⁷ the temperature dependency of ΔG_{form} can be used to validate our computations. As can be seen from Fig. S 8, the temperature dependent ΔG_{form} of the Na–O compounds are in good agreement with experimental values. The absolute errors at any given temperature are less than 40 meV/Na. Absolute errors for Na₂O₂ and NaO₂, which are interest as discharge products in Na–O₂ batteries, are less than 30 meV/Na. As these errors are almost constant with respect to temperature (Fig. S 8), the primary source of errors is ΔH_{form} , and we can presumably conclude that the source of errors is the oxidation energy correction that is obtained from the fitting of various metal oxides, peroxides, and superoxides. Although Na₂O₂ is slightly under-stabilized compared to NaO₂, our computational results successfully predict the trends in ΔG_{form} with respect to temperature and the relative stability of Na–O compounds. Thus, we continue our study to investigate the phase stability of Na–O compounds as a function of O₂ partial pressure, as the O₂ partial pressure is a more important parameter that varies during charge and discharge of Na–O₂ batteries.

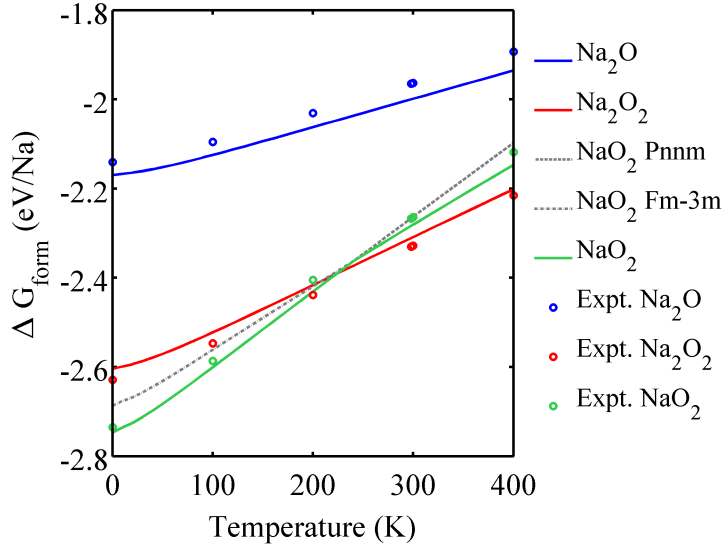


Fig. S 8. The formation free energies of $\text{Fm } \bar{3} \text{m}$ Na_2O (blue), $\text{P } \bar{6} 2 \text{m}$ Na_2O_2 (red), Pnnm NaO_2 (dashed gray line), $\text{Fm } \bar{3} \text{m}$ NaO_2 (dash-dot gray line), and the lowest energy envelope for NaO_2 (green) as a function of temperature. Calculated data are plotted in lines, and experimental data are marked as circles.

Adding the full rotor energy and entropy contribution to the energy of $\text{Fm } \bar{3} \text{m}$ overestimates the stability of this phase, as some of this excitation is also counted in the phonon spectrum of the structure. We find that reducing the rotor contribution to 80% best reproduces transition temperatures between Na_2O_2 and NaO_2 , and between Pnnm NaO_2 and $\text{Fm } \bar{3} \text{m}$ NaO_2 . With this approximation, the transition temperature from Pnnm NaO_2 to $\text{Fm } \bar{3} \text{m}$ NaO_2 is between 230 and 240 K in our calculations, in fair agreement with experimental transition temperatures that are 196 K from Pnnm to ordered $\text{Pa } \bar{3}$, and 223 K from ordered $\text{Pa } \bar{3}$ to $\text{Fm } \bar{3} \text{m}$.^{2, 3} In addition, the equilibrium temperature between NaO_2 and Na_2O_2 is calculated at 210–220 K, while it is located at between 100 K and 200 K in experiment.¹ To our knowledge, the exact temperature has not been reported.

5. Chemical potentials of Na and O at Na–O phase boundaries

The chemical potential of Na in Na_xO_2 ($x = 1$ or 2) is limited on the upper end by the chemical potential of Na metal and at the lower end by the decomposition of Na_xO_2 :

$$\mu_{\text{Na}}^{\text{Na metal}}(T) + \frac{1}{x} \Delta G_{\text{form}}^{\text{Na}_x\text{O}_2}(T, P_{\text{O}_2}) < \mu_{\text{Na}}^{\text{Na}_x\text{O}_2}(T, P_{\text{O}_2}) < \mu_{\text{Na}}^{\text{Na metal}}(T) \quad \text{Eq. S (10)}$$

where μ_{O} is set to the energy of O_2 gas per atom. We defined the chemical potentials of Na or O at the phase boundaries where the two phases are in equilibrium. For example,

μ_{Na} in Na_2O_2 at the Na_2O limit is calculated as

$$\mu_{\text{Na}}^{\text{Na}_2\text{O}_2/\text{Na}_2\text{O}}(T, P_{\text{O}_2}) = \mu_{\text{Na}}^{\text{Na metal}}(T) + \frac{1}{2} \{ 2\Delta G_{\text{form}}^{\text{Na}_2\text{O}}(T, P_{\text{O}_2}) - \Delta G_{\text{form}}^{\text{Na}_2\text{O}_2}(T, P_{\text{O}_2}) \} \quad \text{Eq. S (11)}$$

which results in $\mu_{\text{Na}} = -3.08$ eV and $\mu_{\text{O}} = -5.82$ eV. The chemical potentials of Na and O at phase boundaries at 300 K and 1 atm are denoted in Fig. S 9.

The thermodynamically stable regime of bulk Na_2O_2 is restricted within the O_2 gas and the Na_2O limits, representing the most oxidizing and reducing conditions, respectively. The formation of bulk NaO_2 is never thermodynamically stabilized at 300 K and 1 atm, and it is formed within the O_2 gas and Na_2O_2 limits most likely, representing the most oxidizing and reducing conditions, respectively. The T – P_{O_2} phase diagram displayed in Fig. 3 is obtained at the O_2 gas limit where $\mu_{\text{O}} = -5.20$ eV at 300 K and 1 atm..

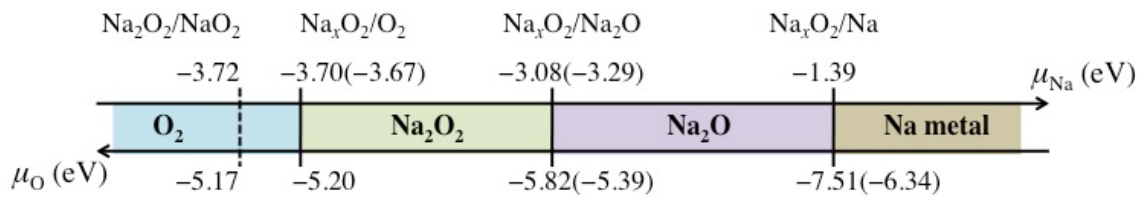


Fig. S 9. The chemical potentials of Na and O when Na_xO_2 ($x = 1$ or 2) is in equilibrium with the corresponding phase. When μ_{Na} and/or μ_{O} are different for Na_2O_2 and NaO_2 at the phase boundaries, the values corresponding to NaO_2 are marked in parentheses.

6. Surface energy calculations

The surface energies of Na_2O_2 and $\text{Pa}\bar{3}\text{NaO}_2$ are calculated using the surface slab method.^{8,9} The vacuum layers in the surface slabs were at least 10 Å, and the Na_xO_2 ($x = 1$ or 2) compound layers were ~ 20 Å thick. The same energy cutoff, energy and force convergence criteria, and k -points sampling settings were used as for the bulk energy calculations.

We considered 16, 17, and 5 terminations for the $\{0001\}$, $\{1\bar{1}00\}$, and $\{11\bar{2}0\}$ surface orientations of Na_2O_2 , respectively, and 3, 1, 1, and 2 terminations for the $\{100\}$, $\{110\}$, $\{111\}$, and $\{211\}$ surface orientations of $\text{Pa}\bar{3}\text{NaO}_2$, respectively. The (T, P_{O_2}) -dependent surface energy formalism is adopted from Reuter and Scheffler.⁹

Based on the calculated surface energies, we constructed the Wulff shapes at the oxidizing and reducing phase boundaries of Na_xO_2 ($x = 1$ or 2). At the most oxidizing condition (O_2 gas limit) of Na_2O_2 , the Wulff shape of Na_2O_2 is a dodecagonal prism that is composed of the $\{0001\}$, $\{1\bar{1}00\}$, and $\{11\bar{2}0\}$ surface orientations. The normalized total surface energies $\bar{\gamma}$ at this condition is calculated as $0.178 \text{ eV}/\text{\AA}^3$. At the most reducing condition (Na_2O limit) of Na_2O_2 , the $\{1\bar{1}00\}$ facets disappear and the $\{0001\}$ and $\{11\bar{2}0\}$ facets form a hexagonal prism Wulff shape for Na_2O_2 with $\bar{\gamma} = 0.196 \text{ eV}/\text{\AA}^3$. Due to the absence of experimental observation of Na_2O_2 particle shapes (to our knowledge), comparison of predicted Wulff shapes with experiments was not possible.

On the other hand, the Wulff shape of $\text{Pa}\bar{3}\text{NaO}_2$ is cubic at both the most oxidizing (O_2 gas limit) and reducing (Na_2O_2 limit) conditions. The lowest energy $\{100\}$ facets were stoichiometric, resulting in $\bar{\gamma} = 0.070 \text{ eV}/\text{\AA}^3$ at both the most oxidizing and reducing conditions.

7. Formalism for particle size dependent formation free energy of Na_xO_2

The formation energy of a particle with unit volume (1 \AA^3) is calculated as

$$\begin{aligned}\Delta\bar{G}_{\text{form}}(T, P_{\text{O}_2}) &= \Delta G_{\text{form}}^{\text{bulk}}(T, P_{\text{O}_2}) \cdot V_1 + \sum_i \gamma_i(T, P_{\text{O}_2}) \cdot A_{1,i} \\ &= \Delta G_{\text{form}}^{\text{bulk}}(T, P_{\text{O}_2}) + \sum_i \gamma_i(T, P_{\text{O}_2}) \cdot A_{1,i}, \\ &\equiv \Delta G_{\text{form}}^{\text{bulk}}(T, P_{\text{O}_2}) + \bar{\gamma}(T, P_{\text{O}_2})\end{aligned}\quad \text{Eq. S (12)}$$

where $\Delta G_{\text{form}}^{\text{bulk}}$ is the formation energy of bulk Na_xO_2 in $\text{eV}/\text{\AA}^3$, γ_i is the surface energy of i facet in the predicted Wulff shape in $\text{eV}/\text{\AA}^2$, and $A_{1,i}$ is the surface area of i facet for a unit volume particle ($V_1 = 1 \text{ \AA}^3$) in \AA^2 . Here we defined the normalized total surface energy $\bar{\gamma}$ as the free energy contributed from surfaces for a particle with a unit volume.

The formation energy of a particle with an arbitrary volume V_2 can be calculated as

$$\begin{aligned}\Delta G_{\text{form}}(d, T, P_{\text{O}_2}) &= \Delta G_{\text{form}}^{\text{bulk}}(T, P_{\text{O}_2}) \cdot V_2 + \sum_i \gamma_i(T, P_{\text{O}_2}) \cdot A_{2,i} \\ &\equiv \Delta G_{\text{form}}^{\text{bulk}}(T, P_{\text{O}_2}) \cdot d^3 \cdot V_1 + \sum_i \gamma_i(T, P_{\text{O}_2}) \cdot d^2 \cdot A_{1,i}, \\ &= \Delta G_{\text{form}}^{\text{bulk}}(T, P_{\text{O}_2}) \cdot d^3 + \bar{\gamma}(T, P_{\text{O}_2}) \cdot d^2\end{aligned}\quad \text{Eq. S (13)}$$

where A_2 is the the surface area of i facet corresponding to the particle volume V_2 , and the particle size d is defined as $d = (V_2/V_1)^{1/3} = (V_2)^{1/3}$.

8. Formalism for critical nucleus size of Na_xO_2 and $\text{Fm } \bar{3}m \text{ NaO}_2$ nano-particles and their nucleation energy barrier

We calculated the critical nucleus size using the P_{O_2} and/or the electrochemical potential ϕ as a driving force for nucleation:

$$\Delta G_v(T, P_{\text{O}_2}, \phi) = \frac{\Delta G_{\text{form}}^{\text{Na}_x\text{O}_2}(T, 1 \text{ atm}, \phi_{\text{eq}}^{\text{Na}_x\text{O}_2}) + xF\phi - k_B T \ln P_{\text{O}_2}}{xV^{\text{Na}_x\text{O}_2}}, \quad \text{Eq. S (14)}$$

where $\Delta G_{\text{form}}^{\text{Na}_x\text{O}_2}$ is the formation free energy of bulk Na_xO_2 at a given temperature T , 1 atm and its equilibrium potential $\phi_{\text{eq}}^{\text{Na}_x\text{O}_2}$, F is the Faraday constant, and $V^{\text{Na}_x\text{O}_2}$ is the volume of Na_xO_2 in \AA^3 per formula unit. The nucleation driving force ΔG_v is the normalized formation free energy of Na_xO_2 at arbitrary P_{O_2} and electrochemical potential ϕ in $\text{eV}/\text{\AA}^3$ per Na. We plugged ΔG_v into $\Delta G_{\text{form}}^{\text{bulk}}$ in Eq. S (13) as follows:

$$\Delta G_{\text{form}}(d, T, P_{\text{O}_2}, \phi) = \Delta G_v(T, P_{\text{O}_2}, \phi) \cdot d^3 + \bar{\gamma}(T, P_{\text{O}_2}) \cdot d^2, \quad \text{Eq. S (15)}$$

and calculated the size of critical nucleus when $\partial \Delta G_{\text{form}}(d, T, P_{\text{O}_2}, \phi) / \partial d = 0$, resulting in the critical nucleus size and the nucleation energy barrier as

$$d^* = -\frac{2\bar{\gamma}(T, P_{\text{O}_2})}{3\Delta G_v(T, P_{\text{O}_2}, \phi)}, \text{ and } \Delta G^* = \frac{4\{\bar{\gamma}(T, P_{\text{O}_2})\}^3}{27\{\Delta G_v(T, P_{\text{O}_2}, \phi)\}^2}. \quad \text{Eq. S (17)}$$

Note that the normalized total surface energies $\bar{\gamma}$ is calculated at the O_2 gas limit where the chemical potential of O_2 is set to -5.20 eV , corresponding to 300 K and 1 atm as in Fig. S 11. While $\bar{\gamma}$ is independent on the O_2 partial pressure P_{O_2} and the potential ϕ , ΔG_v is dependent on them. This leads to overestimation of the nucleation energy barrier at smaller driving force, corresponding to lower P_{O_2} and/or closer to the $\phi_{\text{eq}}^{\text{Na}_x\text{O}_2}$.

However, otherwise, we expect the ΔG^* will serve as a useful descriptor to assess the feasibility of nucleation.

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